

# Data Use Agreement (DUA)

FORM G

Does the data to be shared include any of the following?

- Names
- Geographic subdivisions smaller than a State
- Elements of dates (except year) related to an individual
- Telephone numbers
- Fax numbers
- Email addresses
- Social Security Numbers (SSN)
- Medical record numbers (MRN)
- Health plan beneficiary numbers

- Account numbers
- Certificate / license numbers
- Vehicle identifiers and serial numbers
- Device identifiers and serial numbers
- Biometric identifiers
- Web universal resource locators (URLs)
- Internet Protocol (IP) address numbers
- Full-face photographic images
- Any other unique identifying number

YES

NO

Was patient authorization obtained for this disclosure?

YES

Because authorization was obtained for the disclosure, a DUA is not necessary.

HIPAA does not apply and a DUA is not necessary for HIPAA purposes.

NO

Are elements of PHI restricted to ONLY the following?

- Geographic subdivisions smaller than a State
- Elements of dates (except year) related to an individual

YES

The data constitutes a Limited Data Set and a Data Use Agreement that complies with HIPAA requirements must be executed.

NO

PHI that does not constitute a Limited Data Set will be shared. Either patient authorization must be obtained or a waiver of authorization must be approved by the Institutional Review Board (IRB) in order to share the PHI. A DUA will NOT suffice to share data.

