#### ADPH-AEMA-UAB Integrated AH-PI COOP Template Briefing and Training

Satellite Conference and Live Webcast Friday, October 30, 2009 10:00 - 11:00 a.m. Central Time

Produced by the Alabama Department of Public Health Video Communications and Distance Learning Division

# Faculty

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# Joint COOP Workgroup

- Formed in response to Governor's COOP Directive and recent H1N1 Pandemic
- Currently comprised of ADPH, AEMA, and UAB

# Joint COOP Workgroup

- Goals
  - Provide technical assistance to other agencies in the form of training/education, consultation, and courtesy reviews
  - Develop an integrated AH-PI COOP Template
  - -Information clearinghouse
  - Coordinate future COOP exercises

# Joint ADPH-AEMA Letter

- Availability of the new integrated All-Hazards/Pandemic Influenza COOP template
- Availability of COOP planning training and technical assistance opportunities
- CDC COOP/PI Operational Plan 2010 deadline and the State agency Tier system

# State Agency Tier System

- Designed to facilitate the development and review of State agency COOPs and PI Op Plans
- Annual July deadline for submittal of State PI Operational Plan updates to U.S. HHS/CDC

# **State Agency Tier System**

- Tiers based on agency's
  - Past participation in the Alabama
    PI Op Plan process
  - Role in the State Emergency
    Operations Plan
  - Number of employees

## Agency Tier 1

- State Agencies that have already contributed to and are included in the Alabama PI Operations Plan
- Tentative deadline
  - -February 1, 2010

# Agency Tier 2

- Other State Agencies that are not in the Alabama PI Op Plan, but are identified as a primary or support agency in the State Emergency Operations Plan (SEOP)
- Tentative deadline
  - -April 1, 2010

# **Agency Tier 3**

- Other "large" State Agencies (employee base of 300+) that are not in either the Alabama PI Op Plan or the SEOP, and some of those agencies that have already sought technical assistance
- Tentative deadline
  - -May 1, 2010

# Agency Tier 4

- All remaining State Agencies
- Tentative deadline
  - -June 1, 2010

### High-Priority All-Hazard COOP Elements

### High-Priority All-Hazard COOP Elements

- Essential functions
- Delegations of authority
- Communications
- Human capital
- Devolution of control/direction
- Order of succession

## High-Priority All-Hazard COOP Elements

- · Continuity facilities
- Vital records management
- Test, training, and exercise
- Reconstitution

#### High-Priority All-Hazard COOP Elements

- Essential functions
  - High priority agency missions and functions that must continue to minimize disruption to government and citizen services
  - -Can be categorized based on time
    - 24/7 no interruptions
    - Resumption within 12-24 hours
    - 3-5 days

### High-Priority All-Hazard COOP Elements

- Orders of succession
  - Unavailability or incapacitation of senior agency leadership and management
  - Ideally plan two to three persons deep

#### High-Priority All-Hazard COOP Elements

- · Delegations of authority
  - Who will be designated to be in charge, of what/who, for how long, at which location(s), etc.

#### High-Priority All-Hazard COOP Elements

- Continuity facilities
  - Physical or virtual
  - Are the alternate sites fully/partially functional in terms of basic infrastructure
    - Electricity, IT/telecom networks, water, HVAC, supplies, equipment, furniture, service contracts, parking, etc.

# High-Priority All-Hazard COOP Elements

- Located in safe environments and areas
  - Floodplain, building codes, etc.
- Ideal to plan for at least two alternate locations

### High-Priority All-Hazard COOP Elements

- Communications
  - Internal
    - Staff and contractors
  - External
    - Other State, Federal, and/or local agencies
    - Citizen clients, general public

## High-Priority All-Hazard COOP Elements

- Vital records management
  - Prioritize critical data and records
  - Document/data storage and backups
  - -Off-site, remote backup locations

### High-Priority All-Hazard COOP Elements

- Accessibility and availability of data/records <u>and</u> software applications
  - Who and how fast?

#### High-Priority All-Hazard COOP Elements

- Human capital
  - Pre-scripted emergency policies
  - HR and payroll records
  - Employee and employee's family safety

### High-Priority All-Hazard COOP Elements

- Test, training, and exercise
  - Are employees trained on your agency's general plans?
  - -Are essential/key staff trained on their special COOP roles?
  - -Internal communications protocols
  - Test and exercise to assess gaps and make improvements

#### High-Priority All-Hazard COOP Elements

- Devolution of control/direction
  - Who or what agency/organization will assume your agency's essential functions if it is incapacitated, and for how long?
  - Are protocols in place to facilitate the orderly transfer of such authorities and responsibilities?

# High-Priority All-Hazard COOP Elements

 Is the gaining agency capable (staff, train on policies/regulations, resources, etc.) to assume the "new" duties?

# High-Priority All-Hazard COOP Elements

- Reconstitution
  - Is a plan in place to accommodate the orderly transfer back to "normal" operations at the original (or new) primary office(s)
    - Who is tasked to facilitate such?

# **FEMA EMI Training**

### **Continuity Excellence Series**

- FEMA certification program
  - Level I Professional Continuity Practitioner
  - Level II Master Continuity Practitioner
- Conducted at EMI or exportable to States
- http://training.fema.gov/EMIWeb/CO OP/index.asp

# Questions

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