## 11/15/2010

#### Module 4: Data Analysis

Satellite Conference and Live Webcast Tuesday, November 16, 2010 1:00 - 4:00 p.m. Central Time

Produced by the Alabama Department of Public Health Video Communications and Distance Learning Division

## Faculty

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## **Objectives**

- Background
  - -Review HAI Point Estimation
    - Incidence Density Rates
    - Proportion
    - Device Utilization Ratio
    - Risk Ratio

## Objectives

- -Standardized Infection Ratio (SIR)
  - Describe SIR
  - Calculate SIR
  - Interpret SIR

## **Objectives**

- Facility Data Analysis
  - -Steps to successful analysis
    - Central Line-Associated Bloodstream Infections (CLABSIs)
    - Catheter Associated Urinary Tract Infections (CAUTIs)
    - Surgical Site Infections (SSI)

#### **Objectives**

- -NHSN Version 6.3
  - Statistics calculator
- -Create meaningful reports
  - Review process for creating output in the National Healthcare Safety Network (NHSN)
  - Customize output
  - Export data

## **Objectives**

- Alabama Department of Public Health (ADPH) Annual Reports
  - Describe structure of Alabama reports on HAIs



#### **HAI Point Estimates**

- Central Line-Associated Bloodstream Infections (CLABSI)
  - -CLABSI Rate
  - -Central Line Utilization Ratio
  - -CLABSI Standardized Infection Ratio (SIR)
    - \* NHSN Version 6.3 Feature

## **HAI Point Estimates**

- Catheter Associated Urinary Tract Infections (CAUTI)
  - -CAUTI Rate
  - -Catheter Utilization Ratio

## **HAI Point Estimates**

- Surgical Site Infections (SSI)
  - -SSI Rate
    - \* Available in "Advanced" section of the output option
  - -SSI SIR
    - \* NHSN Version 6.3 Feature

#### HAI Incidence Density Rates

- The Incidence Density Rate is a measure of the risk of developing an HAI within a specified period of time
- The Numerator is the number of new cases of disease
- The Denominator is "person-time" units

## **HAI Incidence Density Rates**

X 1,000 = 3.0

- Example 1
  - Central Line-Associated BSI (CLABSI) rate

12 CLABSIs

4,000 central line days



#### **Proportion Proportion** · A fraction in which the numerator is Examples included within the denominator - Device Utilization Ratio -The Numerator is a sample of the • Measures the total patient days total population in which a device was used -The Denominator is the total Urinary population # indwelling catheter days Catheter DU = # patient days -Often expressed as a percent Ratio



280 women undergoing hysterectomy



## **Device Utilization Ratio**

• Step 1

- -Decide on the time period for your analysis
  - It may be a month, a quarter, 6 months, a year, or some other period

#### **Device Utilization Ratio**

- Step 2
  - -Select the patient population for analysis
    - Type of location or a birth-weight category in a NICU

## **Device Utilization Ratio**

• Step 3

- -Select the infections to be included in the numerator
  - They must be site-specific and must have occurred in the selected patient population
  - Their date of onset must be during the selected time period

## **Device Utilization Ratio**

- Step 4
  - Determine number of device days which is used as denominator of rate

## **Device Utilization Ratio**

 Device days are total number of days of exposure to device (central line, umbilical catheter, ventilator, or urinary catheter) by all patients in selected population during selected time period

## **Device Utilization Ratio**

#### -Example

• Five patients on the first day of the month had one or more central lines in place; five on day 2; two on day 3; five on day 4; three on day 5; four on day 6; and four on day 7

## **Device Utilization Ratio**

- Adding the number of patients with central lines on days 1 through 7, we would have 5 + 5 + 2 + 5 + 3 + 4 + 4 = 28 central line days for the first week
- If we continued for the entire month, the number of central line days for the month is simply the sum of the daily counts

#### **Device Utilization Ratio**

- Example
  - Ten patients were in the unit on the first day of the month; 12 on day 2;
    11 on day 3; 13 on day 4; 10 on day 5; 6 on day 6; and 10 on day 7; and so on

#### **Device Utilization Ratio**

- If we counted the patients in the unit from days 1 through 7 we would add 10 + 12 + 11 + 13 + 10 + 6 + 10 for a total of 72 patient days for the first week of the month
- If we continued for the entire month, the number of patient days for the month is simply the sum of the daily counts

#### **Device Utilization Ratio**

- Step 5
  - -Calculate the DU Ratio with the following formula

DU Ratio =

# of device days

# patient days

#### **Device Utilization Ratio**

-With the number of device days and patient days from the examples above, DU = 28/72 = 0.39 or 39% of patient days were also central line days for the first week of the month

#### **Device Utilization Ratio**

- Step 6
  - Examine the size of the denominator for your hospital's rate or ratio
  - Rates or ratios may not be good estimates of the "true" rate or ratio for your hospital if the denominator is small
    - < 50 device days or patient days

## **Device Utilization Ratio**

- Step 7
  - Compare your hospital's locationspecific rates or ratios with those found in the tables of this report
  - Refer to Appendix B for interpretation of the percentiles of the rates/ratios

### Interpret Device Utilization Ratio

- Step 1
  - -Evaluate the rate (ratio) you have calculated for your hospital and confirm that the variables in the rate (both numerator and denominator) are identical to the rates (ratios) in the table

## Interpret Device Utilization Ratio

- Step 2
  - Examine the percentiles in each of the tables and look for the 50th percentile (or median)
  - At the 50th percentile, 50% of the hospitals have lower rates (ratios) than the median and 50% have higher rates (ratios)

#### Interpret Device Utilization Ratio

- Step 3
  - Determine if your hospital's rate (ratio) is above or below this median

## Interpret Device Utilization Ratio

- Step 4
  - If it is above the median, determine whether the rate (ratio) is above the 75th percentile
  - At the 75th percentile, 75% of the hospitals had lower rates (ratios) and 25% of the hospital had higher rates (ratios)

## Interpret Device Utilization Ratio

- Step 5
  - -If the rate (ratio) is above the 75th percentile, determine whether it is above the 90th percentile
    - If it is, then the rate (ratio) is an outlier which may indicate a problem





## **Risk Ratio Quick Guide**

- RR = 1
  - Association between exposure and disease unlikely to exist
- RR >> 1
  - Increased risk of disease among those that have been exposed
- RR << 1
  - Decreased risk of disease among those that have been exposed



## **Standard Infection Ratio**

- A summary measure used to compare the HAI experience among one or more groups of patients to that of a standard population's
  - -e.g. NHSN
- Indirect standardization method
- Accounts for differences in risk of HAI among the groups

## **Standard Infection Ratio**

- Ratio of Observed to Expected Infections
- Risk-adjusted summary measure
- Used to compare overall HAI rates or any two patient cohorts, groups, or hospitals



_	6	entral line-associa	ited BSI rate*					Percentile		
Type o	of location	No. of locations	No. of CLABSI	Central line-days	Pooled	10%	25%	50% (median)	75%	90%
C S M M B M	Critical care units Burn Coronary Surgical cardioti Medical Medical/surgical teaching	horacic , major	239 373 397 1073 692	42,452 181,079 275,194 454,839 342,214	5.6 2.1 1.4 2.4 2.0	0.0 0.0 0.0 0.0	1.5 0.0 0.6 0.5 0.0	38 13 12 19 15 06	82 28 19 36 30 20	135 53 34 53 42 36

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Type of Location	# CLABSI	# Central line-days	CLABSI Rate	NHSN Rate	p-value	Expecte # of CLABS
Coronary	2	380	5.26	2.1	0.09	0.80
Cardiothoracic	1	257	3.89	1.4	0.15	
Medical	3	627	4.78	2.4	0.11	
Med/Surg, major teaching	2	712	2.81	2.0	0.32	
Total	8	1,976	4.05			

Type of Location	# CLABSI	# Central line-days	CLABSI Rate	NHSN Rate	p-value	Expected # of CLABSI
Coronary	2	380	5.26	2.1	0.09	0.80
Cardiothoracic	1	257	3.89	1.4	0.15	0.36
Medical	3	627	4.78	2.4	0.11	1.15
Med/Surg, major teaching	2	712	2.81	2.0	0.32	1.42
Total	8	1,976	4.05			3.73







#### **Risk Ratio Quick Guide**

- RR= 1
  - Association between exposure and disease unlikely to exist
- RR >> 1
  - Increased risk of disease among those that have been exposed
- RR << 1
  - Decreased risk of disease among those that have been exposed

Н	ospitals	SIRs	Comp	pared to 1
	Hospital	SIR	p- value	Status group
	A	1.2	0.12	Same
	В	0.9	0.23	Same
	С	2.7	0.001	High
	D	0.7	0.002	Low
	E	1.5	0.001	High

## **Hospitals SIRs Compared to 1**

Hospital	SIR	95% Confidence Interval	Status group
A	1.2	0.7-2.4	Same
В	0.9	0.4-1.6	Same
С	2.7	2.3-3.8	High
D	0.7	0.3-0.9	Low
E	1.5	1.3-1.9	High

**Facility Data Analysis**  $\partial \theta^{MT}(\xi) = \partial$  $\overline{\partial \theta} \int T(x) f(x,\theta) dx$  $\frac{\partial}{\partial a} \ln f_{a,\sigma^2}(\xi_1) = (\xi_1)$  $\int_{a,\sigma^2} (\xi_1)$  $f'(x,\theta)dx = M(T(\xi))$  $\int \boldsymbol{T}(\mathbf{x}) \cdot \left(\frac{\partial}{\partial \theta} \ln L(\mathbf{x},\theta)\right) \cdot f(\mathbf{x},\theta) d\mathbf{x}$  $\frac{\partial}{\partial T(x)} \int T(x) f(x, \theta)$  $\frac{\partial}{\partial MT}(\xi) =$ 



## Steps to Successful CLABSI Analysis

- Step 1
  - -Log in to NHSN Patient Safety Component Home Page
- Step 2
  - -Select Analysis
- Step 3
  - -Generate Data Sets

#### Steps to Successful CLABSI Analysis

- Step 4
  - -Select Output Options
- Step 5
  - -5a. Expand "Device-associated Module"
  - -5b. Expand "Central Lineassociated BSIs"
  - -5c. Expand "CDC Defined Output"

## Steps to Successful CLABSI Analysis

- Step 6
  - -To change Output, select "Modify"
- Step 7
  - -7a. Perform a "Run" on Line Listing - All CLAB Events
  - -7b. Perform a "Run" on Frequency Table - All CLAB Events

## Steps to Successful CLABSI Analysis

- -7c. Perform a "Run" on Rate Table CLAB Data for ICU Other
- -7d. Perform a "Run" on SIR All CLAB Data

#### Steps to Successful CLABSI Analysis

- Optional
  - -Step 8
    - •8a. Perform a "Run" on Bar Chart - All CLAB Events
    - 8b. Perform a "Run" on Pie Chart - All CLAB Events
    - 8c. Perform a "Run" on Control Chart CLAB Data for ICU Other





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location MICU	summaryYM 2010M04	CLABCount	numCLDays 478	CLABRate 2.1	CLAB_Mean 2.6	IDR_pval 0.6527	IDR_pctl 46	numPatDays 657	LineDU 0.73	LineDU_Mean 0.61	P_pval 0.0000	P_pctl 68
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2009H2	0	2.313	771	0.00	0.0990	, 1.295	Table
2010H1	15	9.515	3763	1.58	0.0600	0.882, 2.600	<u> </u>
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## Interpreting CLABSI Rates

- Step 1
  - If it is below the median, determine whether the rate (ratio) is below the 25th percentile
  - At the 25th percentile, 25% of the hospitals had lower rates (ratios) and 75% of the hospitals had higher rates (ratios)

## Interpreting CLABSI Rates

- Step 2
  - -If the rate (ratio) is below the 25th percentile, determine whether it is below the 10th percentile
  - -If the rate is, then it is a low outlier
    - May be due to underreporting of infections

## Interpreting CLABSI Rates

-If the ratio is below the 10th percentile, it is a low outlier and may be due to infrequent and/or short duration of device use

## Interpreting CLABSI Rates

- Note
  - Device-associated infection rates and device utilization ratios should be examined together so that preventive measures may be appropriately targeted

## Interpreting CLABSI Rates

• For example, you find that the CLABSI rate for a certain type of ICU is consistently above the 90th percentile and the CLABSI utilization ratio is routinely between the 75th and 90th percentiles

## Interpreting CLABSI Rates

• Your facility may want to limit the duration of central lines whenever possible (i.e., decrease unnecessary use) while at the same time optimize infection prevention strategies in patients for which the use of a central line is required



## Steps to Successful CAUTI Analysis

- Step 1
  - -Log in to NHSN Patient Safety Component Home Page
- Step 2
  - -Select Analysis
- Step 3
  - -Generate Data Sets

#### Steps to Successful CAUTI Analysis

- Step 4
  - -Select Output Options
- Step 5
  - -5a. Expand "Device-associated Module"
  - -5b. Expand "Urinary Catheterassociated UTI"
  - -5c. Expand "CDC Defined Output"

#### Steps to Successful CAUTI Analysis

- Step 6
  - -To change Output, select "Modify"
- Step 7
  - -7a. Perform a "Run" on Line Listing - All CAU Events
  - -7b. Perform a "Run" on Frequency Table - All CAU Events

## Steps to Successful CAUTI Analysis

-7c. Perform a "Run" on Rate Table CAU Data for ICU Other/SCA

#### Steps to Successful CAUTI Analysis • Optional

-Step 8

- 8a. Perform a "Run" on Bar Chart - All CAU Events
- 8b. Perform a "Run" on Pie Chart - All CAU Events
- 8c. Perform a "Run" on Control Chart-CAU Data for ICU Other/SCA







Rate Table	for Cathet	ter-Assoc	iated UTI Dat	ta for ICl	J-Other/SC	Ά.						
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location 4TH FLOOR 4TH FLOOR 4TH FLOOR	summaryY10 2008M10 2008M11 2008M11	CAUCount 0 0	numucathdays 4 0 9	CAURate 0.0 0.0	CAU_Mean 7.2 7.2 7.2	IDR_pval 0.9715 0.9371	IDR_pctl 25 25	numpatdays 384 422 533	CathDU 0.01 0.00 0.02	CathDU_Mean 0.09 0.09 0.09	P_pval 0.0000 0.0000 0.0000	P_p
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## CAUTI Device Utilization Ratio

- DU Ratio measures the proportion of total patient days in which indwelling urinary catheters were used
- Indwelling catheter use is necessary for CAUTI
  - Therefore reducing your facility/location's catheter device utilization rate, may lead to reduced CAUTI rate



## Steps to Successful SSI Analysis

- Step 1
  - -Log in to NHSN Patient Safety Component Home Page
- Step 2
- Select Analysis
- Step 3
  - -Generate Data Sets

## Steps to Successful SSI Analysis

- Step 4
  - -Select Output Options
- Step 5
  - -5a. Expand "Procedure-associated Module"
  - -5b. Expand "SSI"
  - -5c. Expand "CDC Defined Output"

# Steps to Successful SSI Analysis

- Step 6
  - -To change Output, select "Modify"
- Step 7
  - -7a. Perform a "Run" on Line Listing - All SSI Events
  - -7b. Perform a "Run" on Frequency Table - All SSI Events

#### Steps to Successful SSI Analysis

- -7c. Perform a "Run" on SIR All SSI Data by Procedure
- Step 8
  - -8a. Expand "Advanced"
  - -8b. Expand "Procedure-level Data"
  - -8c. Expand "CDC Defined Output"

#### Steps to Successful SSI Analysis

- -8d. Perform a "Run" on Rate Table-Specific Event SSI Rates by Procedure
- -8e. Perform a "Run" on Control Chart-Specific Event SSI Data by Procedure

#### Steps to Successful SSI Analysis

- Optional
  - -Step 9
    - 9a. Perform a "Run" on Bar Chart - All SSI Events
    - 9b. Perform a "Run" on Pie Chart - All SSI Events

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#### SSI

- NHSN assigns surgical patients into categories based on 3 major Risk Factors
  - -Basic SSI Risk Index
    - 1. Operation lasting more than duration cut point hours, where duration cut point is approximate 75th percentile of duration of surgery in minutes for the operative procedure

#### SSI

- 2. Contaminated (Class 3) or Dirty/Infected (Class 4) wound class
- 3. American Society of Anesthesiologist (ASA) classification of 3, 4, or 5
  - Patient's SSI risk category is number of factors present at time of operation

#### SSI

\* Rate calculations will be performed separately for the different types of operative procedures and stratified by risk index

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Co	ompare	•
National Healthc	are Safety Net	work
December 2010		
As of: November 12, 2010	at 12:01 PM	
	General	General Surgical
	Medical Ward	Ward
Numerator	Medical Ward	Ward 9
Numerator Denominator	Medical Ward 4 17	Ward 9 36
Numerator Denominator Proportion	Medical Ward 4 17 24%	Ward 9 36 25%

Compare Two Stand	lardized Iı	fection Ratios
When comparing two standardized infection rabios, the hy To perform a hypothesis test and calculate a p-value, ent events. The standardized infection rabio (SIR) for each da	pothesis is that the ter the number of of ata source will be dis	two ratios are not different from each served events and the number of expe played automatically. Press calculate.
Group Labels: N	acu	SICU
Number observed: 8		10
Number expected: 4		3
Standardized Infection Ratio: 2	2000	3.333

Com	pare
Compare Si	ngle SIR to 1
When comparing a standardized infection ratio, the hypothes hypothesis test and calculate a p-value, enter the number of SIR will be displayed automatically. Press calculate.	is is that the SIR is not different from one. To perform a f observed events and the number of expected events. The
	Data Source #1
Group Labels:	ICU CLABSI
Number observed:	12
Number expected:	8
Standardized Infection Ratio:	1.500
Title: December 2010 Calculate	[Back]

Comp	oare	
Compare Two Incide	nce Density	y Rates
When comparing two incidence density rates, for example, two compositions is that the rates are not different from each other. To the number of events as the numerator and the number of person calculate.	entral line-associated perform a statistical i-time units as the de	bloodstream infection rates, the test and calculate a p-value, er nominator for each rate. Press
	Data Source #1	Data Source #2
Group Labels:	Med Ward CAUTI	Surg Ward CAUTI
Numerator(Number of events):	20	14
Denominator(Number of person-time units):	4000	2500
Title: December 2010 Calculate	Back	

	Compare	
National Health	ncare Safety Net	twork
December 2010	D	
As of: November 12, 20	10 at 12:08 PM	
	Med Ward CAUTI	Surg Ward CAUTI
Numerator	Med Ward CAUTI 20	Surg Ward CAUTI 14
Numerator Denominator	Med Ward CAUTI 20 4000	Surg Ward CAUTI 14 2500
Numerator Denominator Proportion	Med Ward CAUTI           20           4000           5%	Surg Ward CAUTI           14           2500           6%



CDC	Department of Health and Human Services		
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## **Export Data**

• A user may export their facility's entire data, a specific Analysis Data Set, or Output Data Set using a number of popular file formats

-e.g. MS Excel

_	Jone Bala
Export Data Sets	
Analysis Data Set	Analysis Data Set: CLAB_Events
set (found at the top of the Output Options page date parameters, filtering or other modifications aggregate data and comparative statistics will no output data set.	e) will include all data within the output option chosen, <u>without</u> any s. NOTE: When exporting analysis data sets for rates, the NHSN of be included. To export this information, you should export the
Output Data Set The option to export the output data set (found the Output Options page) will include all data wi option chosen, with any data parameters, filterin modifications. Whichever type of export you cho may select the format type for the exported file format.	at the bottom of thin the output og or other of it will take you to the Export Output Options page, where you . Clicking on the drop-down menu will list your options for the export
Output Data Set The option to export the output data set (found the Output Options page) will include all data wi option chosen, with any date parameters, filterin support of the output of the output of the support of the output of the output of the format. NOTE: Due to column and now limits set in Ficce 1 you are attempting to export a large analysis	at the bottom of thin the output of criter to criter Licking on the day down menu will list your options for the export Cicking on the day down menu will list your options for the export day down menu will be the output day down menu will be the output day. Export Output Options Export day down menu will be the output day down m









- -This report will not include patient identifiers
  - It will include facility identifiers

## **ADPH Reports**

- In addition to these annual reports, ADPH may develop additional reports, such as those requested by the HAI Council or facility specific reports, both of which would not be publicly published
  - These reports would most likely occur as quarterly reports

**Questions?**