# FRAMEWORK FOR THE ANNUAL REPORT OF THE CHILDREN'S HEALTH INSURANCE PLANS UNDER TITLE XXI OF THE SOCIAL SECURITY ACT

#### **Preamble**

Section 2108(a) and Section 2108(e) of the Social Security Act (the Act) provide that each state and territory\*must assess the operation of its state child health plan in each federal fiscal year and report to the Secretary, by January 1 following the end of the federal fiscal year, on the results of the assessment. In addition, this section of the Act provides that the state must assess the progress made in reducing the number of uncovered, low-income children. The state is out of compliance with CHIP statute and regulations if the report is not submitted by January 1. The state is also out of compliance if any section of this report relevant to the state's program is incomplete.

The framework is designed to:

- Recognize the diversity of state approaches to CHIP and allow states flexibility to highlight key accomplishments and progress of their CHIP programs, AND
- Provide consistency across states in the structure, content, and format of the report, AND
- Build on data already collected by CMS quarterly enrollment and expenditure reports, AND
- Enhance accessibility of information to stakeholders on the achievements under Title XXI

The CHIP Annual Report Template System (CARTS) is organized as follows:

- Section I: Snapshot of CHIP Programs and Changes
- Section II: Program's Performance Measurement and Progress
- Section III: Assessment of State Plan and Program Operation
- Section IV: Program Financing for State Plan
- Section V: Program Challenges and Accomplishments
  - \* When "state" is referenced throughout this template it is defined as either a state or a territory.

\*Disclosure. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, write to: CMS, 7500 Security Blvd., Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

# DO NOT CERTIFY YOUR REPORT UNTIL ALL SECTIONS ARE COMPLETE.

State/Territory: AL
Name of State/Territory
The following Annual Report is submitted in compliance with Title XXI of the Social Security Act (Section 2108(a) and Section 2108(e)).
Signature: Fern M. Shinbaum
CHIP Program Name(s): All, ALL Kids
CHIP Program Type:
☐CHIP Medicaid Expansion Only ☐Separate Child Health Program Only ☐Combination of the above
Reporting Period: 2018 (Note: Federal Fiscal Year 2018 starts 10/1/2017 and ends 9/30/2018)
Contact Person/Title:Cathy Caldwell, Director
Address:Alabama Department of Public Health, CHIP
P.O. Box 303017
City: Montgomery State: AL Zip: 36130-3017
Phone: (334) 206-5568 Fax: (334) 206-3784
Email: fern.shinbaum@adph.state.al.us
Submission Date: 12/31/2018

(Due to your CMS Regional Contact and Central Office Project Officer by January 1st of each year)

# Section I. Snapshot of CHIP Program and Changes

1)	) To provide a summary at-a-glance of your CHIP program, please provide the following information. If you would like to make any comments on your responses, please explain in the narrative section below this table.								
	□ Provide an assurance that your state's CHIP program eligibility criteria as set forth in the CHIP state plan in section 4, inclusive of PDF pages related to Modified Adjusted Gross Income eligibility, is accurate as of the date of this report.								
	Please note that the numbers in brackets, e.g., <b>[500]</b> are character limits in the Children's Health Insurance Program (CHIP) Annual Report Template System (CARTS). You will not be able to enter responses with characters greater than the limit indicated in the brackets.								
	CHIP Medicaid Expansion Program Upper % of FPL (federal poverty level) fields are defined as Up to and Including								
Do	es your program requi	ire premiums or an enr	rollment fee? ⊠NO∐YE	ES N/A					
Pre	rollment fee amount: emium fee amount: oremiums are tiered by	y FPL, please breakout	t by FPL.						
	emium Amount om (\$)	Premium Amount To (\$)	From % of FPL	Up to % of FPL					
			1						
	•	um Amount per Famil	•						
II I	If premiums are tiered by FPL, please breakout by FPL.								
	Premium Amount Premium From % of FPL Up to % of FPL Amount To (\$)								

If yes, briefly explain fee structure: [500]
Which delivery system(s) does your program use?
☐Managed Care ☐Primary Care Case Management ☐Fee for Service
Please describe which groups receive which delivery system: [500]
Separate Child Health Program Upper % of FPL (federal poverty level) fields are defined as Up to and Including
Does your program require premiums or an enrollment fee? ☐NO∑YES☐ N/A
Enrollment fee amount: Premium fee amount: If premiums are tiered by FPL, please breakout by FPL.

Premium Amount	Premium	From % of FPL	Up to % of FPL
From (\$)	Amount To (\$)		
52	52	141	156
104	104	157	312

Yearly Maximum Premium Amount per Family: \$

If premiums are tiered by FPL, please breakout by FPL.

Premium Amount	Premium	From % of FPL	Up to % of FPL
From (\$)	Amount To (\$)		
156	156	141	156
312	312	157	312

If yes, briefly explain fee structure: [500]

Children in families with incomes up to and including 156% FPL pay an annual premium of \$52/child not to exceed \$156/family. Children in families with incomes above 156% FPL pay an annual premium of \$104/child not to exceed \$312/family. Native Americans pay no premiums or co-pays.

Which delivery system(s) does your program use?

☐Primary Care Case Management

Managed Care

j)

	Please describe which groups receive which delivery system: [5	500]								
	2) Have you made changes to any of the following policy or program areas during the reporting period? Please indicate "yes" or "no change" by marking the appropriate column.									
	For FFY 2018, please include only the program changes that those required by the Affordable Care Act.	are in	addition	to and	/or	beyor	nd			
		Exp	Medicaid ansion Carrant	HIP	<u>-</u>	$\mathbf{C}$	Separate hild Heal Program	th		
		Yes	No Change	N/A	_	Yes	No Change	N/A		
a)	Applicant and enrollee protections (e.g., changed from the Medicaid Fair Hearing Process to State Law)									
b)	Application									
c)	Benefits									
d)	Cost sharing (including amounts, populations, & collection process)						$\boxtimes$			
e)	Crowd out policies		$\boxtimes$				$\boxtimes$			
f)	Delivery system		$\boxtimes$				$\boxtimes$			
g)	Eligibility determination process		$\boxtimes$				$\boxtimes$			
h)	Implementing an enrollment freeze and/or cap			$\boxtimes$			$\boxtimes$			
i)	Eligibility levels / target population		$\boxtimes$				$\boxtimes$			
j)	Eligibility redetermination process		$\boxtimes$				$\boxtimes$			
k)	Enrollment process for health plan selection			$\boxtimes$			$\boxtimes$			
					•					

Outreach (e.g., decrease funds, target outreach)

 $\boxtimes$ 

m)	Premium assistance				$\boxtimes$			$\boxtimes$
n)	n) Prenatal care eligibility expansion (Sections 457.10, 457.350(b)(2), 457.622(c)(5), and 457.626(a)(3) as described in the October 2, 2002 Final Rule)						ן כ	
o)	Expansion to "Lawfully Residing" children							$\boxtimes$
p)	Expansion to "Lawfully Residing" pregnant women	en			$\boxtimes$			
q)	Pregnant Women state plan expansion							$\boxtimes$
r)	Methods and procedures for prevention, investigat cases of fraud and abuse	ion, and referral of					]	
s)	Other – please specify							
	a.							
	b.							
	c.							
	For each topic you responded "yes" to abomade, below:  Medicaid F			e and why	the cha	ange v	/as	
Т	Topic	Expansion CHIP Program List change and why the		was mad	9			
(	Applicant and enrollee protections e.g., changed from the Medicaid Fair Hearing Process to State Law)							
o) 1	Application							
c) l	Benefits							
	Cost sharing (including amounts, populations, llection process)							
e) (	Crowd out policies							_
Ē) [	Delivery system							
g) l	Eligibility determination process		_					_

	Topic	List change and why the change was made
h)	Implementing an enrollment freeze and/or cap	
i)	Eligibility levels / target population	
j)	Eligibility redetermination process	
k)	Enrollment process for health plan selection	
1)	Outreach	
m)	Premium assistance	
n)	Prenatal care eligibility expansion (Sections 457.10, 457.350(b)(2), 457.622(c)(5), and 457.626(a)(3) as described in the October 2, 2002 Final Rule)	
o)	Expansion to "Lawfully Residing" children	
p)	Expansion to "Lawfully Residing" pregnant women	
q)	Pregnant Women State Plan Expansion	
r)	Methods and procedures for prevention, investigation, and referral of cases of fraud and abuse	
s)	Other – please specify	
	a.	
	b.	
	c.	
	Canara	te Child Health Program
	Торіс	List change and why the change was made
a)	Applicant and enrollee protections (e.g., changed from the Medicaid Fair Hearing Process to State Law)	
b)	Application	
c)	Benefits	

	Topic	List change and why the change was made
d) & c	Cost sharing (including amounts, populations, ollection process)	
e)	Crowd out policies	
f)	Delivery system	
g)	Eligibility determination process	
h)	Implementing an enrollment freeze and/or cap	
i)	Eligibility levels / target population	
j)	Eligibility redetermination process	
k)	Enrollment process for health plan selection	
1)	Outreach	
m)	Premium assistance	
n)	Prenatal care eligibility expansion (Sections 457.10, 457.350(b)(2), 457.622(c)(5), and 457.626(a)(3) as described in the October 2, 2002 Final Rule)	
o)	Expansion to "Lawfully Residing" children	
p)	Expansion to "Lawfully Residing" pregnant women	
q)	Pregnant Women State Plan Expansion	
r)	Methods and procedures for prevention, investigation, and referral of cases of fraud and abuse	
s)	Other – please specify	
	a.	
	b.	
	c.	

Enter any Narrative text related to Section I below. [7500]

# **Section II Program's Performance Measurement and Progress**

This section consists of two subsections that gather information about the CHIP and/or Medicaid program. Section IIA captures your enrollment progress as well as changes in the number and/or rate of uninsured children in your state. Section IIB captures progress towards meeting your state's general strategic objectives and performance goals.

#### **Section IIA: Enrollment And Uninsured Data**

1. The information in the table below is the Unduplicated Number of Children Ever Enrolled in CHIP in your state for the two most recent reporting periods. The enrollment numbers reported below should correspond to line 7 (Unduplicated # Ever Enrolled Year) in your state's 4<sup>th</sup> quarter data report (submitted in October) in the CHIP Statistical Enrollment Data System (SEDS). The percent change column reflects the percent change in enrollment over the two-year period. If the percent change exceeds 10 percent (increase or decrease), please explain in letter A below any factors that may account for these changes (such as decreases due to elimination of outreach or increases due to program expansions). This information will be filled in automatically by CARTS through a link to SEDS. Please wait until you have an enrollment number from SEDS before you complete this response. If the information displayed in the table below is inaccurate, please make any needed updates to the data in SEDS and then refresh this page in CARTS to reflect the updated data.

Program	FFY 2017	FFY 2018	Percent change FFY 2017-2018
CHIP Medicaid	112328	112357	0.03
Expansion Program			
Separate Child Health	108652	114885	5.74
Program			

- A. Please explain any factors that may account for enrollment increases or decreases exceeding 10 percent. **[7500]**
- 2. The tables below show trends in the number and rate of uninsured children in your state. Three year averages in Table 1 are based on the Current Population Survey. The single year estimates in Table 2 are based on the American Community Survey (ACS).CARTS will fill in the single year estimates automatically, and significant changes are denoted with an asterisk (\*).If your state uses an alternate data source and/or methodology for measuring change in the number and/or rate of uninsured children, please explain in Question #3.
  - Table 1: Number and percent of uninsured children under age 19 below 200 percent of poverty, Current Population Survey

			Uninsured Chi	ildren Under Age 19		
	Uninsured Childr	en Under Age 19	Below 200 Per	rcent of Poverty as a		
Period	Below 200 Pero	cent of Poverty	Percent of Total Children Under Age			
	Number	Std. Error	Rate	Std. Error		
	(In Thousands)					
1996 - 1998	115	22.4	10.5	2.0		
1998 - 2000	86	19.1	7.5	1.6		
2000 - 2002	82	14.3	6.9	1.2		
2002 - 2004	66	12.9	5.7	1.1		
2003 - 2005	48	11.4	4.2	1.0		
2004 - 2006	46	11.0	4.0	1.0		
2005 - 2007	51	12.0	4.4	1.0		
2006 - 2008	51	12.0	4.4	1.0		
2007 - 2009	56	13.0	4.8	1.0		
2008 - 2010	67	16.0	5.6	1.3		
2009 - 2011	74	13.0	6.2	1.1		
2010 - 2012	69	13.0	5.7	0		

Table 2: Number and percent of uninsured children under age 19 below 200 percent of poverty, American Community Survey

Period			Uninsured Children Under Age 19		
	Uninsured Childr	en Under Age 19	Below 200 Percent of Poverty as a		
	Below 200 Per	cent of Poverty	Percent of Total Children Under Age 19		
	Number	Margin of Error	Rate	Margin of Error	
	(In Thousands)				
2013	38	6.0	3.2	.5	
2014	32	4.0	2.8	.4	
2015	24	4.0	2.1	.3	
2016	20	3.0	1.7	.3	
2017	21	4.0	1.8	.3	
Percent change	5.0%	N/A	5.9%	N/A	
2016 vs. 2017					

- A. Please explain any activities or factors that may account for increases or decreases in your number and/or rate of uninsured children.[7500]
  - While the figures reported by the ACS reflect a slight increase in the number/rate of uninsured children from 2016 to 2017, enrollment in Alabama's health insurance programs for children continue to experience steady enrollment.
- B. Please note any comments here concerning ACS data limitations that may affect the reliability or precision of these estimates. [7500]

		he box below whether your state has an alternate data source and/o e change in the number and/or rate of uninsured children.	
	s (please report your dat o (skip to Question #4)	ta in the table below)	
demonstrat		the table below. Data are required for two or more points in time to ange). Please be as specific and detailed as possible about the method overing the uninsured.	
Topic		Description	
Data sourc	e(s)		
Reporting	period (2 or more		
points in ti	me)		
Methodolo	gy		
Population	(Please include ages		
and income	e levels)		
Sample siz	es		
Number an	d/or rate for two or		
more point			
Statistical s	significance of results		
A.		our state chose to adopt a different methodology to measure changes in e of uninsured children.	
В.	<ul><li>B. What is your state's assessment of the reliability of the estimate? Please provide standard errors, confidence intervals, and/or p-values if available.</li><li>[7500]</li></ul>		
C.	What are the limitation [7500]	ns of the data or estimation methodology?	
D.	How does your state u [7500]	se this alternate data source in CHIP program planning?	
Enter any Na	rrative text related to Section	IIA below. [ <b>7500</b> ]	

# **Section IIB: State Strategic Objectives And Performance Goals**

This subsection gathers information on your state's general strategic objectives, performance goals, performance measures and progress towards meeting goals, as specified in your CHIP state plan. (If your goals reported in the annual report now differ from Section 9 of your CHIP state plan, please indicate how they differ in "Other Comments on Measure." Also, the state plan should be amended to reconcile these differences). The format of this section provides your state with an opportunity to track progress over time. This section contains templates for reporting performance measurement data for each of five categories of strategic objectives, related to:

- Reducing the number of uninsured children
- CHIP enrollment
- Medicaid enrollment
- · Increasing access to care
- Use of preventative care (immunizations, well child care)

Please report performance measurement data for the three most recent years for which data are available (to the extent that data are available). In the first two columns, data from the previous two years' annual reports (FFY 2016 and FFY 2017) will be populated with data from previously reported data in CARTS. If you reported data in the two previous years' reports and you want to update/change the data, please enter that data. If you reported no data for either of those two years, but you now have data available for them, please enter the data. In the third column, please report the most recent data available at the time you are submitting the current annual report (FFY 2018).

In this section, the term performance measure is used to refer to any data your state provides as evidence towards a particular goal within a strategic objective. For the purpose of this section, "objectives" refer to the five broad categories listed above, while "goals" are state-specific, and should be listed in the appropriate subsections within the space provided for each objective.

NOTES: Please do not reference attachments in this section. If details about a particular measure are located in an attachment, please summarize the relevant information from the attachment in the space provided for each measure.

In addition, please do not report the same data that were reported for Child Core Set reporting. The intent of this section is to capture goals and measures that your state did not report elsewhere. As a reminder, Child Core Set reporting migrated to MACPRO in December 2015. Historical data are still available for viewing in CARTS.

Additional instructions for completing each row of the table are provided below.

#### A. Goal:

For each objective, space has been provided to report up to three goals. Use this section to provide a brief description of each goal you are reporting within a given strategic objective. All new goals should include a direction and a target. For clarification only, an example goal would be: "Increase (direction) by 5 percent (target) the number of CHIP beneficiaries who turned 13 years old during the measurement year who had a second dose of MMR, three hepatitis B vaccinations and one varicella vaccination by their 13<sup>th</sup> birthday."

### B. Type of Goal:

For each goal you are reporting within a given strategic objective, please indicate the type of goal, as follows:

- New/revised: Check this box if you have revised or added a goal. Please explain how and why the goal was revised.
- <u>Continuing:</u> Check this box if the goal you are reporting is the same one you have reported in previous annual reports.
- <u>Discontinued:</u> Check this box if you have met your goal and/or are discontinuing a goal. Please explain why the goal was discontinued. GAL

## C. Status of Data Reported:

Please indicate the status of the data you are reporting for each goal, as follows:

<u>Provisional:</u> Check this box if you are reporting performance measure data for a goal, but the
data are currently being modified, verified, or may change in any other way before you
finalize them for FFY 2018.

<u>Explanation of Provisional Data</u> – When the value of the Status of Data Reported field is selected as "Provisional", the state must specify why the data are provisional and when the state expects the data will be final.

- Final: Check this box if the data you are reporting are considered final for FFY 2018.
- <u>Same data as reported in a previous year's annual report:</u> Check this box if the data you are reporting are the same data that your state reported for the goal in another annual report. Indicate in which year's annual report you previously reported the data.

## D. Measurement Specification:

This section is included for only two of the objectives— objectives related to increasing access to care, and objectives related to use of preventative care—because these are the two objectives for which states may report using the HEDIS® measurement specification. In this section, for each goal, please indicate the measurement specification used to calculate your performance measure data (i.e., were the measures calculated using the HEDIS® specifications or some other method unrelated to HEDIS®).

Please indicate whether the measure is based on HEDIS® technical specifications or another source. If HEDIS® is selected, the HEDIS® Version field must be completed. If "Other" measurement specification is selected, the explanation field must be completed.

#### **HEDIS® Version:**

Please specify HEDIS® Version (example 2016). This field must be completed only when a user selects the HEDIS® measurement specification.

#### "Other" measurement specification explanation:

If "Other", measurement specification is selected, please complete the explanation of the "Other" measurement specification. The explanation field must be completed when "Other" measurement specification has been selected.

#### E. Data Source:

For each performance measure, please indicate the source of data. The categories provided in this section vary by objective. For the objectives related to reducing the number of uninsured children and CHIP or Medicaid enrollment, please indicate whether you have used eligibility/enrollment data, survey data (specify the survey used), or other source (specify the other source). For the objectives related to access to care and use of preventative care, please indicate whether you used administrative data (claims) (specify the kind of administrative data used), hybrid data (claims and medical records) (specify how the two were used to create the data source), survey data (specify the survey used), or other source (specify the other source). In all cases, if another data source was used, please explain the source.

#### F. Definition of Population Included in Measure:

Numerator: Please indicate the definition of the population included in the numerator for each measure (such as the number of visits required for inclusion, e.g., one or more visits in the past year).

Denominator: Please indicate the definition of the population included in the denominator for each measure.

For measures related to increasing access to care and use of preventative care, please

- Check one box to indicate whether the data are for the CHIP population only, or include both CHIP and Medicaid (Title XIX) children combined.
- If the denominator reported is not fully representative of the population defined above (the CHIP population only, orthe CHIP and Medicaid (Title XIX) populations combined), please further define the denominator. For example, denominator includes only children enrolled in managed care in certain counties, technological limitations preventing reporting on the full population defined, etc.). Please report information on exclusions in the definition of the denominator (including the proportion of children excluded), The provision of this information is important and will provide CMS with a context so that comparability of denominators across the states and over time can occur.

# G. Deviations from Measure Specification

For the measures related to increasing access to care and use of preventative care.

If the data provided for a measure deviates from the measure specification, please select the type(s) of measure specification deviation. The types of deviation parallel the measure specification categories for each measure. Each type of deviation is accompanied by a comment field that states must use to explain in greater detail or further specify the deviation when a deviation(s) from a measure is selected.

The five types (and examples) of deviations are:

- Year of Data (e.g., partial year),
- Data Source (e.g., use of different data sources among health plans or delivery systems),
- Numerator (e.g., coding issues).
- Denominator (e.g., exclusion of MCOs, different age groups, definition of continuousenrollment).
- Other.

When one or more of the types are selected, states are required to provide an explanation.

Please report the year of data for each performance measure. The year (or months) should correspond to the period in which enrollment or utilization took place. Do not report the year in which data were collected for the measure, or the version of HEDIS® used to calculate the measure, both of which may be different from the period corresponding to enrollment or utilization of services.

#### H. Date Range: available for 2018 CARTS reporting period.

Please define the date range for the reporting period based on the "From" time period as the month and year which corresponds to the beginning period in which utilization took place and please report the "To" time period as the month and year which corresponds to the end period in which utilization took place. Do not report the year in which data were collected for the measure, or the version of HEDIS® used to calculate the measure, both of which may be different from the period corresponding to utilization of services.

# I. Performance Measurement Data (HEDIS® or Other):

In this section, please report the numerators and denominators, rates for each measure (or component). The template provides two sections for entering the performance measurement data, depending on

whether you are reporting using HEDIS® or other methodologies. The form fields have been set up to facilitate entering numerators and denominators for each measure. If the form fields do not give you enough space to fully report on the measure, please use the "additional notes" section.

The preferred method is to calculate a "weighted rate" by summing the numerators and denominators across plans, and then deriving a single state-level rate based on the ratio of the numerator to the denominator). The reporting unit for each measure is the state as a whole. If states calculate rates for multiple reporting units (e.g., individual health plans, different health care delivery systems), states must aggregate data from all these sources into one state rate before reporting the data to CMS. In the situation where a state combines data across multiple reporting units, all or some of which use the hybrid method to calculate the rates, the state should enter zeroes in the "Numerator" and "Denominator" fields. In these cases, it should report the state-level rate in the "Rate" field and, when possible, include individual reporting unit numerators, denominators, and rates in the field labeled "Additional Notes on Measure," along with a description of the method used to derive the state-level rate.

# J. Explanation of Progress:

The intent of this section is to allow your state to highlight progress and describe any quality-improvement activities that may have contributed to your progress. Any quality-improvement activity described should involve the CHIP program, benefit CHIP enrollees, and relate to the performance measure and your progress. An example of a quality-improvement activity is a state-wide initiative to inform individual families directly of their children's immunization status with the goal of increasing immunization rates. CHIP would either be the primary lead or substantially involved in the project. If improvement has not occurred over time, this section can be used to discuss potential reasons for why progress was not seen and to describe future quality-improvement plans. In this section, your state is also asked to set annual performance objectives for FFY 2019, 2020 and 2021. Based on your recent performance on the measure (from FFY 2016 through 2018), use a combination of expert opinion and "best guesses" to set objectives for the next three years. Please explain your rationale for setting these objectives. For example, if your rate has been increasing by 3 or 4 percentage points per year, you might project future increases at a similar rate. On the other hand, if your rate has been stable over time, you might set a target that projects a small increase over time. If the rate has been fluctuating over time, you might look more closely at the data to ensure that the fluctuations are not an artifact of the data or the methods used to construct a rate. You might set an initial target that is an average of the recent rates, with slight increases in subsequent years. In future annual reports, you will be asked to comment on how your actual performance compares to the objective your state set for the year, as well as any quality-improvement activities that have helped or could help your state meet future objectives.

#### K. Other Comments on Measure:

Please use this section to provide any other comments on the measure, such as data limitations, plans to report on a measure in the future, or differences between performance measures reported here and those discussed in Section 9 of the CHIP state plan.

#### Objectives Related to Reducing the Number of Uninsured Children (Do not report data that was reported in Section IIA, Questions 2 and 3)

FFY 2016	FFY 2017	FFY 2018
Goal #1 (Describe)	Goal #1 (Describe)	Goal #1 (Describe)
The percentage of low-income uninsured children will be	The percentage of low-income uninsured children will be	The percentage of low-income uninsured children will be
maintained at less than or equal to 4% of all children in the	maintained at less than or equal to 4% of all children in the	maintained at less than or equal to 4% of all children in the
state.	state.	state.
Type of Goal:	Type of Goal:	Type of Goal:
New/revised. Explain:	New/revised. Explain:	New/revised. Explain:
Continuing.	☐ Continuing.	☐ Continuing.
Discontinued. Explain:	☐ Discontinued. <i>Explain</i> :	Discontinued. <i>Explain</i> :
Status of Data Reported:	Status of Data Reported:	Status of Data Reported:
Provisional.	Provisional.	Provisional.
Explanation of Provisional Data:	Explanation of Provisional Data:	Explanation of Provisional Data:
Final.	∑ Final.	∑ Final.
☐ Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.
Specify year of annual report in which data previously	Specify year of annual report in which data previously	Specify year of annual report in which data previously
reported:	reported:	reported:
Data Source:	Data Source:	Data Source:
☐ Eligibility/Enrollment data	☐ Eligibility/Enrollment data	☐ Eligibility/Enrollment data
Survey data. <i>Specify</i> :	Survey data. <i>Specify</i> :	Survey data. Specify:
Other. Specify:	Other. Specify:	Other. <i>Specify</i> :
U.S. Census Bureau American Community Survey 1 year	U.S. Census Bureau American Community Survey 1 year	U.S. Census Bureau American Community Survey 1 year
estimate 2015 (coverage year)	estimate 2016 (coverage year)	estimate 2017 (coverage year)
Definition of Population Included in the Measure:	Definition of Population Included in the Measure:	Definition of Population Included in the Measure:
Definition of denominator: The number of children less than	Definition of denominator: The number of children less than	Definition of denominator: The number of children less than
18 years of age in Alabama.	18 years of age in Alabama.	19 years of age in Alabama.
To your or ugo in Trinounium	10 yours of age in Financian	15 years of age in Financian
Definition of numerator: The number of children less than 18	Definition of numerator: The number of children less than	
years of age in Alabama below 300% FPL who are	18 years of age in Alabama below 300% FPL who are	
uninsured.	uninsured.	Definition of numerator: The number of children less than 19
		years of age in Alabama below 300% FPL who are uninsured.
Date Range:	Date Range:	Date Range:
From: (mm/yyyy) 01/2015To: (mm/yyyy)12/2015	From: (mm/yyyy) 01/2016To: (mm/yyyy)12/2016	From: (mm/yyyy) 01/2017To: (mm/yyyy)12/2017
Performance Measurement Data:	Performance Measurement Data:	Performance Measurement Data:
Described what is being measured:	Described what is being measured:	Described what is being measured:
The percentage of low-income uninsured children in	The percentage of low-income uninsured children of all	The percentage of low-income uninsured children of all
Alabama.	children in the state.	children in the state.
Numerator: 27787		Numerator: 27536
Denominator: 1092958	Numerator: 20443	Denominator: 1108385
Rate: 2.5	Denominator: 1084701	Rate: 2.5
	Rate: 1.9	
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:

FFY 2016	FFY 2017	FFY 2018
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
How did your performance in 2016 compare with the Annual Performance Objective documented in your 2015 Annual Report? The rate for FY 2015 (3.1) was significantly higher than the rate for FY 2106 (2.5).	How did your performance in 2017 compare with the Annual Performance Objective documented in your 2016 Annual Report? The rate for FY 2017 shows a decline in the population of uninsured Alabama children and youth. The FY 2017 rate of 1.9 is lower than FY 2016's rate of 2.5.	How did your performance in 2018 compare with the Annual Performance Objective documented in your 2017 Annual Report? The rate for FY 2018 shows an increase in the population of uninsured Alabama children and youth. The FY 2018 rate of 2.5 is higher than FY 2017's rate of 1.9. This increase may be due to a difference in the reporting methodology by the ACS. See comments below in the "Other Comments on Measure"
What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal? None	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal? None	what quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal? Continuing to improve the functionality of the joint eligibility and enrollment system used for CHIP and Medicaid.
Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.
Annual Performance Objective for FFY 2017: The percentage of low-income uninsured children will be maintained at less than or equal to 4% of all children in the state.  Annual Performance Objective for FFY 2018: The percentage of low-income uninsured children will be maintained at less than or equal to 4% of all children in the state.  Annual Performance Objective for FFY 2019: The percentage of low-income uninsured children will be maintained at less than or equal to 4% of all children in the state.	Annual Performance Objective for FFY 2018: The percentage of low-income uninsured children will be maintained at less than or equal to 4.0% of all children in the state  Annual Performance Objective for FFY 2019: The percentage of low-income uninsured children will be maintained at less than or equal to 3.5% of all children in the state  Annual Performance Objective for FFY 2020: The percentage of low-income uninsured children will be maintained at less than or equal to 3.0% of all children in the state	Annual Performance Objective for FFY 2019: The percentage of low-income uninsured children will be maintained at less than or equal to 3.5% of all children in the state.  Annual Performance Objective for FFY 2020: The percentage of low-income uninsured children will be maintained at less than or equal to 3.5% of all children in the state.  Annual Performance Objective for FFY 2021: The percentage of low-income uninsured children will be maintained at less than or equal to 3.5% of all children in the state.
Explain how these objectives were set: Based on historical data.	Explain how these objectives were set: Based on the observed trend and historical data.	Explain how these objectives were set: Based on the observed trend and historical data.
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure: Prior American Community Survey (ACS), 1-Year Estimates (Table B27016) accounted for children under 18 years of age. The most recent release, ACS, 1-Year Estimates (2017) accounts for children under 19 years of age. This may be related to the increased rate.

#### Objectives Related to Reducing the Number of Uninsured Children (Do not report data that was reported in Section IIA, Questions 2 and 3) (Continued)

FFY 2016	FFY 2017	FFY 2018
Goal #2 (Describe)	Goal #2 (Describe)	Goal #2 (Describe)
Type of Goal:	Type of Goal:	Type of Goal:
New/revised. Explain:	New/revised. Explain:	New/revised. Explain:
Continuing.	Continuing.	Continuing.
☐ Discontinued. <i>Explain</i> :	☐ Discontinued. <i>Explain</i> :	Discontinued. Explain:
Status of Data Reported:	Status of Data Reported:	Status of Data Reported:
Provisional.	Provisional.	Provisional.
Explanation of Provisional Data:	Explanation of Provisional Data:	Explanation of Provisional Data:
Final.	Final.	Final.
Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.
Specify year of annual report in which data previously	Specify year of annual report in which data previously	Specify year of annual report in which data previously
reported:	reported:	reported:
Data Source:	Data Source:	Data Source:
☐ Eligibility/Enrollment data	☐ Eligibility/Enrollment data	☐ Eligibility/Enrollment data
Survey data. Specify:	Survey data. Specify:	Survey data. Specify:
Other. Specify:	Other. Specify:	Other. Specify:
Definition of Population Included in the Measure:	Definition of Population Included in the Measure:	Definition of Population Included in the Measure:
Definition of denominator:	Definition of denominator:	Definition of denominator:
Definition of numerator:	Definition of numerator:	Definition of numerator:
Date Range:	Date Range:	Date Range:
From: (mm/yyyy) To: (mm/yyyy)	From: (mm/yyyy) To: (mm/yyyy)	From: (mm/yyyy) To: (mm/yyyy)
Performance Measurement Data:	Performance Measurement Data:	Performance Measurement Data:
Described what is being measured:	Described what is being measured:	Described what is being measured:
Numerator:	Numerator:	Numerator:
Denominator:	Denominator:	Denominator:
Rate:	Rate:	Rate:
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
How did your performance in 2016 compare with the Annual Performance Objective documented in your 2015 Annual Report?	How did your performance in 2017 compare with the Annual Performance Objective documented in your 2016 Annual Report?	How did your performance in 2018 compare with the Annual Performance Objective documented in your 2017 Annual Report?

FFY 2016	FFY 2017	FFY 2018
What quality improvement activities that involve the	What quality improvement activities that involve the	What quality improvement activities that involve the
CHIP program and benefit CHIP enrollees help	CHIP program and benefit CHIP enrollees help	CHIP program and benefit CHIP enrollees help
enhance your ability to report on this measure,	enhance your ability to report on this measure,	enhance your ability to report on this measure,
improve your results for this measure, or make progress toward your goal?	improve your results for this measure, or make progress toward your goal?	improve your results for this measure, or make progress toward your goal?
Please indicate how CMS might be of assistance in	Please indicate how CMS might be of assistance in	Please indicate how CMS might be of assistance in
improving the completeness or accuracy of your	improving the completeness or accuracy of your	improving the completeness or accuracy of your
reporting of the data.	reporting of the data.	reporting of the data.
Annual Performance Objective for FFY 2017:	Annual Performance Objective for FFY 2018:	Annual Performance Objective for FFY 2019:
Annual Performance Objective for FFY 2018:	Annual Performance Objective for FFY 2019:	Annual Performance Objective for FFY 2020:
Annual Performance Objective for FFY 2019:	Annual Performance Objective for FFY 2020:	Annual Performance Objective for FFY 2021:
Explain how these objectives were set:	Explain how these objectives were set:	Explain how these objectives were set:
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure:

### Objectives Related to Reducing the Number of Uninsured Children (Do not report data that was reported in Section IIA, Questions 2 and 3) (Continued)

FFY 2016	FFY 2017	FFY 2018
Goal #3 (Describe)	Goal #3 (Describe)	Goal #3 (Describe)
Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:	Type of Goal:  ☐ New/revised. Explain: ☐ Continuing. ☐ Discontinued. Explain:	Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:
Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:
Data Source:  ☐ Eligibility/Enrollment data ☐ Survey data. Specify: ☐ Other. Specify:	Data Source:  ☐ Eligibility/Enrollment data ☐ Survey data. Specify: ☐ Other. Specify:	Data Source:  ☐ Eligibility/Enrollment data ☐ Survey data. Specify: ☐ Other. Specify:
Definition of Population Included in the Measure:	Definition of Population Included in the Measure:	Definition of Population Included in the Measure:
Definition of denominator:	Definition of denominator:	Definition of denominator:
Definition of numerator:	Definition of numerator:	Definition of numerator:
Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)
Performance Measurement Data: Described what is being measured:	Performance Measurement Data: Described what is being measured:	Performance Measurement Data: Described what is being measured:
Numerator:	Numerator:	Numerator:
Denominator:	Denominator:	Denominator:
Rate:	Rate:	Rate:
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
How did your performance in 2016 compare with the Annual Performance Objective documented in your 2015 Annual Report?	How did your performance in 2017 compare with the Annual Performance Objective documented in your 2016 Annual Report?	How did your performance in 2018 compare with the Annual Performance Objective documented in your 2017 Annual Report?

FFY 2016	FFY 2017	FFY 2018
What quality improvement activities that involve the	What quality improvement activities that involve the	What quality improvement activities that involve the
CHIP program and benefit CHIP enrollees help	CHIP program and benefit CHIP enrollees help	CHIP program and benefit CHIP enrollees help
enhance your ability to report on this measure,	enhance your ability to report on this measure,	enhance your ability to report on this measure,
improve your results for this measure, or make progress toward your goal?	improve your results for this measure, or make progress toward your goal?	improve your results for this measure, or make progress toward your goal?
Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.
Annual Performance Objective for FFY 2017:	Annual Performance Objective for FFY 2018:	Annual Performance Objective for FFY 2019:
Annual Performance Objective for FFY 2018:	Annual Performance Objective for FFY 2019:	Annual Performance Objective for FFY 2020:
Annual Performance Objective for FFY 2019:	Annual Performance Objective for FFY 2020:	Annual Performance Objective for FFY 2021:
Explain how these objectives were set:	Explain how these objectives were set:	Explain how these objectives were set:
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure:

## **Objectives Related to CHIP Enrollment**

FFY 2016	FFY 2017	FFY 2018
Goal #1 (Describe)	Goal #1 (Describe)	Goal #1 (Describe)
The percentage of low-income children in the ALL Kids	The percentage of low-income children in the ALL Kids	The percentage of low-income children in the ALL Kids
income eligibility range who are uninsured will be equal to or	income eligibility range who are uninsured will be equal to or	income eligibility range who are uninsured will be equal to or
less than 6%.	less than 6%.	less than 6%.
Type of Goal:	Type of Goal:	Type of Goal:
New/revised. <i>Explain</i> :	New/revised. Explain:	New/revised. Explain:
Continuing.	☐ Continuing.	☐ Continuing.
☐ Discontinued. <i>Explain</i> :	☐ Discontinued. <i>Explain</i> :	☐ Discontinued. <i>Explain</i> :
Previous years' Goal 2 is now being reported as Goal 1 since		
discontinuing Goal 1 in FY 2014 and FY 2015.		
Status of Data Reported:	Status of Data Reported:	Status of Data Reported:
Provisional.	Provisional.	Provisional.
Explanation of Provisional Data:	Explanation of Provisional Data:	Explanation of Provisional Data:
Final.	∏ Final.	⊠ Final.
Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.
Specify year of annual report in which data previously	Specify year of annual report in which data previously	Specify year of annual report in which data previously
reported:	reported:	reported:
Data Source:	Data Source:	Data Source:
☐ Eligibility/Enrollment data. ☐ Survey data. Specify:	☐ Eligibility/Enrollment data. ☐ Survey data. <i>Specify</i> :	☐ Eligibility/Enrollment data. ☐ Survey data. <i>Specify</i> :
☐ Other. Specify:	Other. Specify:	Other. Specify:
U.S. Census Bureau American Community Survey 1 year	U.S. Census Bureau American Community Survey 1 year	U.S. Census Bureau American Community Survey 1 year
estimate 2015 (coverage year)	estimate 2016 (coverage year)	estimate 2017 (coverage year)
Definition of Population Included in the Measure:	Definition of Population Included in the Measure:	Definition of Population Included in the Measure:
Definition of Fopulation Included in the Measure.	Definition of I opulation included in the vicusure.	Definition of Population Included in the Measure.
Definition of denominator: The number of children less than	Definition of denominator: The number of children less than	Definition of denominator: The number of children less than
18 years of age in Alabama who are 137% to below 300%	18 years of age in Alabama who are 137% to below 300%	19 years of age in Alabama who are 137% to below 300%
FPL.	FPL.	FPL.
Definition of numerator: The number of children less than 18	Definition of numerator: The number of children less than 18	Definition of numerator: The number of children less than 19
years of age in Alabama who are 137% to below 300% FPL	years of age in Alabama who are 137% to below 300% FPL	years of age in Alabama who are 137% to below 300% FPL
and uninsured.	and uninsured	and uninsured
Date Range:	Date Range:	Date Range:
From: (mm/yyyy) 01/2015To: (mm/yyyy)12/2015	From: (mm/yyyy) 01/2016To: (mm/yyyy)12/2016	From: (mm/yyyy) 01/2017To: (mm/yyyy)12/2017
Performance Measurement Data:	Performance Measurement Data:	Performance Measurement Data:
Described what is being measured:	Described what is being measured:	Described what is being measured:
The percentage of Alabama children eligible for ALL Kids	The percentage of Alabama children eligible for ALL Kids	The percentage of Alabama children eligible for ALL Kids
but not enrolled.	but not enrolled.	but not enrolled.
Numerator: 10471	Numerator: 8596	Numerator: 11695
Denominator: 322701	Denominator: 324863	Denominator: 338402
Rate: 3.2	Rate: 2.6	Rate: 3.5
1440.0.2		1
<u> </u>	I	

FFY 2016	FFY 2017	FFY 2018
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:
Franks (f. 1997)		
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
How did your performance in 2016 compare with the Annual Performance Objective documented in your 2015 Annual Report? The rate reported in the 2015 Annual Report (4.2) was significantly higher than the rate reported for 2016 (3.2).	How did your performance in 2017 compare with the Annual Performance Objective documented in your 2016 Annual Report? The rate reported in the 2016 Annual Report (3.2) was higher than the rate reported for 2017 (2.6).	How did your performance in 2018 compare with the Annual Performance Objective documented in your 2017 Annual Report? The rate reported in the 2017 Annual Report (2.6) was lower than the rate reported for 2018 (3.5). The increase reflected for 2018 may be due to a difference in the reporting methodology by the ACS. See comments below in the "Other Comments on Measure" section.
What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal? None	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal? None	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal? Continuing to improve the functionality of the joint eligibility and enrollment system used for CHIP and Medicaid.
Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.
Annual Performance Objective for FFY 2017: The percentage of low-income uninsured children within the ALL Kids income range will be equal to or less than 4.5% of all children in the state within the same income range.  Annual Performance Objective for FFY 2018: The percentage of low-income uninsured children within the ALL Kids income range will be equal to or less than 4.0% of all children in the state within the same income range.  Annual Performance Objective for FFY 2019: The percentage of low-income uninsured children within the ALL Kids income range will be equal to or less than 4.0% of all children in the state within the same income range.	Annual Performance Objective for FFY 2018: The percentage of low-income uninsured children within the ALL Kids income range will be equal to or less than 4.0% of all children in the state within the same income range.  Annual Performance Objective for FFY 2019: The percentage of low-income uninsured children within the ALL Kids income range will be equal to or less than 3.75% of all children in the state within the same income range.  Annual Performance Objective for FFY 2020: The percentage of low-income uninsured children within the ALL Kids income range will be equal to or less than 3.5% of all children in the state within the same income range.	Annual Performance Objective for FFY 2019: The percentage of low-income uninsured children within the ALL Kids income range will be equal to or less than 3.75% of all children in the state within the same income range.  Annual Performance Objective for FFY 2020: The percentage of low-income uninsured children within the ALL Kids income range will be equal to or less than 3.75% of all children in the state within the same income range.  Annual Performance Objective for FFY 2021: The percentage of low-income uninsured children within the ALL Kids income range will be equal to or less than 3.75% of all children in the state within the same income range.
Explain how these objectives were set: Based on historical data	Explain how these objectives were set: Based on the observed trend and historical data.	Explain how these objectives were set: Based on the observed trend and historical data.

FFY 2016	FFY 2017	FFY 2018
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure: Prior American Community
		Survey (ACS), 1-Year Estimates (Table B27016) accounted
		for children under 18 years of age. The most recent release,
		ACS, 1-Year Estimates (2017) accounts for children under 19
		years of age. This may be related to the increased rate.

## **Objectives Related to CHIP Enrollment (Continued)**

FFY 2016	FFY 2017	FFY 2018
Goal #2 (Describe)	Goal #2 (Describe)	Goal #2 (Describe)
Type of Goal:	Type of Goal:	Type of Goal:
☐ New/revised. <i>Explain</i> :	☐ New/revised. <i>Explain</i> :	☐ New/revised. <i>Explain</i> :
Continuing.	Continuing.	Continuing.
Discontinued. <i>Explain</i> :	☐ Discontinued. <i>Explain</i> :	Discontinued. <i>Explain</i> :
Status of Data Reported:	Status of Data Reported:	Status of Data Reported:
Provisional.	Provisional.	Provisional.
Explanation of Provisional Data:	Explanation of Provisional Data:	Explanation of Provisional Data:
Final.	Final.	Final.
Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.
Specify year of annual report in which data previously	Specify year of annual report in which data previously	Specify year of annual report in which data previously
reported:	reported:	reported:
Data Source:	Data Source:	Data Source:
☐ Eligibility/Enrollment data.	☐ Eligibility/Enrollment data.	☐ Eligibility/Enrollment data.
Survey data. Specify:	Survey data. Specify:	Survey data. Specify:
Other. Specify:	Other. Specify:	Other. Specify:
<b>Definition of Population Included in the Measure:</b>	Definition of Population Included in the Measure:	Definition of Population Included in the Measure:
Definition of denominator:	Definition of denominator:	Definition of denominator:
Definition of numerator:	Definition of numerator:	Definition of numerator:
Date Range:	Date Range:	Date Range:
From: (mm/yyyy) To: (mm/yyyy)	From: (mm/yyyy) To: (mm/yyyy)	From: (mm/yyyy) To: (mm/yyyy)
Performance Measurement Data:	Performance Measurement Data:	Performance Measurement Data:
Described what is being measured:	Described what is being measured:	Described what is being measured:
Numerator:	Numerator:	Numerator:
Denominator:	Denominator:	Denominator:
Rate:	Rate:	Rate:
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:

FFY 2016	FFY 2017	FFY 2018
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
How did your performance in 2016 compare with the Annual Performance Objective documented in your 2015 Annual Report?	How did your performance in 2017 compare with the Annual Performance Objective documented in your 2016 Annual Report?	How did your performance in 2018 compare with the Annual Performance Objective documented in your 2017 Annual Report?
What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?
Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.
Annual Performance Objective for FFY 2017: Annual Performance Objective for FFY 2018: Annual Performance Objective for FFY 2019:	Annual Performance Objective for FFY 2018: Annual Performance Objective for FFY 2019: Annual Performance Objective for FFY 2020:	Annual Performance Objective for FFY 2019: Annual Performance Objective for FFY 2020: Annual Performance Objective for FFY 2021:
Explain how these objectives were set:	Explain how these objectives were set:	Explain how these objectives were set:
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure:

## **Objectives Related to CHIP Enrollment (Continued)**

FFY 2016	FFY 2017	FFY 2018
Goal #3 (Describe)	Goal #3 (Describe)	Goal #3 (Describe)
Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:	Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:	Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:
Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:  Data Source:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:  Data Source:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:  Data Source:
☐ Eligibility/Enrollment data. ☐ Survey data. Specify: ☐ Other. Specify:	☐ Eligibility/Enrollment data. ☐ Survey data. Specify: ☐ Other. Specify:	☐ Eligibility/Enrollment data. ☐ Survey data. Specify: ☐ Other. Specify:
Definition of Population Included in the Measure:	Definition of Population Included in the Measure:	Definition of Population Included in the Measure:
Definition of denominator:	Definition of denominator:	Definition of denominator:
Definition of numerator:	Definition of numerator:	Definition of numerator:
Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)
Performance Measurement Data: Described what is being measured:	Performance Measurement Data: Described what is being measured:	Performance Measurement Data: Described what is being measured:
Numerator: Denominator: Rate:	Numerator: Denominator: Rate:	Numerator: Denominator: Rate:
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
How did your performance in 2016 compare with the Annual Performance Objective documented in your 2015 Annual Report?	How did your performance in 2017 compare with the Annual Performance Objective documented in your 2016 Annual Report?	How did your performance in 2018 compare with the Annual Performance Objective documented in your 2017 Annual Report?

FFY 2016	FFY 2017	FFY 2018
What quality improvement activities that involve the	What quality improvement activities that involve the	What quality improvement activities that involve the
CHIP program and benefit CHIP enrollees help	CHIP program and benefit CHIP enrollees help	CHIP program and benefit CHIP enrollees help
enhance your ability to report on this measure,	enhance your ability to report on this measure,	enhance your ability to report on this measure,
improve your results for this measure, or make progress toward your goal?	improve your results for this measure, or make progress toward your goal?	improve your results for this measure, or make progress toward your goal?
Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.
Annual Performance Objective for FFY 2017:	Annual Performance Objective for FFY 2018:	Annual Performance Objective for FFY 2019:
Annual Performance Objective for FFY 2018:	Annual Performance Objective for FFY 2019:	Annual Performance Objective for FFY 2020:
Annual Performance Objective for FFY 2019:	Annual Performance Objective for FFY 2020:	Annual Performance Objective for FFY 2021:
Explain how these objectives were set:	Explain how these objectives were set:	Explain how these objectives were set:
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure:

## **Objectives Related to Medicaid Enrollment**

FFY 2016	FFY 2017	FFY 2018
Goal #1 (Describe)	Goal #1 (Describe)	Goal #1 (Describe)
The percentage of children in the Alabama Medicaid income	The percentage of children in the Alabama Medicaid income	The percentage of children in the Alabama Medicaid income
eligibility range who are uninsured will be equal to or less	eligibility range who are uninsured will be equal to or less	eligibility range who are uninsured will be equal to or less
than 6%.	than 6%.	than 6%.
Type of Goal:	Type of Goal:	Type of Goal:
New/revised. <i>Explain</i> :	☐ New/revised. <i>Explain</i> :	☐ New/revised. <i>Explain</i> :
Continuing.	☐ Continuing.	⊠ Continuing.
☐ Discontinued. <i>Explain</i> :	☐ Discontinued. <i>Explain</i> :	☐ Discontinued. <i>Explain</i> :
Status of Data Reported:	Status of Data Reported:	Status of Data Reported:
Provisional.	Provisional.	Provisional.
Explanation of Provisional Data:	Explanation of Provisional Data:	Explanation of Provisional Data:
Final.	☐ Final.	☐ Final.
Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.
Specify year of annual report in which data previously	Specify year of annual report in which data previously	Specify year of annual report in which data previously
reported:	reported:	reported:
Data Source:	Data Source:	Data Source:
☐ Eligibility/Enrollment data.	☐ Eligibility/Enrollment data.	☐ Eligibility/Enrollment data.
Survey data. Specify:	Survey data. Specify:	Survey data. Specify:
Other. Specify:	Other. Specify:	Other. Specify:
U.S. Census Bureau American Community Survey 1 year	U.S. Census Bureau American Community Survey 1 year	U.S. Census Bureau American Community Survey 1 year
estimate 2015 (coverage year)	estimate 2016 (coverage year)	estimate 2017 (coverage year)
Definition of Population Included in the Measure:	Definition of Population Included in the Measure:	Definition of Population Included in the Measure:
Definition of denominator: The number of children less than	Definition of denominator: The number of children less than	Definition of denominator: The number of children less than
18 years of age who are below 137% FPL.	18 years of age who are below 137% FPL.	18 years of age who are below 137% FPL.
	-	
Definition of numerator: The number of children under 18	Definition of numerator: The number of children under 18	Definition of numerator: The number of children under 18
years of age who are below 137% FPL and uninsured.	years of age who are below 137% FPL and uninsured.	years of age who are below 137% FPL and uninsured.
Date Range:	Date Range:	Date Range:
From: (mm/yyyy) 01/2015To: (mm/yyyy)12/2015	From: (mm/yyyy) 01/2016To: (mm/yyyy)12/2017	From: (mm/yyyy) 01/2017To: (mm/yyyy)12/2017
Performance Measurement Data:	Performance Measurement Data:	Performance Measurement Data:
Described what is being measured:	Described what is being measured:	Described what is being measured:
The percentage of Alabama children who are eligible for	The percentage of Alabama children who are eligible for	The percentage of Alabama children who are eligible for
Medicaid but who are uninsured.	Medicaid but who are uninsured.	Medicaid but who are uninsured.
Numerator: 17316	Numerator: 11847	Numerator: 15841
Denominator: 397227	Denominator: 363517	Denominator: 389559
Rate: 4.4	Rate: 3.3	Rate: 4.1
Take. II.	1440. 5.5	1440. 111

FFY 2016	FFY 2017	FFY 2018
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
How did your performance in 2016 compare with the Annual Performance Objective documented in your 2015 Annual Report? The rate reported in the 2015 Annual Report (4.9) is significantly higher than the rate reported for 2016 (4.4).	How did your performance in 2017 compare with the Annual Performance Objective documented in your 2016 Annual Report? The rate reported in the 2016 Annual Report (4.4) is significantly higher than the rate reported for 2017 (3.3).	How did your performance in 2018 compare with the Annual Performance Objective documented in your 2017 Annual Report? The rate reported in the 2017 Annual Report (3.3) is lower than the rate reported for 2018 (4.1). The increase reflected for 2018 may be due to a difference in the reporting methodology by the ACS. See comments below in the "Other Comments on Measure" section.
What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal? N/A	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal? The annual release of the American Community Survey results enhances our ability to report on this measure.	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal? The state has a shared eligibility and enrollment system with the Alabama Medicaid Agency where applicants can apply online for public health insurance programs, such as CHIP. As well, the annual release of the American Community Survey results enhances our ability to report on this measure.
Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.
Annual Performance Objective for FFY 2017: The percentage of children in the Alabama Medicaid income eligibility range (below 137% FPL) who are uninsured will be equal to or less than 4.5%.  Annual Performance Objective for FFY 2018: The percentage of children in the Alabama Medicaid income eligibility range (below 137% FPL) who are uninsured will be equal to or less than 4.5%.  Annual Performance Objective for FFY 2019: The percentage of children in the Alabama Medicaid income eligibility range (below 137% FPL) who are uninsured will be equal to or less than 4.5%.	Annual Performance Objective for FFY 2018: The percentage of children in the Alabama Medicaid income eligibility range (below 137% FPL) who are uninsured will be equal to or less than 4.5%.  Annual Performance Objective for FFY 2019: The percentage of children in the Alabama Medicaid income eligibility range (below 137% FPL) who are uninsured will be equal to or less than 4.0%.  Annual Performance Objective for FFY 2020: The percentage of children in the Alabama Medicaid income eligibility range (below 137% FPL) who are uninsured will be equal to or less than 4.0%.	Annual Performance Objective for FFY 2019: The percentage of children in the Alabama Medicaid income eligibility range (below 137% FPL) who are uninsured will be equal to or less than 4.5%.  Annual Performance Objective for FFY 2020: The percentage of children in the Alabama Medicaid income eligibility range (below 137% FPL) who are uninsured will be equal to or less than 4.5%.  Annual Performance Objective for FFY 2021: The percentage of children in the Alabama Medicaid income eligibility range (below 137% FPL) who are uninsured will be equal to or less than 4.5%.
Explain how these objectives were set: Objectives were based on historical data	Explain how these objectives were set: Based on the observed trend and the overall improvement seen across prior reported years.	Explain how these objectives were set: Based on the observed trend between 2017 and 2018.

FFY 2016	FFY 2017	FFY 2018
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure: Prior American Community
		Survey (ACS), 1-Year Estimates (Table B27016) accounted
		for children under 18 years of age. The most recent release,
		ACS, 1-Year Estimates (2017) accounts for children under 19
		years of age. This may be related to the increased rate.

## Objectives Related to Medicaid Enrollment (Continued)

FFY 2016	FFY 2017	FFY 2018
Goal #2 (Describe)	Goal #2 (Describe)	Goal #2 (Describe)
Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:	Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:	Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:
Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:
Data Source:  ☐ Eligibility/Enrollment data. ☐ Survey data. Specify: ☐ Other. Specify:	Data Source:  ☐ Eligibility/Enrollment data. ☐ Survey data. Specify: ☐ Other. Specify:	Data Source:  ☐ Eligibility/Enrollment data. ☐ Survey data. Specify: ☐ Other. Specify:
<b>Definition of Population Included in the Measure:</b>	Definition of Population Included in the Measure:	Definition of Population Included in the Measure:
Definition of denominator:	Definition of denominator:	Definition of denominator:
Definition of numerator:	Definition of numerator:	Definition of numerator:
Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)
Performance Measurement Data: Described what is being measured:	Performance Measurement Data: Described what is being measured:	Performance Measurement Data: Described what is being measured:
Numerator: Denominator: Rate:	Numerator: Denominator: Rate:	Numerator: Denominator: Rate:
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:

FFY 2016	FFY 2017	FFY 2018
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
How did your performance in 2016 compare with the Annual Performance Objective documented in your 2015 Annual Report?	How did your performance in 2017 compare with the Annual Performance Objective documented in your 2016 Annual Report?	How did your performance in 2018 compare with the Annual Performance Objective documented in your 2017 Annual Report?
What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?
Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.
Annual Performance Objective for FFY 2017: Annual Performance Objective for FFY 2018: Annual Performance Objective for FFY 2019:	Annual Performance Objective for FFY 2018: Annual Performance Objective for FFY 2019: Annual Performance Objective for FFY 2020:	Annual Performance Objective for FFY 2019: Annual Performance Objective for FFY 2020: Annual Performance Objective for FFY 2021:
Explain how these objectives were set:	Explain how these objectives were set:	Explain how these objectives were set:
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure:

## Objectives Related to Medicaid Enrollment (Continued)

FFY 2016	FFY 2017	FFY 2018
Goal #3 (Describe)	Goal #3 (Describe)	Goal #3 (Describe)
Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:	Type of Goal:  ☐ New/revised. Explain: ☐ Continuing. ☐ Discontinued. Explain:	Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:
Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:
Data Source:  ☐ Eligibility/Enrollment data. ☐ Survey data. Specify: ☐ Other. Specify:	Data Source:  ☐ Eligibility/Enrollment data. ☐ Survey data. Specify: ☐ Other. Specify:	Data Source:  ☐ Eligibility/Enrollment data. ☐ Survey data. Specify: ☐ Other. Specify:
Definition of Population Included in the Measure:	Definition of Population Included in the Measure:	Definition of Population Included in the Measure:
Definition of denominator:	Definition of denominator:	Definition of denominator:
Definition of numerator:	Definition of numerator:	Definition of numerator:
Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)
Performance Measurement Data: Described what is being measured:	Performance Measurement Data: Described what is being measured:	Performance Measurement Data: Described what is being measured:
Numerator:	Numerator:	Numerator:
Denominator: Rate:	Denominator: Rate:	Denominator: Rate:
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:

FFY 2016	FFY 2017	FFY 2018
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
How did your performance in 2016 compare with the Annual Performance Objective documented in your 2015 Annual Report?  What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this	How did your performance in 2017 compare with the Annual Performance Objective documented in your 2016 Annual Report?  What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure,	How did your performance in 2018 compare with the Annual Performance Objective documented in your 2017 Annual Report?  What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure,
measure, improve your results for this measure, or make progress toward your goal?	improve your results for this measure, or make progress toward your goal?	improve your results for this measure, or make progress toward your goal?
Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.
Annual Performance Objective for FFY 2017: Annual Performance Objective for FFY 2018: Annual Performance Objective for FFY 2019:	Annual Performance Objective for FFY 2018: Annual Performance Objective for FFY 2019: Annual Performance Objective for FFY 2020:	Annual Performance Objective for FFY 2019: Annual Performance Objective for FFY 2020: Annual Performance Objective for FFY 2021:
Explain how these objectives were set:	Explain how these objectives were set:	Explain how these objectives were set:
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure:

## Objectives Increasing Access to Care (Usual Source of Care, Unmet Need)

FFY 2016	FFY 2017	FFY 2018
Goal #1 (Describe)	Goal #1 (Describe)	Goal #1 (Describe)
For at least 95% of enrollees, the provider network will make	For at least 95% of enrollees, the provider network will make	For at least 95% of enrollees, the provider network will make
available 2 or more physicians within 20 miles of enrollees.	available 2 or more physicians within 20 miles of enrollees.	available 2 or more physicians within 20 miles of enrollees.
Type of Goal:	Type of Goal:	Type of Goal:
New/revised. <i>Explain</i> :	New/revised. <i>Explain</i> :	New/revised. <i>Explain</i> :
Continuing.	Continuing.	Continuing.
Discontinued. Explain:	Discontinued. Explain:	Discontinued. <i>Explain</i> :
A new goal was created that assists the state to better assess		
access to care.		
Status of Data Reported:	Status of Data Reported:	Status of Data Reported:
Provisional.	Provisional.	Provisional.
Explanation of Provisional Data:	Explanation of Provisional Data:	Explanation of Provisional Data:
☐ Final.	☐ Final.	∑ Final.
☐ Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.	☐ Same data as reported in a previous year's annual report.
Specify year of annual report in which data previously	Specify year of annual report in which data previously	Specify year of annual report in which data previously
reported:	reported:	reported:
Measurement Specification:	Measurement Specification:	Measurement Specification:
HEDIS. Specify version of HEDIS used:	HEDIS. Specify version of HEDIS used:	HEDIS. Specify HEDIS® Version used:
⊠Other. <i>Explain</i> : BCBSAL provider network geo-access	⊠Other. <i>Explain</i> : BCBSAL provider network geo-access	⊠Other. <i>Explain</i> : BCBSAL provider network geo-access
measures.	measures.	measures.
Data Source:	Data Source:	Data Source:
Administrative (claims data).	Administrative (claims data).	Administrative (claims data).
☐ Hybrid (claims and medical record data).	☐ Hybrid (claims and medical record data).	☐ Hybrid (claims and medical record data).
☐ Survey data. <i>Specify</i> :	Survey data. <i>Specify</i> :	Survey data. <i>Specify</i> :
Other. <i>Specify</i> :	Other. Specify:	$\square$ Other. <i>Specify</i> :
Administrator provider network and enrollment data	Administrator provider network and enrollment data	Administrator provider network and enrollment data
Definition of Population Included in the Measure:	Definition of Population Included in the Measure:	Definition of Population Included in the Measure:
Definition of numerator: Enrollees in the provider network	Definition of numerator: The number of enrollees who have 2	Definition of numerator: The number of enrollees who have 2
who have 2 or more physicians available within 20 miles.	or more network physicians available within 20 miles.	or more network physicians available within 20 miles.
Definition of denominator:	Definition of denominator:	Definition of denominator:
Denominator includes CHIP population only.	Denominator includes CHIP population only.	Denominator includes CHIP population only.
☐ Denominator includes CHIP and Medicaid (Title XIX).	☐ Denominator includes CHIP and Medicaid (Title XIX).	☐ Denominator includes CHIP and Medicaid (Title XIX).
If denominator is a subset of the definition selected above,	If denominator is a subset of the definition selected above,	If denominator is a subset of the definition selected above,
please further define the Denominator, please indicate the	please further define the Denominator, please indicate the	please further define the Denominator, please indicate the
number of children excluded:	number of children excluded:	number of children excluded:
Date Range:	Date Range:	Date Range:
From: (mm/yyyy) 07/2016To: (mm/yyyy)08/2016	From: (mm/yyyy) 07/2017To: (mm/yyyy)08/2017	From: (mm/yyyy) 10/2017To: (mm/yyyy)09/2018
<b>HEDIS Performance Measurement Data:</b>	HEDIS Performance Measurement Data:	<b>HEDIS Performance Measurement Data:</b>
(If reporting with HEDIS/HEDIS-like methodology)	(If reporting with HEDIS)	(If reporting with HEDIS)
Numerator:	Numerator:	Numerator:
Denominator:	Denominator:	Denominator:
Rate:	Rate:	Rate:

FFY 2016	FFY 2017	FFY 2018
Deviations from Measure Specifications:	Deviations from Measure Specifications:	Deviations from Measure Specifications:
Year of Data, <i>Explain</i> .	Year of Data, <i>Explain</i> .	Year of Data, <i>Explain</i> .
☐ Data Source, <i>Explain</i> .	☐ Data Source, <i>Explain</i> .	☐ Data Source, <i>Explain</i> .
		_
☐ Numerator, <i>Explain</i> .	☐ Numerator, <i>Explain</i> .	☐ Numerator, <i>Explain</i> .
Denominator, <i>Explain</i> .	Denominator, <i>Explain</i> .	Denominator, <i>Explain</i> .
,		
Other, Explain.	Other, Explain.	Other, Explain.
Additional notes on measure:	Additional notes on measure:	Additional notes on measure:
Other Performance Measurement Data:	Other Performance Measurement Data:	Other Performance Measurement Data:
(If reporting with another methodology)	(If reporting with another methodology)	(If reporting with another methodology)
Numerator: 68646	Numerator: 80321	Numerator: 81340
Denominator: 68689	Denominator: 80337	Denominator: 81402
Rate: 99.9	Rate: 100	Rate: 99.9
Additional notes on measure: N/A	Additional notes on measure:	Additional notes on measure:
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
How did your performance in 2016 compare with the	How did your performance in 2017 compare with the	How did your performance in 2018 compare with the
Annual Performance Objective documented in your	Annual Performance Objective documented in your	Annual Performance Objective documented in your
2015 Annual Report? N/A	<b>2016 Annual Report?</b> Performance was essentially the	2017 Annual Report? Performance was essentially the
•	same for both years.	same for both years and the established goal is being
What quality improvement activities that involve the	•	met.
CHIP program and benefit CHIP enrollees help	What quality improvement activities that involve the	What quality improvement activities that involve the
enhance your ability to report on this measure,	CHIP program and benefit CHIP enrollees help	CHIP program and benefit CHIP enrollees help
improve your results for this measure, or make	enhance your ability to report on this measure,	enhance your ability to report on this measure,
progress toward your goal? ALL Kids has monthly	improve your results for this measure, or make	improve your results for this measure, or make
meetings with the BCBSAL account staff where	progress toward your goal? ALL Kids has monthly	progress toward your goal? ALL Kids has monthly
provider issues may be addressed.	meetings with the BCBSAL account staff where	meetings with the BCBSAL account staff where
	provider issues may be addressed.	provider issues may be addressed.
Please indicate how CMS might be of assistance in		
	=	

FFY 2016	FFY 2017	FFY 2018
improving the completeness or accuracy of your	Please indicate how CMS might be of assistance in	Please indicate how CMS might be of assistance in
reporting of the data.	improving the completeness or accuracy of your	improving the completeness or accuracy of your
1	reporting of the data.	reporting of the data.
Annual Performance Objective for FFY 2017: For at	1 D 0 O11 4 0 FFW 4040 F	1 D 0 011 (1 0 EFFY 4010 E
least 95% of enrollees, the provider network will make	<b>Annual Performance Objective for FFY 2018:</b> For at	Annual Performance Objective for FFY 2019: For at
available 2 or more physicians within 20 miles of	least 95% of enrollees, the provider network will make	least 95% of enrollees, the provider network will make
enrollees.	available 2 or more physicians within 20 miles of	available 2 or more physicians within 20 miles of
Annual Performance Objective for FFY 2018:	enrollees.	enrollees.
For at least 95% of enrollees, the provider network will	<b>Annual Performance Objective for FFY 2019:</b> For at	Annual Performance Objective for FFY 2020: For at
make available 2 or more physicians within 20 miles of	least 95% of enrollees, the provider network will make	least 95% of enrollees, the provider network will make
enrollees.	available 2 or more physicians within 20 miles of	available 2 or more physicians within 20 miles of
Annual Performance Objective for FFY 2019: For at	enrollees.	enrollees.
least 95% of enrollees, the provider network will make	Annual Performance Objective for FFY 2020: For at	Annual Performance Objective for FFY 2021: For at
available 2 or more physicians within 20 miles of	least 95% of enrollees, the provider network will make	least 95% of enrollees, the provider network will make
enrollees.	available 2 or more physicians within 20 miles of	available 2 or more physicians within 20 miles of
	enrollees.	enrollees.
Explain how these objectives were set: Based on		
historical data	Explain how these objectives were set: Based on	Explain how these objectives were set: Based on
	historical data	historical data
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure:

## Objectives Related to Increasing Access to Care (Usual Source of Care, Unmet Need) (Continued)

FY 2016	FFY 2017	FFY 2018
Goal #2 (Describe)	Goal #2 (Describe)	Goal #2 (Describe)
For at least 95% of enrollees, the provider network will make	For at least 95% of enrollees, the provider network will make	For at least 95% of enrollees, the provider network will make
available 2 or more dentists within 10 miles of urban	available 2 or more dentists within 10 miles of urban	available 2 or more dentists within 10 miles of urban
enrollees, 2 or more dentists within 15 miles of suburban	enrollees, 2 or more dentists within 15 miles of suburban	enrollees, 2 or more dentists within 15 miles of suburban
enrollees and at least 1 dentist within 25 miles of rural	enrollees and at least 1 dentist within 25 miles of rural	enrollees and at least 1 dentist within 25 miles of rural
enrollees	enrollees	enrollees
Type of Goal:	Type of Goal:	Type of Goal:
New/revised. Explain:	New/revised. Explain:	New/revised. Explain:
Continuing.	☐ Continuing.	☐ Continuing.
☐ Discontinued. <i>Explain</i> :	☐ Discontinued. <i>Explain</i> :	Discontinued. <i>Explain</i> :
A new goal was created that assists the state to better assess		
access to care.		
Status of Data Reported:	Status of Data Reported:	Status of Data Reported:
Provisional.	Provisional.	Provisional.
Explanation of Provisional Data:	Explanation of Provisional Data:	Explanation of Provisional Data:
∏ Final.	Final.	Final.
Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.
Specify year of annual report in which data previously reported:	Specify year of annual report in which data previously reported:	Specify year of annual report in which data previously reported:
Measurement Specification:	Measurement Specification:	Measurement Specification:
HEDIS. Specify version of HEDIS used:	HEDIS. Specify version of HEDIS used:	HEDIS. Specify HEDIS® Version used:
Solution Specify version of Thebis used.  Solution Explain: BCBSAL provider network geo-access	○ Other. Explain: BCBSAL provider network geo-access	○ Other. Explain: BCBSAL provider network geo-access
measures.	measures.	measures.
Data Source:	Data Source:	Data Source:
Administrative (claims data).	Administrative (claims data).	Administrative (claims data).
Hybrid (claims and medical record data).	Hybrid (claims and medical record data).	Hybrid (claims and medical record data).
Survey data. Specify:	Survey data. Specify:	Survey data. Specify:
Other. Specify:	Other. Specify:	Other. Specify:
Administrator provider network and enrollment data	Administrator provider network and enrollment data	Administrator provider network and enrollment data
<b>Definition of Population Included in the Measure:</b>	Definition of Population Included in the Measure:	Definition of Population Included in the Measure:
Definition of numerator: Enrollees in the provider network	Definition of numerator: The number of enrollees who have	Definition of numerator: The number of enrollees who have
will make available 2 or more dentists within 10 miles of	available 2 or more network dentists within 10 miles of urban	available 2 or more network dentists within 10 miles of urban
urban enrollees, 2 or more dentists within 15 miles of	enrollees, 2 or more network dentists within 15 miles of	enrollees, 2 or more network dentists within 15 miles of
suburban enrollees and at least 1 dentist within 25 miles of	suburban enrollees and at least 1 network dentist within 25	suburban enrollees and at least 1 network dentist within 25
rural enrollees	miles of rural enrollees	miles of rural enrollees
Definition of denominator:	Definition of denominator:	Definition of denominator:
Denominator includes CHIP population only.	☐ Denominator includes CHIP population only.	□ Denominator includes CHIP population only.
Denominator includes CHIP and Medicaid (Title XIX).	Denominator includes CHIP and Medicaid (Title XIX).	Denominator includes CHIP and Medicaid (Title XIX).
If denominator is a subset of the definition selected above,	If denominator is a subset of the definition selected above,	If denominator is a subset of the definition selected above,
please further define the Denominator, please indicate the	please further define the Denominator, please indicate the	please further define the Denominator, please indicate the
number of children excluded:	number of children excluded:	number of children excluded:
Date Range:	Date Range:	Date Range:
From: (mm/yyyy) 07/2016To: (mm/yyyy)08/2016	From: (mm/yyyy) 07/2017To: (mm/yyyy)08/2017	From: (mm/yyyy) 10/2017To: (mm/yyyy)09/2018

FY 2016	FFY 2017	FFY 2018
<b>HEDIS Performance Measurement Data:</b>	HEDIS Performance Measurement Data:	HEDIS Performance Measurement Data:
(If reporting with HEDIS/HEDIS-like methodology)	(If reporting with HEDIS)	(If reporting with HEDIS)
Numerator:	Numerator:	Numerator:
Denominator:	Denominator:	Denominator:
Rate:	Rate:	Rate:
Deviations from Measure Specifications:	<b>Deviations from Measure Specifications:</b>	Deviations from Measure Specifications:
Year of Data, <i>Explain</i> .	Year of Data, <i>Explain</i> .	Year of Data, <i>Explain</i> .
☐ Data Source, <i>Explain</i> .	Data Source, Explain.	☐ Data Source, <i>Explain</i> .
☐ Numerator, <i>Explain</i> .	Numerator, <i>Explain</i> .	☐ Numerator, <i>Explain</i> .
☐Denominator, <i>Explain</i> .	Denominator, Explain.	☐Denominator, <i>Explain</i> .
	Denominator, Explain.	
Other, Explain.	Other, Explain.	Other, Explain.
and, Espain		
Additional notes on measure:	Additional notes on measure:	Additional note/comments on measure:

EV 2017	DEV 4045	DDX 2010
FY 2016	FFY 2017	FFY 2018
Other Performance Measurement Data:	Other Performance Measurement Data:	Other Performance Measurement Data:
(If reporting with another methodology)	(If reporting with another methodology)	(If reporting with another methodology)
Numerator: 0	Numerator: 0	Numerator: 0
Denominator: 0	Denominator: 0	Denominator: 0
Rate:	Rate: 0	Rate: 0
Additional notes on measure: Because of restrictions in the	Additional notes on measure: Because of restrictions in the	Additional notes on measure: Because of restrictions in the
CARTS template, zeros have been input into the numerator	CARTS template, zeros have been input into the numerator	CARTS template, zeros have been input into the numerator
and denominator fields above. Below are data for this	and denominator fields above. Below are data for this	and denominator fields above. Below are data for this
measure.	measure.	measure.
Urban: 2 or more dentists within 10 miles	Urban: 2 or more dentists within 10 miles	Urban: 2 or more dentists within 10 miles
Numerator: 1,378	Numerator: 1,669	Numerator: 1,593
Denominator: 1,378	Denominator: 1,669	Denominator: 1,605
Rate:100.0	Rate:100.0	Rate: 99.3
Suburban: 2 or more dentists within 15 miles	Suburban: 2 or more dentists within 15 miles	Suburban: 2 or more dentists within 15 miles
Numerator: 10,028	Numerator: 11,468	Numerator: 11,484
Denominator: 10,028	Denominator: 11,584	Denominator: 11,600
Rate:100.0	Rate:99.9	Rate:99.9
Rural: at least 1 dentist within 25 miles	Rural: at least 1 dentist within 25 miles	Rural: at least 1 dentist within 25 miles
Numerator: 57,222	Numerator: 67,063	Numerator: 68,171
Denominator: 57,279	Denominator: 67,063	Denominator: 68,171
Rate:99.9	Rate:100.0	Rate: 100.0
Kate. 99.9	Kate.100.0	Rate. 100.0
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
How did your performance in 2016 compare with the	How did your performance in 2017 compare with the	How did your performance in 2018 compare with the
Annual Performance Objective documented in your 2015	Annual Performance Objective documented in your	Annual Performance Objective documented in your
Annual Report? N/A	2016 Annual Report? Performance is relatively the	2017 Annual Report? The rates reported in the FFY
Ammun Reports 19/1	same as the FFY 2016 baseline year using the new	2017 Annual Report are essentially the same as reported
What quality improvement activities that involve the	GeoAccess reporting mechanism.	for FFY 2018 and the performance goal for this measure
What quality improvement activities that involve the	George Cos reporting meetianism.	is being met.
CHIP program and benefit CHIP enrollees help	What quality improvement activities that involve the	What quality improvement activities that involve the
enhance your ability to report on this measure,	CHIP program and benefit CHIP enrollees help	CHIP program and benefit CHIP enrollees help
improve your results for this measure, or make		
progress toward your goal? ALL Kids has monthly	enhance your ability to report on this measure,	enhance your ability to report on this measure,
meetings with the BCBSAL account staff where	improve your results for this measure, or make	improve your results for this measure, or make

progress toward your goal? ALL Kids has monthly

meetings with the BCBSAL account staff where

provider issues may be addressed.

Please indicate how CMS might be of assistance in

provider issues may be addressed.

progress toward your goal? ALL Kids has monthly

meetings with the BCBSAL account staff where

provider issues may be addressed.

FY 2016	FFY 2017	FFY 2018
improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.
Annual Performance Objective for FFY 2017: For at least 95% of enrollees, the provider network will make available 2 or more dentists within 10 miles of urban enrollees, 2 or more dentists within 15 miles of suburban enrollees and at least 1 dentist within 25 miles of rural enrollees.  Annual Performance Objective for FFY 2018: For at least 95% of enrollees, the provider network will make available 2 or more dentists within 10 miles of urban enrollees, 2 or more dentists within 15 miles of suburban enrollees and at least 1 dentist within 25 miles of rural enrollees.  Annual Performance Objective for FFY 2019: For at least 95% of enrollees, the provider network will make available 2 or more dentists within 10 miles of urban enrollees, 2 or more dentists within 15 miles of suburban enrollees and at least 1 dentist within 25 miles of rural enrollees.  Explain how these objectives were set: Based on	Annual Performance Objective for FFY 2018: For at least 95% of enrollees, the provider network will make available 2 or more dentists within 10 miles of urban enrollees, 2 or more dentists within 15 miles of suburban enrollees and at least 1 dentist within 25 miles of rural enrollees.  Annual Performance Objective for FFY 2019: For at least 95% of enrollees, the provider network will make available 2 or more dentists within 10 miles of urban enrollees, 2 or more dentists within 15 miles of suburban enrollees and at least 1 dentist within 25 miles of rural enrollees.  Annual Performance Objective for FFY 2020: For at least 95% of enrollees, the provider network will make available 2 or more dentists within 10 miles of urban enrollees, 2 or more dentists within 15 miles of suburban enrollees and at least 1 dentist within 25 miles of rural enrollees.	Annual Performance Objective for FFY 2019: For at least 95% of enrollees, the provider network will make available 2 or more dentists within 10 miles of urban enrollees, 2 or more dentists within 15 miles of suburban enrollees and at least 1 dentist within 25 miles of rural enrollees.  Annual Performance Objective for FFY 2020: For at least 95% of enrollees, the provider network will make available 2 or more dentists within 10 miles of urban enrollees, 2 or more dentists within 15 miles of suburban enrollees and at least 1 dentist within 25 miles of rural enrollees.  Annual Performance Objective for FFY 2021: For at least 95% of enrollees, the provider network will make available 2 or more dentists within 10 miles of urban enrollees, 2 or more dentists within 15 miles of suburban enrollees and at least 1 dentist within 25 miles of rural enrollees and at least 1 dentist within 25 miles of rural enrollees.
historical data.	Explain how these objectives were set: Based on historical data	Explain how these objectives were set: Based on historical data
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure:

## Objectives Related to Increasing Access to Care (Usual Source of Care, Unmet Need) (Continued)

FFY 2016	FFY 2017	FFY 2018
Goal #3 (Describe)	Goal #3 (Describe)	Goal #3 (Describe)
At least 95% of enrollees' families will report that in the last 6	At least 95% of enrollees' families will report that in the last	At least 95% of enrollees' families will report that in the last
months it was usually or always easy to get the care, tests or	6 months it was usually or always easy to get the care, tests	6 months it was usually or always easy to get the care, tests
treatment their child needed.	or treatment their child needed.	or treatment their child needed.
Type of Goal:	Type of Goal:	Type of Goal:
New/revised. <i>Explain</i> :	☐ New/revised. <i>Explain</i> :	☐ New/revised. <i>Explain</i> :
Continuing.	☐ Continuing.	☐ Continuing.
☐ Discontinued. <i>Explain</i> :	☐ Discontinued. <i>Explain</i> :	Discontinued. <i>Explain</i> :
A new goal was created that assists the state to better assess		
access to care.		
Status of Data Reported:	Status of Data Reported:	Status of Data Reported:
Provisional.	Provisional.	Provisional.
Explanation of Provisional Data:	Explanation of Provisional Data:	Explanation of Provisional Data:
∑ Final.	☐ Final.	Final.
☐ Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.	Same data as reported in a previous year's annual report.
Specify year of annual report in which data previously	Specify year of annual report in which data previously	Specify year of annual report in which data previously
reported:	reported:	reported:
Measurement Specification:	Measurement Specification:	Measurement Specification:
HEDIS. Specify version of HEDIS used:	HEDIS. Specify version of HEDIS used:	☐HEDIS. Specify HEDIS® Version used:
Other. Explain: CAHPS 5.0H Child Medicaid Survey	☑Other. <i>Explain</i> : CAHPS 5.0H Child Medicaid Survey	☑Other. <i>Explain</i> : CAHPS 5.0H Child Medicaid Survey
Data Source:	Data Source:	Data Source:
Administrative (claims data).	Administrative (claims data).	Administrative (claims data).
Hybrid (claims and medical record data).	Hybrid (claims and medical record data).	Hybrid (claims and medical record data).
Survey data. Specify:	Survey data. Specify:	⊠ Survey data. Specify:
Other. Specify:	Other. Specify:	Other. Specify:
Survey conducted by UAB	Survey conducted by UAB	Survey conducted by UAB
Definition of Population Included in the Measure:	<b>Definition of Population Included in the Measure:</b>	<b>Definition of Population Included in the Measure:</b>
Definition of numerator: At least 95% of enrollees' families	Definition of numerator: The number of enrollees' families	Definition of numerator: The number of enrollees' families
will report that in the last 6 months it was usually or always	who report that in the last 6 months it was usually or always	who report that in the last 6 months it was usually or always
easy to get the care, tests or treatment their child needed.	easy to get the care, tests or treatment their child needed.	easy to get the care, tests or treatment their child needed.
Definition of denominator:	Definition of denominator:	Definition of denominator:
Denominator includes CHIP population only.	Denominator includes CHIP population only.	Denominator includes CHIP population only.
Denominator includes CHIP and Medicaid (Title XIX).	Denominator includes CHIP and Medicaid (Title XIX).	Denominator includes CHIP and Medicaid (Title XIX).
If denominator is a subset of the definition selected above,	If denominator is a subset of the definition selected above,	If denominator is a subset of the definition selected above,
please further define the Denominator, please indicate the	please further define the Denominator, please indicate the	please further define the Denominator, please indicate the
number of children excluded:	number of children excluded: The denominator consists of a	number of children excluded: The denominator consists of a
	sample of 714 enrollees responding to this question on the	sample of 718 enrollees responding to this question on the
	CAHPS survey which was sent to a random sample of the	CAHPS survey which was sent to a random sample of the
From: /mm/nan/ 07/201/To: /mm/nan/09/201/	CHIP population.	CHIP population.
From: (mm/yyyy) 07/2016To: (mm/yyyy)08/2016	Date Range:	Date Range:
	From: (mm/yyyy) 12/2016To: (mm/yyyy)06/2017	From: (mm/yyyy) 12/2017To: (mm/yyyy)06/2018

FFY 2016	FFY 2017	FFY 2018
HEDIS Performance Measurement Data:	HEDIS Performance Measurement Data:	HEDIS Performance Measurement Data:
(If reporting with HEDIS/HEDIS-like methodology)	(If reporting with HEDIS)	(If reporting with HEDIS)
Numeratori	Numanatan	Numaratan
Numerator: Denominator:	Numerator: Denominator:	Numerator: Denominator:
Rate:	Rate:	Rate:
Rate.	Rate.	Rate.
Deviations from Measure Specifications:	Deviations from Measure Specifications:	Deviations from Measure Specifications:
Year of Data, <i>Explain</i> .	Year of Data, <i>Explain</i> .	☐ Year of Data, <i>Explain</i> .
☐ Data Source, Explain.	☐ Data Source, Explain.	☐ Data Source, Explain.
☐ Numerator, <i>Explain</i> .	☐ Numerator, Explain.	☐ Numerator, <i>Explain</i> .
Denominator, Explain.	Denominator, Explain.	Denominator, Explain.
☐ Other, Explain.	Other, Explain.	Other, Explain.
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:
Other Performance Measurement Data:	Other Performance Measurement Data:	Other Performance Measurement Data:
	(If reporting with another methodology)	(If reporting with another methodology)
Numerator: 668	Numerator: 692	Numerator: 682
Denominator: 695	Denominator: 714	Denominator: 718
Rate: 96.1	Rate: 96.9	Rate: 95
Additional notes on measures	Additional notes on measure:	Additional notes on measure:
Additional notes on measure:  Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
Explanation of Frogress.	Explanation of Frogress.	Explanation of Frogress.
How did your performance in 2016 compare with the	How did your performance in 2017 compare with	How did your performance in 2018 compare with the
Annual Performance Objective documented in your	the Annual Performance Objective documented in	Annual Performance Objective documented in your
2015 Annual Report? N/A	your 2016 Annual Report? Performance improved	2017 Annual Report? Performance decreased slightly
_	slightly from FFY 2016.	from FFY 2017 but still meets the established goal.
What quality improvement activities that involve the	What quality improvement activities that involve	What quality improvement activities that involve the
CHIP program and benefit CHIP enrollees help	the CHIP program and benefit CHIP enrollees help	CHIP program and benefit CHIP enrollees help
enhance your ability to report on this measure,	enhance your ability to report on this measure,	enhance your ability to report on this measure,
improve your results for this measure, or make	improve your results for this measure, or make	improve your results for this measure, or make
progress toward your goal? ALL Kids has monthly	progress toward your goal? None	progress toward your goal? ALL Kids has monthly
meetings with the BCBSAL account staff where provider		meetings with the BCBSAL account staff where
issues may be addressed.	J	provider issues may be addressed.

FFY 2016	FFY 2017	FFY 2018
Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.
1 8	Annual Performance Objective for FFY 2018: At	Annual Performance Objective for FFY 2019: At
Annual Performance Objective for FFY 2017: At least	least 95% of enrollees' families will report that in the	least 95% of enrollees' families will report that in the
95% of enrollees' families will report that in the last 6 months it was usually or always easy to get the care, tests or treatment their child needed.	last 6 months it was usually or always easy to get the care, tests or treatment their child needed.  Annual Performance Objective for FFY 2019: At	last 6 months it was usually or always easy to get the care, tests or treatment their child needed.  Annual Performance Objective for FFY 2020: At
Annual Performance Objective for FFY 2018: At least	least 95% of enrollees' families will report that in the	least 95% of enrollees' families will report that in the
95% of enrollees' families will report that in the last 6 months it was usually or always easy to get the care, tests or treatment their child needed.  Annual Performance Objective for FFY 2019: At least 95% of enrollees' families will report that in the last 6 months it was usually or always easy to get the care, tests or treatment their child needed.	last 6 months it was usually or always easy to get the care, tests or treatment their child needed.  Annual Performance Objective for FFY 2020: At least 95% of enrollees' families will report that in the last 6 months it was usually or always easy to get the care, tests or treatment their child needed.	last 6 months it was usually or always easy to get the care, tests or treatment their child needed.  Annual Performance Objective for FFY 2021: At least 95% of enrollees' families will report that in the last 6 months it was usually or always easy to get the care, tests or treatment their child needed.
	Explain how these objectives were set: Based on	Explain how these objectives were set: Based on
Explain how these objectives were set: Based on historical data	historical data	historical data
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure:

## Objectives Related to Use of Preventative Care (Immunizations, Well Child Care)

FFY 2016	FFY 2017	FFY 2018
Goal #1 (Describe)	Goal #1 (Describe)	Goal #1 (Describe)
Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:	Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:	Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:
Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:
Measurement Specification:  ☐HEDIS. Specify version of HEDIS used: ☐Other. Explain:	Measurement Specification:  ☐ HEDIS. Specify version of HEDIS used: ☐ Other. Explain:	Measurement Specification:  ☐HEDIS. Specify HEDIS® Version used: ☐Other. Explain:
Data Source:  ☐ Administrative (claims data). ☐ Hybrid (claims and medical record data). ☐ Survey data. Specify: ☐ Other. Specify:	Data Source:  ☐ Administrative (claims data). ☐ Hybrid (claims and medical record data). ☐ Survey data. Specify: ☐ Other. Specify:	Data Source:  ☐ Administrative (claims data). ☐ Hybrid (claims and medical record data). ☐ Survey data. Specify: ☐ Other. Specify:
Definition of Population Included in the Measure:  Definition of numerator:  Definition of denominator:  Denominator includes CHIP population only.  Denominator includes CHIP and Medicaid (Title XIX).  If denominator is a subset of the definition selected above, please further define the Denominator, please indicate the number of children excluded:	Definition of Population Included in the Measure:  Definition of numerator:  Definition of denominator:  ☐ Denominator includes CHIP population only.  ☐ Denominator includes CHIP and Medicaid (Title XIX).  If denominator is a subset of the definition selected above, please further define the Denominator, please indicate the number of children excluded:	Definition of Population Included in the Measure:  Definition of numerator:  Definition of denominator:  Denominator includes CHIP population only.  Denominator includes CHIP and Medicaid (Title XIX).  If denominator is a subset of the definition selected above, please further define the Denominator, please indicate the number of children excluded:
Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)
HEDIS Performance Measurement Data: (If reporting with HEDIS/HEDIS-like methodology)	HEDIS Performance Measurement Data: (If reporting with HEDIS)	HEDIS Performance Measurement Data: (If reporting with HEDIS)
Numerator: Denominator: Rate:	Numerator: Denominator: Rate:	Numerator: Denominator: Rate:
Deviations from Measure Specifications:  ☐ Year of Data, Explain.	<b>Deviations from Measure Specifications:</b> ☐ Year of Data, <i>Explain</i> .	Deviations from Measure Specifications:  ☐ Year of Data, Explain.
☐ Data Source, <i>Explain</i> .	☐ Data Source, <i>Explain</i> .	☐ Data Source, <i>Explain</i> .

FFY 2016	FFY 2017	FFY 2018	
☐ Numerator, Explain.	☐ Numerator, Explain.	☐ Numerator, <i>Explain</i> .	
		Translator, Exprain.	
Denominator, Explain.	Denominator, <i>Explain</i> .	Denominator, <i>Explain</i> .	
Other, Explain.	Other, Explain.	Other, Explain.	
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:	
Other Performance Measurement Data: (If reporting with another methodology)	Other Performance Measurement Data: (If reporting with another methodology)	Other Performance Measurement Data: (If reporting with another methodology)	
(i) reporting with another methodology)  Numerator:	Numerator:	Numerator:	
Denominator:	Denominator:	Denominator:	
Rate:	Rate:	Rate:	
Additional notes on measure:	Additional notes on measure:	Additional notes on measure:	
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:	
How did your performance in 2016 compare with the Annual Performance Objective documented in your 2015 Annual Report?	How did your performance in 2017 compare with the Annual Performance Objective documented in your 2016 Annual Report?	How did your performance in 2018 compare with the Annual Performance Objective documented in your 2017 Annual Report?	
What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?	
Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	
Annual Performance Objective for FFY 2017: Annual Performance Objective for FFY 2018: Annual Performance Objective for FFY 2019:	Annual Performance Objective for FFY 2018: Annual Performance Objective for FFY 2019: Annual Performance Objective for FFY 2020:	Annual Performance Objective for FFY 2019: Annual Performance Objective for FFY 2020: Annual Performance Objective for FFY 2021:	
Explain how these objectives were set:	Explain how these objectives were set:	Explain how these objectives were set:	
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure:	

#### Objectives Related to Use of Preventative Care (Immunizations, Well Child Care) (Continued)

FFY 2016	FFY 2017	FFY 2018	
Goal #2 (Describe)	Goal #2 (Describe)	Goal #2 (Describe)	
Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:	Type of Goal:  ☐ New/revised. Explain: ☐ Continuing. ☐ Discontinued. Explain:	Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:	
Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	Status of Data Reported:  Provisional  Explanation of Provisional Data:  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	
Measurement Specification:  ☐HEDIS. Specify version of HEDIS used: ☐Other. Explain:	Measurement Specification:  ☐HEDIS. Specify version of HEDIS used: ☐Other. Explain:	Measurement Specification:  ☐HEDIS. Specify HEDIS® Version used: ☐Other. Explain:	
Data Source:  ☐ Administrative (claims data). ☐ Hybrid (claims and medical record data). ☐ Survey data. Specify: ☐ Other. Specify:	Data Source:  ☐ Administrative (claims data). ☐ Hybrid (claims and medical record data). ☐ Survey data. Specify: ☐ Other. Specify:	Data Source:  ☐ Administrative (claims data). ☐ Hybrid (claims and medical record data). ☐ Survey data. Specify: ☐ Other. Specify:	
Definition of Population Included in the Measure:  Definition of numerator:  Definition of denominator:  Denominator includes CHIP population only.  Denominator includes CHIP and Medicaid (Title XIX).  If denominator is a subset of the definition selected above, please further define the Denominator, please indicate the number of children excluded:	Definition of Population Included in the Measure:  Definition of numerator:  Definition of denominator:  Denominator includes CHIP population only.  Denominator includes CHIP and Medicaid (Title XIX).  If denominator is a subset of the definition selected above, please further define the Denominator, please indicate the number of children excluded:	Definition of Population Included in the Measure: Definition of numerator: Definition of denominator: Denominator includes CHIP population only. Denominator includes CHIP and Medicaid (Title XIX). If denominator is a subset of the definition selected above, please further define the Denominator, please indicate the number of children excluded:	
Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)	
HEDIS Performance Measurement Data: (If reporting with HEDIS/HEDIS-like methodology)	HEDIS Performance Measurement Data: (If reporting with HEDIS)	HEDIS Performance Measurement Data: (If reporting with HEDIS)	
Numerator: Denominator: Rate:	Numerator: Denominator: Rate:	Numerator: Denominator: Rate:	
Deviations from Measure Specifications:  ☐ Year of Data, Explain.	Deviations from Measure Specifications:  ☐ Year of Data, Explain.	<b>Deviations from Measure Specifications:</b> ☐ Year of Data, <i>Explain</i> .	
☐ Data Source, <i>Explain</i> .	☐ Data Source, <i>Explain</i> .	☐ Data Source, <i>Explain</i> .	

FFY 2016	FFY 2017	FFY 2018
☐ Numerator, Explain.	☐ Numerator, <i>Explain</i> .	☐ Numerator, <i>Explain</i> .
☐Denominator, Explain.	Denominator, Explain.	Denominator, Explain.
Other, Explain.	Other, Explain.	Other, Explain.
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:
Other Performance Measurement Data: (If reporting with another methodology) Numerator: Denominator: Rate:	Other Performance Measurement Data: (If reporting with another methodology) Numerator: Denominator: Rate:	Other Performance Measurement Data: (If reporting with another methodology) Numerator: Denominator: Rate:
Additional notes on measure:	Additional notes on measure:	Additional notes on measure:
Explanation of Progress:	Explanation of Progress:	Explanation of Progress:
How did your performance in 2016 compare with the Annual Performance Objective documented in your 2015 Annual Report?  What quality improvement activities that involve the	How did your performance in 2017 compare with the Annual Performance Objective documented in your 2016 Annual Report?  What quality improvement activities that involve the	How did your performance in 2018 compare with the Annual Performance Objective documented in your 2017 Annual Report?  What quality improvement activities that involve the
CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?	CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?	CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?
Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.
Annual Performance Objective for FFY 2017: Annual Performance Objective for FFY 2018: Annual Performance Objective for FFY 2019:	Annual Performance Objective for FFY 2018: Annual Performance Objective for FFY 2019: Annual Performance Objective for FFY 2020:	Annual Performance Objective for FFY 2019: Annual Performance Objective for FFY 2020: Annual Performance Objective for FFY 2021:
Explain how these objectives were set:	Explain how these objectives were set:	Explain how these objectives were set:
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure:

#### Objectives Related to Use of Preventative Care (Immunizations, Well Child Care) (Continued)

FFY 2016		FFY 2017	FFY 2018	
Goal #3 (Describe)		Goal #3 (Describe)	Goal #3 (Describe)	
	Type of Goal:  ☐ New/revised. Explain: ☐ Continuing. ☐ Discontinued. Explain:	Type of Goal:  ☐ New/revised. Explain: ☐ Continuing. ☐ Discontinued. Explain:	Type of Goal:  New/revised. Explain: Continuing. Discontinued. Explain:	
	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	Status of Data Reported:  Provisional.  Explanation of Provisional Data:  Final.  Same data as reported in a previous year's annual report.  Specify year of annual report in which data previously reported:	
	Measurement Specification:  ☐ HEDIS. Specify version of HEDIS used: ☐ Other. Explain:	Measurement Specification:  ☐HEDIS. Specify version of HEDIS used: ☐Other. Explain:	Measurement Specification:  ☐HEDIS. Specify HEDIS® Version used: ☐Other. Explain:	
	Data Source:  ☐ Administrative (claims data). ☐ Hybrid (claims and medical record data). ☐ Survey data. Specify: ☐ Other. Specify:	Data Source:  ☐ Administrative (claims data). ☐ Hybrid (claims and medical record data). ☐ Survey data. Specify: ☐ Other. Specify:	Data Source:  ☐ Administrative (claims data). ☐ Hybrid (claims and medical record data). ☐ Survey data. Specify: ☐ Other. Specify:	
	Definition of Population Included in the Measure:  Definition of numerator:  Definition of denominator:  Denominator includes CHIP population only.  Denominator includes CHIP and Medicaid (Title XIX).  If denominator is a subset of the definition selected above, please further define the Denominator, please indicate the number of children excluded:	Definition of Population Included in the Measure:  Definition of numerator:  Definition of denominator:  Denominator includes CHIP population only.  Denominator includes CHIP and Medicaid (Title XIX).  If denominator is a subset of the definition selected above, please further define the Denominator, please indicate the number of children excluded:	Definition of Population Included in the Measure:  Definition of numerator:  Definition of denominator:  Denominator includes CHIP population only.  Denominator includes CHIP and Medicaid (Title XIX).  If denominator is a subset of the definition selected above, please further define the Denominator, please indicate the number of children excluded:	
	Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)	Date Range: From: (mm/yyyy) To: (mm/yyyy)	
	HEDIS Performance Measurement Data: (If reporting with HEDIS/HEDIS-like methodology)  Numerator:	HEDIS Performance Measurement Data: (If reporting with HEDIS)  Numerator:	HEDIS Performance Measurement Data: (If reporting with HEDIS)  Numerator:	
	Denominator: Rate:	Denominator: Rate:	Denominator: Rate:	
	Deviations from Measure Specifications:  ☐ Year of Data, Explain.	Deviations from Measure Specifications:  ☐ Year of Data, Explain.	Deviations from Measure Specifications:  ☐ Year of Data, Explain.	
	☐ Data Source, <i>Explain</i> .	☐ Data Source, <i>Explain</i> .	☐ Data Source, <i>Explain</i> .	

FFY 2016	FFY 2017	FFY 2018
☐ Numerator, Explain.	☐ Numerator, Explain.	☐ Numerator, <i>Explain</i> .
☐Denominator, Explain.	Denominator, Explain.	Denominator, Explain.
Other, Explain.	Other, Explain.	Other, Explain.
Additional notes on measure:	Additional notes on measure:	Additional notes/comments on measure:
Other Performance Measurement Data: (If reporting with another methodology) Numerator: Denominator: Rate:	Other Performance Measurement Data: (If reporting with another methodology) Numerator: Denominator: Rate:	Other Performance Measurement Data: (If reporting with another methodology) Numerator: Denominator: Rate:
Additional notes on measure:	Additional notes on measure:	Additional notes on measure:
Explanation of Progress:  How did your performance in 2016 compare with the Annual Performance Objective documented in your 2015 Annual Report?	Explanation of Progress:  How did your performance in 2017 compare with the Annual Performance Objective documented in your 2016 Annual Report?	Explanation of Progress:  How did your performance in 2018 compare with the Annual Performance Objective documented in your 2017 Annual Report?
What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?	What quality improvement activities that involve the CHIP program and benefit CHIP enrollees help enhance your ability to report on this measure, improve your results for this measure, or make progress toward your goal?
Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.	Please indicate how CMS might be of assistance in improving the completeness or accuracy of your reporting of the data.
Annual Performance Objective for FFY 2017: Annual Performance Objective for FFY 2018: Annual Performance Objective for FFY 2019:	Annual Performance Objective for FFY 2018: Annual Performance Objective for FFY 2019: Annual Performance Objective for FFY 2020:	Annual Performance Objective for FFY 2019: Annual Performance Objective for FFY 2020: Annual Performance Objective for FFY 2021:
Explain how these objectives were set:	Explain how these objectives were set:	Explain how these objectives were set:
Other Comments on Measure:	Other Comments on Measure:	Other Comments on Measure:

- 1. What other strategies does your state use to measure and report on access to, quality, or outcomes of care received by your CHIP population? What have you found? [7500] The Core Set of Children's Health Care Quality Measures (Child Core Set) provides a national-and state-level snapshot of the quality of care provided to children in Medicaid and the Children's Health Insurance Program (CHIP). It is also used as a tool for driving improvements in quality by identifying best practices and lessons learned for sharing across states. For FY 2017 reporting, Alabama reported 26 of the 27 Child Core Set measures and continues to develop the capacity to report on all of them. For more information on outcomes and key characteristics, please refer to the following website: https://www.medicaid.gov/state-overviews/stateprofile.html?state=alabama
- 2. What strategies does your CHIP program have for future measurement and reporting on access to, quality, or outcomes of care received by your CHIP population? When will data be available? **[7500]**

No additional strategies are planned at this time.

- 3. Have you conducted any focused quality studies on your CHIP population, e.g., adolescents, attention deficit disorder, substance abuse, special heath care needs or other emerging health care needs? What have you found? **[7500]**
- Each year, AL CHIP contracts with the University of Alabama at Birmingham (UAB) for special studies of the ALL Kids population. Several studies are in the design and implementation phases for the upcoming fiscal year. As well, five studies and a policy brief have been finalized this past year.
- 4. Please attach any additional studies, analyses or other documents addressing outreach, enrollment, access, quality, utilization, costs, satisfaction, or other aspects of your CHIP program's performance. Please include any analyses or descriptions of any efforts designed to reduce the number of uncovered children in the state through a state health insurance connector program or support for innovative private health coverage initiatives. [7500] UAB and ALL Kids Special Projects in Health Services Research

Executive summaries of the main findings for each of five studies are included below. A full report is available upon request.

This summarizes the findings of four research projects conducted by researchers at the UAB School of Public Health in collaboration with colleagues at Indiana University-Indianapolis and Texas A&M. Our empirical research has examined the following questions:

- 1) How have opioid prescribing patterns changed over time and what factors predict opioid misuse?
- 2) What are the determinants and consequences of high quality follow-up care after a mental health hospitalization?
- 3) How did a large copayment increase impact ED utilization overall and for low-severity conditions?
- 4) How prevalent are obesogenic conditions in claims data, and how are trends in these conditions related to enrollee characteristics?

Below is a description of our main findings for each of the studies.

Project #1: "How have opioid prescribing patterns changed over time and what factors predict opioid misuse?"

- Characteristics and utilization patterns were examined for adolescent (age 12 to 18) ALL Kids enrollees with new opioid prescriptions between 1999 and 2015.
- 50,385 incident opioid prescriptions with 6 months of prior enrollment without any opioid claims and 6 months of continuous follow-up enrollment were identified.

  It was found that:
  - o Opioid users tend to be older, female, white and non-urban residents.

o Almost 4% of new opioid users were treated for a mental health disorder in the prior 6 months and 0.5% had a claim for a substance use disorder

Notable trends in prescribing characteristics during study period:

- Increase in mean initial days supplied from 3.91 to 4.8
- Increase in % with >7 days supplied from 4.7% to 10.7%
- Increased use of oxycodone and Tramadol over time
- Decline in dosing (MME/day) in recent years (2012-2015)
- Significant growth in % of opioids prescribed by oral surgeons
- Initial days supplied associated with persistent use measure:
  - o Prescriptions in excess of 4 days are associated with increase in long-term use.
- Decline in persistent use over time, most notable in 2012-2015 period
- Persistent use is greater among older enrollees and females, less common among minorities.
- Poor health status is associated with increased probabilities of persistent use
- Higher rate of drug overdose among opioid prescribed patients with underlying mental health conditions.

Project #2: What are the determinants and consequences of high quality follow-up care after a mental health hospitalization?

The Child Core Set measure for follow-up care following a mental health hospitalization between 2012-2016 was calculated.

- 1,444 hospitalizations among 1,175 enrollees met CMS criteria for inclusion in measure calculation.
- Among the hospitalizations, 395 (27.4%) received follow-up within 7 days and 656 (45.4%) within 30 days.

Increasing the time window to 45 or 60 days, or including primary care providers, resulted in modest increases in receipt of follow-up care.

Specific factors associated with increased follow-up:

- Primary diagnosis of depression
- · Residing in a small rural area or in the third quartile of mental health providers in area

Specific factors associated with decreased follow-up

- Having a hospitalization in 2012
- Black enrollees
- Male enrollees

Other notable findings:

- Adjusted models estimate consistent statistically significant associations with year and primary diagnosis.
- In general, follow-up among enrollees is consistent with other CHIP and Medicaid programs but could be improved.
- Follow-up may reduce future mental health hospitalizations, but after controlling for other factors, the association is weak and not statistically significant at conventional levels.

Project #3: "How did a large copayment increase impact ED utilization overall and for low-severity conditions?"

The impact of June 2012 increase in copayments for ED visits was examined:

- Large increase for fee-group and expansion enrollees (from \$15 to \$60). vs. low-fee enrollees whose copayments only rose from \$5 to \$6.
  - Difference-in-difference design to assess impact
  - Examine probability of visits of varying severity at person-month level
  - Use Wharam modification of NYU algorithm to categorize visit severity

Unadjusted rates of ED use declined following copayment increase:

- There were larger reductions in monthly probability of ED visit for expansion (-0.27) and fee (-0.21) enrollees than for low-fee enrollees (-0.14).
  - There was a similar pattern for low-severity and injury visits.

Difference-in-difference estimates of decline in ED utilization:

- A delayed impact of copayment increase on utilization was observed.
- There were statistically significant declines in "late period" (>7 months after copayment increase.
- Expansion enrollees experienced statistically significant reductions in overall (-0.20), low severity (-0.07) and injury (-0.06) visits relative to low-fee enrollees after the copayment change.
- The observed large effects of copayment increase on expansion vs. fee enrollees could reflect more savvy behavior of higher SES group.

Project #4: "How prevalent are obesogenic conditions in claims data and how are trends in these conditions related to enrollee characteristics?"

The analysis examined trends in prevalence of six claims-based obesogenic conditions:

- Obesity
- Hypertension
- Pre-diabetes
- Diabetes
- Hyperlipidemia
- Obstructive sleep apnea.

The study showed very low prevalence of obesogenic conditions in claims data relative to estimates of obesity prevalence in children from other sources:

- Overall prevalence increased from 1.3% in 1999 to 2.5% in 2005
- Larger growth among enrollees over age 5 and with at least one well-visit.

There was larger growth over time in obesogenic diagnoses for minorities and low-income enrollees

• Consistent with under-diagnosis among disadvantaged populations.

Costs were higher for individuals with obesogenic diagnosis:

• There was approximately 30% higher growth in spending among enrollees with obesogenic conditions.

Enter any Narrative text related to Section IIB below. [7500]

## **Section III: Assessment of State Plan and Program Operation**

# Please reference and summarize attachments that are relevant to specific questions

Please note that the numbers in brackets, e.g., **[7500]** are character limits in the State Annual Report Template System (CARTS). You will not be able to enter responses with characters greater than the limit indicated in the brackets.

## **Section IIIA: Outreach**

- How have you redirected/changed your outreach strategies during the reporting period? [7500]
   Outreach strategies remained the same this reporting period as they were the previous reporting period. Alabama CHIP participated in professional association conferences within the state to provide education to our partners and communities regarding Alabama CHIP. Throughout the year, Alabama CHIP also sent applications and brochures to schools, agencies, community partners and families in Alabama.
- 2. What methods have you found most effective in reaching low-income, uninsured children (e.g., T.V., school outreach, word-of-mouth)? How have you measured effectiveness? **[7500]** 
  - As in previous reporting periods, word of mouth remains the best method for many families to learn about Alabama CHIP. Many of Alabama CHIP's partners continue to be a good resource in assisting in reaching low-income and uninsured children in Alabama. No formal evaluation of outreach methods has been conducted.
- 3. Which of the methods described in Question 2 would you consider a best practice(s)? [7500] Word of mouth and community partner education remain the best methods in reaching low income underinsured children in Alabama.

4.	Is your state targeting outreach to specific populations (e.g., minorities, immigrants, and children living in rural areas)?
	□Yes ⊠ No
	Have these efforts been successful, and how have you measured effectiveness? [7500]

5. What percentage of children below 200 percent of the federal poverty level (FPL) who are eligible for Medicaid or CHIP have been enrolled in those programs? [5]96.2

(Identify the data source used). [7500]

American Community Survey 2017, Single-Year Population Estimates

Enter any Narrative text related to Section IIIA below. [7500]

# Section IIIB: Substitution of Coverage (Crowd-out)

All states should answer the following questions. Please include percent calculations in your responses when applicable and requested.

<ol> <li>Does your program require a child to be uninsured for a minimum amount of time prior to enrollment (waiting period)?</li> </ol>
No
If no, skip to question 5. If yes, answer questions 2-4:
2. How many months does your program require a child to be uninsured prior to enrollment?
3. To which groups (including FPL levels) does the period of uninsurance apply? [1000]
4. List all exemptions to imposing the period of uninsurance [1000]
5. Does your program match prospective enrollees to a database that details private insurance status?  ☐ No ☐ Yes ☐ N/A
6. If answered yes to question 5, what database? [1000] Blue Cross Blue Shield of Alabama enrollment file
7. What percent of individuals screened for CHIP eligibilitycannot be enrolled because they have group health plan coverage? [5]
a. Of those found to have had employer sponsored insurance and have been uninsured for only a portion of the state's waiting period, what percent meet the state's exemptions and federally required exemptions to the waiting period [(# individuals subject to the waiting period that meet ar exemption/total # of individuals subject to the waiting period)*100]?[5]
8. Do you track the number of individuals who have access to private insurance?
☐ Yes ⊠ No
9. If yes to question 8, what percent of individuals that enrolled in CHIP had access to private health insurance at the time of application during the last federal fiscal year [(# of individuals that had access to private health insurance/total # of individuals enrolled in CHIP)*100]? [5]
Enter any Narrative text related to Section IIIB below. <b>[7500]</b> Alabama is not yet able to report the percent of individuals screened for CHIP eligibility but not enrolled due to other group health plan coverage but will continue to work on this capability as the eligibility and enrollment system continues to be improved and refined.
Section IIIC: Eligibility
This subsection should be completed by all states. Medicaid Expansion states should complete applicable responses and indicate those questions that are non-applicable with N/A.
Section IIIC: Subpart A: Eligibility Renewal and Retention
<ol> <li>Do you have authority in your CHIP state plan to provide for presumptive eligibility, and have you implemented this? ☐ Yes ☒ No</li> <li>If yes,</li> </ol>

- a. What percent of children are presumptively enrolled in CHIP pending a full eligibility determination? [5]
- b. Of those children who are presumptively enrolled, what percent of those children are determined eligible and enrolled upon completion of the full eligibility determination? [5]

<ol> <li>Select the measures from those below that your state employs to simplify an eligibility renew and retain eligible children in CHIP.</li> <li>Conducts follow-up with clients through caseworkers/outreach workers</li> </ol>		
		• How many notices are sent to the family prior to disenrolling the child from the program? [500] 2
		<ul> <li>At what intervals are reminder notices sent to families (e.g., how many weeks before the end of the current eligibility period is a follow-up letter sent if the renewal has not been received by the state?)</li> <li>[500]</li> <li>ALL Kids families receive a renewal packet two months prior to the date of renewal as well as an additional reminder letter 30 days prior to the renewal date.</li> </ul>
Other, please explain: [500]  In addition to mailing renewal applications, families have the option to renew online or by fax		

3. Which of the above strategies appear to be the most effective? Have you evaluated the effectiveness of any strategies? If so, please describe the evaluation, including data sources and methodology. [7500]

While CHIP has not conducted an evaluation to determine which strategy is the most effective, implementation of the automated redetermination process (RRV) is likely the most effective retention strategy employed by our state. Anecdotal information shows that offering families the option to pay ALL Kids premiums at anytime during the coverage period is also effective. The online application is also a convenient method for completing renewals.

## Section IIIC: Subpart B: Eligibility Data

## Table 1. Data on Denials of Title XXI Coverage in FFY 2018

States are required to report on all questions (1, 1.a., 1.b., and 1.c) in FFY 2018. Please enter the data requested in the table below and the template will tabulate the requested percentages. If you are unable to provide data in this section due to the single streamlined application, please note this in the response to question 2.

Measure	Number	Percent
Total number of denials of title XXI coverage		100
a. Total number of procedural denials		
b. Total number of eligibility denials		
i. Total number of applicants denied for title		
XXI and enrolled in title XIX		

Measure	Number	Percent
☐ (Check here if there are no additional categories)		
c. Total number of applicants denied for other		
reasons Please indicate:		

2. Please describe any limitations or restrictions on the data used in this table:

<u>Alabama uses the single streamlined application and is not able to provide data for this table.</u>

#### **Definitions:**

- 1. The "the total number of denials of title XXI coverage" is defined as the total number of applicants that have had an eligibility decision made for title XXI and denied enrollment for title XXI in FFY 2018. This definition only includes denials for title XXI at the time of initial application (not redetermination).
  - The "total number of procedural denials" is defined as the total number of applicants denied for title XXI procedural reasons in FFY 2018 (i.e., incomplete application, missing documentation, missing enrollment fee, etc.).
  - b. The "total number of eligibility denials" is defined as the total number of applicants denied for title XXI eligibility reasons in FFY 2018 (i.e., income too high, income too low for title XXI /referred for Medicaid eligibility determination/determined Medicaid eligible, obtained private coverage or if applicable, had access to private coverage during your state's specified waiting period, etc.)
    - i. The total number of applicants that are denied eligibility for title XXI and determined eligible for title XIX.
  - c. The "total number of applicants denied for other reasons" is defined as any other type of denial that does not fall into 2a or 2b. Please check the box provided if there are no additional categories.

#### Table 2. Redetermination Status of Children

For tables 2a and 2b, reporting is required for FFY 2018.

#### Table 2a. Redetermination Status of Children Enrolled in Title XXI.

Please enter the data requested in the table below in the "Number" column, and the template will automatically tabulate the percentages.

De	scription	Number		Pe	rcent	
1.	Total number of children who are enrolled in title XXI and eligible to be redetermined	121469	100%			
2.	Total number of children screened for redetermination for title XXI	102971	84.77	100%		
3.	Total number of children retained in title XXI after the redetermination process	82972	68.31	80.58		
4.	Total number of children disenrolled from title XXI after the redetermination process	19999	16.46	19.42	100%	
	<ul> <li>Total number of children disenrolled from title XXI for failure to comply with procedures</li> </ul>	4403			22.02	
	Total number of children disenrolled from title XXI for failure to meet eligibility criteria	14895			74.48	100%
	<ul> <li>i. Disenrolled from title XXI because income too high for title XXI (If unable to provide the data, check here □)</li> </ul>	1343				9.02
	<ul> <li>ii. Disenrolled from title XXI because income too low for title XXI</li> <li>(If unable to provide the data, check here □)</li> </ul>	13022				87.43
	<ul> <li>iii. Disenrolled from title XXI because application indicated access to private coverage or obtained private coverage</li> <li>(If unable to provide the data or if you have a title XXI Medicaid Expansion and this data is not relevant check here □)</li> </ul>	229				1.54
	<ul> <li>iv. Disenrolled from title XXI for other eligibility reason(s)</li> <li>Please indicate:</li> <li>(If unable to provide the data check here □)</li> </ul>	301				2.02
	<ul> <li>c. Total number of children disenrolled from title XXI for other reason(s)         Please indicate: Reasons codes are either SSN missing for an applicant, SSN was not validated, or requires proof of income.         (Check here if there are no additional categories □)</li> </ul>	701			3.51	

5.	If relevant, please describe any limitations or restrictions on the data entered into this table.	Please describe any state policies or procedures that
	may have impacted the redetermination outcomes data [7500].	

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#### **Definitions:**

1. The "total number of children who are eligible to be redetermined" is defined as the total number of children due to renew their eligibility in federal fiscal year (FFY) 2018, and did not age out (did not exceed the program's maximum age requirement) of the program by or before redetermination. This total number may include those children who are eligible to renew prior to their 12 month eligibility redetermination anniversary date. This total must include ex parte redeterminations, the process when a state uses information available to it through other databases, such as wage and labor records, to verify ongoing eligibility. This total number must also include children whose

- eligibility can be renewed through administrative redeterminations, whereby the state sends the family a renewal form that is pre-populated with eligibility information already available through program records and requires the family to report any changes.
- 2. The "total number of children screened for redetermination" is defined as the total number of children that were screened by the state for redetermination in FFY 2018 (i.e., ex parte redeterminations and administrative redeterminations, as well as those children whose families have returned redetermination forms to the state).
- 3. The "total number of children retained after the redetermination process" is defined as the total number of children who were found eligible and remained in the program after the redetermination process in FFY 2018.
- 4. The "total number of children disenrolled from title XXI after the redetermination process" is defined as the total number of children who are disenrolled from title XXI following the redetermination process in FFY 2018. This includes those children that states may define as "transferred" to Medicaid for title XIX eligibility screening.
  - a. The "total number of children disenrolled for failure to comply with procedures" is defined as the total number of children disenrolled from title XXI for failure to successfully complete the redetermination process in FFY 2018 (i.e., families that failed to submit a complete application, failed to provide complete documentation, failed to pay premium or enrollment fee, etc.).
  - b. The "total number of children disenrolled for failure to meet eligibility criteria" is defined as the total number of children disenrolled from title XXI for no longer meeting one or more of their state's CHIP eligibility criteria (i.e., income too low, income too high, obtained private coverage or if applicable, had access to private coverage during your state's specified waiting period, etc.). If possible, please break out the reasons for failure to meet eligibility criteria in i.-iv.
  - c. The "total number of children disenrolled for other reason(s)" is defined as the total number of children disenrolled from title XXI for a reason other than failure to comply with procedures or failure to meet eligibility criteria, and are not already captured in 4.a. or 4.b.

    The data entered in 4.a., 4.b., and 4.c. should sum to the total number of children disenrolled from title XXI (line 4).

#### Table 2b. Redetermination Status of Children Enrolled in Title XIX.

Please enter the data requested in the table below in the "Number" column, and the template will automatically tabulate the percentages.

Description	Description			]	Percent	
1. Total number of children who are	enrolled in title XIX and eligible to be redetermined	251185	100%			
<ol><li>Total number of children screene</li></ol>	d for redetermination for title XIX	207064	82.43	100%		
<ol><li>Total number of children retained</li></ol>	I in title XIX after the redetermination process	188280	74.96	90.93		
<ol><li>Total number of children disensor</li></ol>	led from title XIX after the redetermination process	18784	7.48	9.07	100%	
a. Total number of children procedures	en disenrolled from title XIX for failure to comply with	473			2.52	
b. Total number of childre criteria	en disenrolled from title XIX for failure to meet eligibility	17122			91.15	100%
	rom title XIX because income too high for title XIX provide the data, check here □)	16255				94.94
Please indic	rom title XIX for other eligibility reason(s) ate: provide the data check here □)	867				5.06
Please indicate: <u>Reaso</u> not validated, or requir	en disenrolled from title XIX for other reason(s) ns codes are either SSN missing for an applicant, SSN was es proof of income. e no additional categories   )	1189			6.33	

5. If relevant, please describe any limitations or restrictions on the data entered into this table. Please describe any state policies or procedures that may have impacted the redetermination outcomes data [7500].

Data presented in Table 2b only represents Medicaid enrollees who are determined eligible or ineligible in CARES (shared eligibility system between Medicaid and CHIP). Counts in Table 2b do not include Medicaid enrollees who are determined eligible or ineligible in the AMAES database (Medicaid's separate eligibility system.

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#### **Definitions:**

- 1. The "total number of children who are eligible to be redetermined" is defined as the total number of children due to renew their eligibility in federal fiscal year (FFY) 2018, and did not age out (did not exceed the program's maximum age requirement) of the program by or before redetermination. This total number may include those children who are eligible to renew prior to their 12 month eligibility redetermination anniversary date. This total must include ex parte redeterminations, the process when a state uses information available to it through other databases, such as wage and labor records, to verify ongoing eligibility. This total number must also include children whose eligibility can be renewed through administrative redeterminations, whereby the state sends the family a renewal form that is pre-populated with eligibility information already available through program records and requires the family to report any changes.
- 2. The "total number of children screened for redetermination" is defined as the total number of children that were screened by the state for redetermination in FFY 2018 (i.e., ex parte redeterminations and administrative redeterminations, as well as those children whose families have returned redetermination forms to the state ).
- 3. The "total number of children retained after the redetermination process" is defined as the total number of children who were found eligible and remained in the program after the redetermination process in FFY 2018.
- 4. The "total number of children disenrolled from title XIX after the redetermination process" is defined as the total number of children who are disenrolled from title XIX following the redetermination process in FFY 2018. This includes those children that states may define as "transferred" to CHIP for title XXI eligibility screening.
  - a. The "total number of children disenrolled for failure to comply with procedures" is defined as the total number of children disenrolled from title XIX for failure to successfully complete the redetermination process in FFY 2018 (i.e., families that failed to submit a complete application, failed to provide complete documentation, failed to pay premium or enrollment fee, etc.).
  - b. The "total number of children disenrolled for failure to meet eligibility criteria" is defined as the total number of children disenrolled from title XIX for no longer meeting one or more of their state's Medicaid eligibility criteria (i.e., income too high, etc.).
  - c. The "total number of children disenrolled for other reason(s)" is defined as the total number of children disenrolled from title XIX for a reason other than failure to comply with procedures or failure to meet eligibility criteria, and are not already captured in 4.a. or 4.b.
    - The data entered in 4.a., 4.b., and 4.c. should sum to the total number of children disenrolled from title XIX (line 4).

#### Table 3. Duration Measure of Selected Children, Ages 0-16, Enrolled in Title XIX and Title XXI, Second Quarter FFY 2018

The purpose of tables 3a and 3b is to measure the duration, or continuity, of Medicaid and CHIP enrollees' coverage. This information is required by Section 402(a) of CHIPRA. **Reporting on this table is required.** 

Because the measure is designed to capture continuity of coverage in title XIX and title XXI beyond one year of enrollment, the measure collects data for 18 months of enrollment. This means that reporting spans two CARTS reports over two years. The duration measure uses a cohort of children and follows the enrollment of the same cohort of children for 18 months to measure continuity of coverage. States identify a new cohort of children every two years. States identify newly enrolled children in the second quarter of FFY 2018 (January, February, and March of 2018) for the FFY 2018 CARTS report. This same cohort of children will be reported on in the FFY 2019 CARTS report for the 12 and 18 month status of children newly identified in quarter 2 of FFY 2018 If your eligibility system already has the capability to track a cohort of enrollees over time, an additional "flag" or unique identifier may not be necessary.

The FFY 2018 CARTS report is the first year of reporting in the cycle of two CARTS reports on the cohort of children identified in the second quarter of FFY 2018. For the FFY 2018 report, States will only report on lines 1-4a of the tables. States will continue to report on the same table in the FFY 2019 CARTS report. In the FFY 2019 report, no updates will be made to lines 1-4a. For the FFY 2019 report, data will be added to lines 5-10a. The next cohort of children will be identified in the second quarter of the FFY 2020 (January, February and March of 2020).

**Instructions:** For this measure, please identify <u>newly enrolled</u> children in both title XIX (for Table 3a) and title XXI (for Table 3b) in the second quarter of FFY 2018, ages 0 months to 16 years at time of enrollment. Children enrolled in January 2018 must have birthdates after July 2001 (e.g., children must be younger than 16 years and 5 months) to ensure that they will not age out of the program at the 18<sup>th</sup> month of coverage. Similarly, children enrolled in February 2018 must have birthdates after August 2001, and children enrolled in March 2018 must have birthdates after September 2001. Each child newly enrolled during this time frame needs a unique identifier or "flag" so that the cohort can be tracked over time. If your eligibility system already has the capability to track a cohort of enrollees over time, an additional "flag" or unique identifier may not be necessary. Please follow the child based on the child's age category at the time of enrollment (e.g., the child's age at enrollment creates an age cohort that does not change over the 18 month time span).

Please enter the data requested in the tables below, and the template will tabulate the percentages. In the FFY 2018 report you will only enter data on line 1 about the total children newly enrolled, and lines 2-4a related to the 6-month enrollment status of children identified on line 1. Line 1 should be populated with data on the children newly-enrolled in January, February, and March 2018. Lines 2-4a of the tables should also be populated with information about these same children 6 months later (as of June 2018 for children first identified as newly enrolled in January 2018, as of July 2018 for children identified as newly enrolled in March 2018). **Only enter a "0" (zero) if the data are known to be zero. If data are unknown or unavailable, leave the field blank.** 

Note that all data must sum correctly in order to save and move to the next page. The data in each individual row must add across to sum to the total in the "All Children Ages 0-16" column for that row. And in each column, the data within each time period (6, 12 and 18 months) must each sum up to the data in row 1, which is the number of children in the cohort. This means that in each column, rows 2, 3 and 4 must sum to the total in row 1; rows 5, 6 and 7 must sum to the row 1; and rows 8, 9 and 10 must sum to row 1. These tables track a child's enrollment status over time, so for data reported at each milestone (6, 12, and 18 months), there should always be the same total number of children accounted for. That is, regardless of how the enrollment numbers are distributed between line 2-10 in the continuously enrolled, break in coverage but re-enrolled, and disenrolled categories and across the age category columns at each time period, the total number of children accounted for in each time period should add up to the number in line 1, column 2 "All Children Ages 0-16."

Rows numbered with an "a" (e.g., rows 3a and 4a) are excluded from the totals because they are subsets of their respective rows. The system will not move to the next section of the report until all applicable sections of the table for the reporting year are complete and sum correctly to line 1.

#### Table 3a. Duration Measure of Children Enrolled in Title XIX

Not Previously Enrolled in CHIP or Medicaid—"Newly enrolled" is defined as not enrolled in either title XXI or title XIX in the month before enrollment (i.e., for a child enrolled in January 2018, he/she would not be enrolled in either title XXI or title XIX in December 2017, etc.)

Not Previously Enrolled in Medicaid—"Newly enrolled" is defined as not enrolled in title XIX in the month before enrollment (i.e., for a child enrolled in

January 2018, he/she would not be enrolled in title XIX in December 2017, etc.)

Table 3a. Duration Measure, Title XIX		All Children Ages 0-16		Age Less than 12 months		Ages 1-5		Ages 6-12		ges -16
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
<ol> <li>Total number of children newly enrolled in title XIX in the second quarter of FFY 2018</li> </ol>	54833	100%	14337	100%	17337	100%	17683	100%	5476	100%
	Enrollm	ent status	6 months	later						
Total number of children continuously enrolled in title XIX	51575	94.06	12892	89.92	16806	96.94	17168	97.09	4709	85.99
Total number of children with a break in title XIX coverage but re-enrolled in title XIX	118	0.22	76	0.53	22	0.13	7	0.04	13	0.24
3.a. Total number of children enrolled in CHIP (title XXI) during title XIX coverage break (If unable to provide the data, check here 図)										
Total number of children disenrolled from title XIX	3140	5.73	1369	9.55	509	2.94	508	2.87	754	13.77
4.a. Total number of children enrolled in CHIP (title XXI) after being disenrolled from title XIX (If unable to provide the data, check here ☒)										
	Enrollm	ent status	12 month	s later						
5. Total number of children continuously enrolled in title XIX										
6. Total number of children with a break in title XIX coverage but re-enrolled in title XIX										
<ul><li>6.a. Total number of children enrolled in CHIP (title XXI) during title XIX coverage break</li><li>(If unable to provide the data, check here □)</li></ul>										
7. Total number of children disenrolled from title XIX										
<ul><li>7.a. Total number of children enrolled in CHIP (title XXI) after being disenrolled from title XIX (If unable to provide the data, check here □)</li></ul>										
	Enrollm	ent status	18 month	s later						

Table 3a. Duration Measure, Title XIX		All Children Ages 0-16		Age Less than 12 months		Ages 1-5		Ages 6-12		jes -16
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
8. Total number of children continuously enrolled in title XIX										
9. Total number of children with a break in title XIX										
coverage but re-enrolled in title XIX										
9.a. Total number of children enrolled in CHIP (title										
XXI) during title XIX coverage break										
(If unable to provide the data, check here □)										
Total number of children disenrolled from title XIX										
10.a. Total number of children enrolled in CHIP (title										
XXI) after being disenrolled from title XIX										
(If unable to provide the data, check here   )										

#### **Definitions:**

- 1. The "total number of children newly enrolled in title XIX in the second quarter of FFY 2018" is defined as those children either new to public coverage or new to title XIX, in the month before enrollment. Please define your population of "newly enrolled" in the Instructions section.
- 2. The total number of children that were continuously enrolled in title XIX for 6 months is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and who were continuously enrolled through the end of June 2018
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and who were continuously enrolled through the end of July 2018
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and who were continuously enrolled through the end of August 2018
- 3. The total number who had a break in title XIX coverage during <u>6 months</u> of enrollment (regardless of the number of breaks in coverage) but were re-enrolled in title XIX by the end of the 6 months, is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and who disenrolled and re-enrolled in title XIX by the end of June 2018
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and who disenrolled and re-enrolled in title XIX by the end of July 2018
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and who disenrolled and re-enrolled in title XIX by the end of August 2018

- 3.a. From the population in #3, provide the total number of children who were enrolled in title XXI during their break in coverage.
- 4. The total number who disenrolled from title XIX, 6 months after their enrollment month is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and were disenrolled by the end of June 2018
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and were disenrolled by the end of July 2018
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and were disenrolled by the end of August 2018
  - 4.a. From the population in #4, provide the total number of children who were enrolled in title XXI in the month after their disenrollment from title XIX.
- 5. The total number of children who were continuously enrolled in title XIX for 12 months is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and were continuously enrolled through the end of December 2018
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and were continuously enrolled through the end of January 2019
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and were continuously enrolled through the end of February 2019
- 6. The total number of children who had a break in title XIX coverage during 12 months of enrollment (regardless of the number of breaks in coverage), but were reenrolled in title XIX by the end of the 12 months, is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and who disenrolled and then re-enrolled in title XIX by the end of December 2018
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and who disenrolled and then re-enrolled in title XIX by the end of January 2019
  - + the number of children with birthdates after September 2001 who were newly enrolled in March 2018 and who disenrolled and then re-enrolled in title XIX by the end of February 2019
  - 6.a. From the population in #6, provide the total number of children who were enrolled in title XXI during their break in coverage.
- 7. The total number of children who disenrolled from title XIX 12 months after their enrollment month is defined as the sum of:
  - the number of children with birthdates after July 2001, who were enrolled in January 2018 and were disenrolled by the end of December 2018
  - + the number of children with birthdates after August 2001, who were enrolled in February 2018 and were disenrolled by the end of January 2019
  - + the number of children with birthdates after September 2001, who were enrolled in March 2018 and were disenrolled by the end of February 2019
  - 7.a. From the population in #7, provide the total number of children, who were enrolled in title XXI in the month after their disenrollment from title XIX.
- 8. The total number of children who were continuously enrolled in title XIX for 18 months is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and were continuously enrolled through the end of June 2019
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and were continuously enrolled through the end of July 2019
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and were continuously enrolled through the end of August 2019
- 9. The total number of children who had a break in title XIX coverage during 18 months of enrollment (regardless of the number of breaks in coverage), but were reenrolled in title XIX by the end of the 18 months, is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and who disenrolled and re-enrolled in title XIX by the end of June 2019
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and who disenrolled and re-enrolled in title XIX by the end of July 2019

- + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and who disenrolled and re-enrolled in title XIX by the end of August 2019
- 9.a. From the population in #9, provide the total number of children who were enrolled in title XXI during their break in coverage.
- 10. The total number of children who were disenrolled from title XIX 18 months after their enrollment month is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and disenrolled by the end of June 2019
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and disenrolled by the end of July 2019 + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and disenrolled by the end of August 2019
  - 10.a. From the population in #10, provide the total number of children who were enrolled in title XXI (CHIP) in the month after their disenrollment from XIX.

#### Table 3b. Duration Measure of Children Enrolled in Title XXI

Specify how your "newly enrolled" population is defined:

■ Not Previously Enrolled in CHIP	<b>ledicaid—</b> "Newly enrolled" is defined as not enrolled in either title XXI or tit	tle XIX in the month before enrollment
(i.e., for a child enrolled in January	118, he/she would not be enrolled in either title XXI or title XIX in December	r 2017, etc.)

Not Previously Enrolled in CHIP—"Newly enrolled" is defined as not enrolled in title XXI in the month before enrollment (i.e., for a child enrolled in January 2018, he/she would not be enrolled in title XXI in December 2017, etc.)

Ta	Table 3b. Duration Measure, Title XXI		All Children Ages 0-16		Age Less than 12 months		Ages		Ages 6-12		jes -16
		Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
1.	Total number of children newly enrolled in title XXI in the second quarter of FFY 2018	18981	100%	881	100%	2490	100%	7605	100%	8005	100%
		Enrolln	nent status	6 months	later						
2.	Total number of children continuously enrolled in title XXI	17211	90.67	863	97.96	2458	98.71	7266	95.54	6624	82.75
3.	Total number of children with a break in title XXI coverage but re-enrolled in title XXI	6	0.03	0		0		2	0.03	4	0.05
	3.a. Total number of children enrolled in Medicaid (title XIX) during title XXI coverage break (If unable to provide the data, check here ⊠)										
4.	Total number of children disenrolled from title XXI	1764	9.29	18	2.04	32	1.29	337	4.43	1377	17.2
	<ul> <li>4.a. Total number of children enrolled in Medicaid (title XIX) after being disenrolled from title XXI (If unable to provide the data, check here ☒)</li> </ul>										
		Enrollm	ent status	12 months	s later						
5.	Total number of children continuously enrolled in title XXI										
6.	Total number of children with a break in title XXI coverage but re-enrolled in title XXI										
	6.a. Total number of children enrolled in Medicaid (title XIX) during title XXI coverage break										

Table 3b. Duration Measure, Title XXI		All Children Ages 0-16		Age Less than 12 months		Ages 1-5		Ages 6-12		es -16
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
(If unable to provide the data, check here □)										
7. Total number of children disenrolled from title XXI										
7.a. Total number of children enrolled in Medicaid										
(title XIX) after being disenrolled from title XXI										
(If unable to provide the data, check here   )										
	Enrollm	ent status	18 months	later						
8. Total number of children continuously enrolled in title										
XXI										
9. Total number of children with a break in title XXI										
coverage but re-enrolled in title XXI										
9.a. Total number of children enrolled in Medicaid										
(title XIX) during title XXI coverage break										
(If unable to provide the data, check here   )										
10. Total number of children disenrolled from title XXI										
10.aTotal number of children enrolled in Medicaid										
(title XIX) after being disenrolled from title XXI										
(If unable to provide the data, check here										

#### **Definitions:**

- 1. The "total number of children newly enrolled in title XXI in the second quarter of FFY 2018" is defined as those children either new to public coverage or new to title XXI, in the month before enrollment. Please define your population of "newly enrolled" in the Instructions section.
- 2. The total number of children that were continuously enrolled in title XXI for 6 months is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and who were continuously enrolled through the end of June 2018
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and who were continuously enrolled through the end of July 2018
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and who were continuously enrolled through the end of August 2018
- 3. The total number who had a break in title XXI coverage during <u>6 months</u> of enrollment (regardless of the number of breaks in coverage) but were re-enrolled in title XXI by the end of the 6 months, is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and who disenrolled and re-enrolled in title XXI by the end of June 2018
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and who disenrolled and re-enrolled in title XXI by the end of July 2018
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and who disenrolled and re-enrolled in title XXI by the end of August 2018
  - 3.a. From the population in #3, provide the total number of children who were enrolled in title XIX during their break in coverage.

- 4. The total number who disenrolled from title XXI, 6 months after their enrollment month is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and were disenrolled by the end of June 2018
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and were disenrolled by the end of July 2018
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and were disenrolled by the end of August 2018
  - 4.a. From the population in #4, provide the total number of children who were enrolled in title XIX in the month after their disenrollment from title XXI.
- 5. The total number of children who were continuously enrolled in title XXI for 12 months is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and were continuously enrolled through the end of December 2018
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and were continuously enrolled through the end of January 2019
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and were continuously enrolled through the end of February 2019
- 6. The total number of children who had a break in title XXI coverage during 12 months of enrollment (regardless of the number of breaks in coverage), but were reenrolled in title XXI by the end of the 12 months, is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and who disenrolled and then re-enrolled in title XXI by the end of December 2018
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and who disenrolled and then re-enrolled in title XXI by the end of January 2019
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and who disenrolled and then re-enrolled in title XXI by the end of February 2019
  - 6.a. From the population in #6, provide the total number of children who were enrolled in title XIX during their break in coverage.
- 7. The total number of children who disenrolled from title XXI 12 months after their enrollment month is defined as the sum of:
  - the number of children with birthdates after July 2001, who were enrolled in January 2018 and were disenrolled by the end of December 2018
  - + the number of children with birthdates after August 2001, who were enrolled in February 2018 and were disenrolled by the end of January 2019
  - + the number of children with birthdates after September 2001, who were enrolled in March 2018 and were disenrolled by the end of February 2019
  - 7.a. From the population in #7, provide the total number of children, who were enrolled in title XIX in the month after their disenrollment from title XXI.
- 8. The total number of children who were continuously enrolled in title XXI for 18 months is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and were continuously enrolled through the end of June 2019
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and were continuously enrolled through the end of July 2019
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and were continuously enrolled through the end of August 2019
- 9. The total number of children who had a break in title XXI coverage during 18 months of enrollment (regardless of the number of breaks in coverage), but were reenrolled in title XXI by the end of the 18 months, is defined as the sum of:
  - the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and who disenrolled and re-enrolled in title XXI by the end of June 2019
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and who disenrolled and re-enrolled in title XXI by the end of July 2019
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and who disenrolled and re-enrolled in title XXI by the end of August 2019

- 9.a. From the population in #9, provide the total number of children who were enrolled in title XIX during their break in coverage.
- 10. The total number of children who were disenrolled from title XXI <u>18 months</u> after their enrollment month is defined as the sum of: the number of children with birthdates after July 2001, who were newly enrolled in January 2018 and disenrolled by the end of June 2019
  - + the number of children with birthdates after August 2001, who were newly enrolled in February 2018 and disenrolled by the end of July 2019
  - + the number of children with birthdates after September 2001, who were newly enrolled in March 2018 and disenrolled by the end of August 2019
  - 10.a. From the population in #10, provide the total number of children who were enrolled in title XIX (Medicaid) in the month after their disenrollment from XXI.

Enter any Narrative text related to Section IIIC below. [7500]

# **Section IIID: Cost Sharing**

1.	aggregate maximum in the year?
a.	Cost sharing is tracked by:
$\boxtimes$	] Enrollees (shoebox method)
	If the state uses the shoebox method, please describe informational tools provided to enrollees to track cost sharing. <b>[7500]</b>
	Families are informed at enrollment, via a guidebook, that they have an out-of-pocket maximum of 5% of the family income as reported on the most recent application. The guidebook states, "It is your responsibility to keep your receipts and contact us [ALL Kids when you are close to spending your annual out-of-pocket maximum."
	Health Plan(s)  State  Third Party Administrator  N/A (No cost sharing required)  Other, please explain. [7500]
2.	When the family reaches the 5% cap, are premiums, copayments and other cost sharing ceased? ⊠ Yes □ No
3.	Please describe how providers are notified that no cost sharing should be charged to enrollee exceeding the 5% cap. <b>[7500]</b>
	Providers may access online benefit information for enrollees to obtain cost-sharing information. Additionally, enrollees who have met the out-of-pocket expense maximum are issued new insurance cards on which the words "NO FEE" are printed.
4.	Please provide an estimate of the number of children that exceeded the 5 percent cap in the state's CHIP program during the federal fiscal year. <b>[500]</b>
	0
5.	Has your state undertaken any assessment of the effects of premiums/enrollment fees on participation in CHIP?
	☐Yes ☐ No If so, what have you found? [7500]
6.	Has your state undertaken any assessment of the effects of cost sharing on utilization of health services in CHIP?
as	Yes  No If so, what have you found? [7500]  This past year, one of the studies conducted by researchers at the UAB School of Public ealth in collaboration with colleagues at Indiana University-Indianapolis and Texas A&M, seessed how a large copayment increase in June 2012 impact emergency department D)utilization overall and for low-severity conditions among separate CHIP enrollees.
	In this study, multiple identification strategies to examine the efficacy of larger copayments in

reducing ED utilization among publicly insured children were used. Overall, the difference-in-difference and segmented regression models yield qualitatively similar results, with the increase in copayments for fee/expansion enrollees associated with 5-10% reductions in the probability of an ED visit in a given month. For the 44,713 fee and expansion enrollees in July 2013, the 0.13-0.20 reduction in ED visits associated the copayment increase imply a reduction of 58-89 ED

visits monthly. This study is based on the experiences of CHIP enrollees in Alabama and may not generalize to other publicly insured populations.

 If your state has increased or decreased cost sharing in the past federal fiscal year, how is the state monitoring the impact of these changes on application, enrollment, disenrollment, and utilization of children's health services in CHIP. If so, what have you found? [7500]
 N/A

Enter any Narrative text related to Section IIID below. [7500]

# Section IIIE: Employer sponsored insurance Program (including Premium Assistance)

1.	Does your state offer an employer sponsored insurance program (including a premium assistance program under the CHIP State Plan or a Section 1115 Title XXI Demonstration) for children and/or adults using Title XXI funds?
	<ul><li>☐ Yes, please answer questions below.</li><li>☒ No, skip to Program Integrity subsection.</li></ul>
Childre	n
	Yes, Check all that apply and complete each question for each authority.
A dulta	<ul> <li>□ Purchase of Family Coverage under the CHIP state plan (2105(c)(3))</li> <li>□ Additional Premium Assistance Option under CHIP state plan (2105(c)(10))</li> <li>□ Section 1115 Demonstration (Title XXI)</li> </ul>
Adults	Yes, Check all that apply and complete each question for each authority.
	<ul><li>☐ Purchase of Family Coverage under the CHIP state plan (2105(c)(10))</li><li>☐ Section 1115 demonstration (Title XXI)</li></ul>
2.	Please indicate which adults your state covers with premium assistance. (Check all that apply.)
	<ul><li>□ Parents and Caretaker Relatives</li><li>□ Pregnant Women</li></ul>
3.	Briefly describe how your program operates (e.g., is your program an employer sponsored insurance program or a premium assistance program, how do you coordinate assistance between the state and/or employer, who receives the subsidy if a subsidy is provided, etc.) <b>[7500]</b>
4.	What benefit package does the ESI program use? [7500]

J.	Are there any minimum coverage requirements for the benefit package:
	□Yes □ No
6. I	Does the program provide wrap-around coverage for benefits?
	□Yes □ No
7. /	Are there limits on cost sharing for children in your ESI program?
	□Yes □ No
8.	Are there any limits on cost sharing for adults in your ESI program?
	□Yes □ No
9.	Are there protections on cost sharing for children (e.g., the 5 percent out-of-pocket maximum) in your premium assistance program?
	☐ Yes ☐ No If yes, how is the cost sharing tracked to ensure it remains within the 5 percent yearly aggregate maximum [7500]?
10.	Identify the total number of children and adults enrolled in the ESI program for whom Title XXI funds are used during the reporting period (provide the number of adults enrolled in this program even if they were covered incidentally, i.e., not explicitly covered through a demonstration).
	Number of childless adults ever-enrolled during the reporting period
	Number of adults ever-enrolled during the reporting period
	Number of children ever-enrolled during the reporting period
11.	Provide the average monthly enrollment of children and parents ever enrolled in the premium assistance program during FFY 2018.
	Children Parents
12.	During the reporting period, what has been the greatest challenge your ESI program has experienced? [7500]
13.	During the reporting period, what accomplishments have been achieved in your ESI program? [7500]
14.	What changes have you made or are planning to make in your ESI program during the next fiscal year? Please comment on why the changes are planned. <b>[7500]</b>
15.	What do you estimate is the impact of your ESI program (including premium assistance) on enrollment and retention of children? How was this measured? [7500]
16.	Provide the average amount each entity pays towards coverage of the dependent child/parent under your ESI program:

Population	State	Employer	Employee
Child			
Parent			

	Omia					
	Parent					
17.	Indicate the range in the state on behalf of a child	·	•	amount	of premium assis	tance provided by the
	Children Parent	Low Low	High High			
18.	If you offer a premium a [500]	assistan	ce program, wha	t, if any,	is the minimum e	employer contribution?
19.	Please provide the incor	me level	ls of the children From	or famili	es provided prem To	ium assistance.
	Income level of Children Income level of Parents:					
20.	Is there a required period	od of un	insurance before	enrollin	g in premium ass	istance?
	☐Yes ☐ No					
	If yes, what is the period	d of unin	surance? <b>[500]</b>			
21.	Do you have a waiting I	list for yo	our program?	Yes	□No	
22.	Can you cap enrollment	for you	r program? □Ye	es	□No	
23.	What strategies has the provision of premium as				lucing administrat	ive barriers to the
Ent	ter any Narrative text rela	ited to S	section IIIE below	. [7500	1	
	ion IIIF: Program OMPLETE ONLY WITH	_	=	RATE (	CHIP PROGRAM	MS, I.E., THOSE
TH	IAT ARE NOT MEDIC	AID EX	(PANSIONS)			
1.	Does your state have a <u>v</u> for:	<u>written</u> p	olan that has safeg	guards a	nd establishes me	thods and procedures
	(1) prevention: (2) investigation: (3) referral of cases	Yes [	□No	] Yes [	] No	
	Please explain: [7500]					

CHIP Annual Report Template – FFY 2018

Complaints of fraud and abuse in the applicant enrollment process are addressed by the ALL Kids Program Integrity Unit. With regard to fraud and abuse within the provider sector, CHIP contracts with BCBSAL, its sole health plan administrator, to prevent fraud and abuse, and investigate cases of suspected fraud and abuse.

Complaints of fraud and abuse in the applicant enrollment process are addressed on a case by case basis, as these occur very infrequently and usually each case has its own unique circumstances. When a case is referred to ALL Kids, the complaint is received and initial, internal research on the applicant or enrollee is conducted by the ALL Kids Program Integrity Unit. This research is conducted within a ten business day time period. Some suspected fraud and abuse cases are subject to a committee type review by the Director of Program Integrity, Director of Program Operations and Professional Services Division, Director of Program Services, and/or the Director of the Eligibility Unit. The office of ADPH General Counsel is involved as needed.

There are several general steps which are relevant to each case investigated:

- 1. Conduct research in the CHIP Eligibility System to determine eligibility standards to enroll the applicant/enrollee.
  - 2. Review the most current application on file.
  - 3. Review the MSIQ Medicaid database for any applicable information.
  - 4. Complete a summary of events to include complete names and dates.
  - 5. Maintain a file of complaints received by fiscal year.

Do managed health care plans with which your program contracts have written plans?

☐ Yes⊠ No

Please Explain: [500]

ALL Kids does not contract with any managed health care plans. Blue Cross Blue Shield of Alabama (BCBSAL) has written plans, however, BCBSAL is not a managed health care plan.

2. For the reporting period, please report the

ONumber of fair hearing appeals of eligibility denials

ONumber of cases found in favor of beneficiary

3. For the reporting period, please indicate the number of cases investigated, and cases referred, regarding fraud and abuse in the following areas:

**Provider Credentialing** 

ONumber of cases investigated

0 Number of cases referred to appropriate law enforcement officials

Provider Billing

38Number of cases investigated

9 Number of cases referred to appropriate law enforcement officials

Beneficiary Eligibility

7Number of cases investigated

1 Number of cases referred to appropriate law enforcement officials

Are	these cases for:	
	CHIP 🖂	
	Medicaid and CHIP Combined	
4.	Does your state rely on contractors to perform the above functions?	
	∑ Yes, please answer question below.	
	□ No	
5.	If your state relies on contractors to perform the above functions, how does your state provide oversight of those contractors? Please explain: <b>[7500]</b>	
	Written complaints of fraud and abuse in the applicant enrollment process are addressed by the ALL Kids Program Integrity Unit. However, for the provider sector, ALL Kids contracts with BCBSAL, its sole health plan administrator, to prevent fraud and abuse, and investigate cases of suspected fraud and abuse. Monthly meetings are held with the contractor where issues are discussed.	
6.	Do you contract with managed care health plans and/or a third party contractor to provide this oversight?	
	⊠ Yes	
	□ No	
	Please Explain: [500]	
and	Blue Cross and Blue Shield of Alabama has an active anti-fraud and abuse program to ure the integrity of benefit administration. The mission of the Network Integrity Unit is to develop I continuously improve upon a comprehensive anti-fraud function, which will focus on the vention, detection, investigation and resolution of fraud and abuse.	

Enter any Narrative text related to Section IIIF below. [7500]

#### **Section IIIG: Dental Benefits:**

Please ONLY report data in this section for children in Separate CHIP programs and the Separate CHIP part of Combination programs. Reporting is required for all states with Separate CHIP programs and Combination programs. If your state has a Combination program or a Separate CHIP program but you are not reporting data in this section on children in the Separate CHIP part of your program, please explain why. Explain: [7500]

1. Information on Dental Care for Children in Separate CHIP Programs (including children in the Separate CHIP part of Combination programs). Include all delivery system types, e.g. MCO, PCCM, FFS.

Data for this table are based on the definitions provided on the Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) Report (Form CMS-416)

a. Annual Dental Participation Table for Children Enrolled in Separate CHIP programs and the Separate CHIP part of Combination programs (for Separate CHIP programs, please include ONLY children receiving full CHIP benefits and supplemental benefits).

<b>FFY</b> 2018	Total (All age groups)	<1 year	1 – 2 years	3 – 5 years	6 – 9 years	10–14 years	15–18 years
Total Individuals Enrolled for at Least 90 Continuous Days <sup>1</sup>	97898	1841	9288	16346	21967	27515	20941
Total Enrollees Receiving Any Dental Services <sup>2</sup> [7]	60473	43	2561	10038	15944	19070	12817
Total Enrollees Receiving Preventive Dental Services <sup>3</sup> [7]	57660	6	2125	9588	15453	18486	12002
Total Enrollees Receiving Dental Treatment Services <sup>4</sup> [7]	22961	28	178	2690	7147	7009	5909

<sup>&</sup>lt;sup>1</sup> Total Individuals Enrolled for at Least 90 Continuous Days – Enter the total unduplicated number of children who have been continuously enrolled in a separate CHIP program or the separate CHIP part of a combination program for at least 90 continuous days in the federal fiscal year, distributed by age. For example, if a child was enrolled January 1<sup>st</sup> to March 31<sup>st</sup>, this child is considered continuously enrolled for at least 90 continuous days in the federal fiscal year. If a child was enrolled from August 1<sup>st</sup> to September 30<sup>th</sup> and from October 1<sup>st</sup> to November 30<sup>th</sup>, the child would not be considered to have been enrolled for 90 continuous days in the federal fiscal year. Children should be counted in age groupings based on their age at the end of the fiscal year. For example, if a child turned 3 on September 15<sup>th</sup>, the child should be counted in the 3-6 age grouping.

<sup>2</sup>Total Enrollees Receiving Any Dental Services - Enter the unduplicated number of children enrolled in a separate CHIP program or the separate CHIP part of a combination program for at least 90 continuous days who received at least one dental service by or under the supervision of

a dentist as defined by HCPCS codes D0100 - D9999 (or equivalent CDT codes D0100 - D9999 or equivalent CPT codes) based on an unduplicated paid, unpaid, or denied claim.

<sup>3</sup>Total Enrollees Receiving Preventive Dental Services - Enter the unduplicated number of children enrolled in a separate CHIP program or the separate CHIP part of a combination program for at least 90 continuous days who received at least one preventive dental service by or under the supervision of a dentist as defined by HCPCS codes D1000 - D1999 (or equivalent CDT codes D1000 - D1999 or equivalent CPT codes, that is, only those CPT codes that are for preventive dental services and only if provided by or under the supervision of a dentist), based on an unduplicated paid, unpaid, or denied claim.

<sup>4</sup>Total Enrollees Receiving Dental Treatment Services - Enter the unduplicated number of children enrolled in a separate CHIP program or the separate CHIP part of a combination program for at least 90 continuous days who received at least one treatment service by or under the supervision of a dentist, as defined by HCPCS codes D2000 - D9999 (or equivalent CDT codes D2000 - D9999 or equivalent CPT codes, that is, only those CPT codes that involve periodontics, maxillofacial prosthetics, implants, oral and maxillofacial surgery, orthodontics, adjunctive general services, and only if provided by or under the supervision of a dentist), based on an unduplicated paid, unpaid, or denied claim.

Report all dental services data in the age category reflecting the child's age at the end of the federal fiscal year even if the child received services while in two age categories. For example, if a child turned 10 on September 1<sup>st</sup>, but had a cleaning in April and a cavity filled in September, both the cleaning and the filling would be counted in the 10-14 age category.

b. For the age grouping that includes children 8 years of age, what is the number of such children who have received a sealant on at least one permanent molar tooth<sup>5</sup>? [7] 3242

<sup>5</sup>Receiving a Sealant on a Permanent Molar Tooth -- Enter the unduplicated number of children enrolled in a separate CHIP program or the separate CHIP part of a combination program for 90 continuous days and in the age category of 6-9 who received a sealant on a permanent molar tooth, as defined by HCPCS code D1351 (or equivalent CDT code D1351), based on an unduplicated paid, unpaid, or denied claim. For this line, include sealants placed by any dental professional for whom placing a sealant is within his or her scope of practice. Permanent molars are teeth numbered 2, 3, 14, 15, 18, 19, 30, 31, and additionally, for those states that cover sealants on third molars, also known as wisdom teeth, the teeth numbered 1, 16, 17, 32.

Report all sealant data in the age category reflecting the child's age at the end of the federal fiscal year even if the child was factually a different age on the date of service. For example, if a child turned 6 on September 1<sup>st</sup>, but had a sealant applied in July, the sealant would be counted in the age 6-9 category.

2.	Does the state provide supplemental dental coverage? ☐ Yes	⊠ No
	If yes, how many children are enrolled? [7]	
	What percent of the total number of enrolled children have supplem [5]	nental dental coverage?

Enter any Narrative text related to Section IIIG below. [7500]

## Section IIIH: CHIPRA CAHPS Requirement:

CHIPRA section 402(a)(2), which amends reporting requirements in section 2108 of the Social Security Act, requires Title XXI Programs (i.e., CHIP Medicaid Expansion programs, Separate Child Health Programs, or a combination of the two) to report CAHPS results to CMS starting December 2013. While Title XXI Programs may select any CAHPS survey to fulfill this requirement, CMS encouragestheseprograms to align with the CAHPS measure in the Children's Core Set of Health Care Quality Measures for Medicaid and CHIP (Child Core Set). Starting in 2013, Title XXI Programs should submit summary level information from the CAHPS survey to CMS via the CARTS attachment facility. We also encourage states to submit raw data to the Agency for Healthcare Research and Quality's CAHPS Database. More information is available in the Technical Assistance fact sheet, Collecting and Reporting the CAHPS Survey as Required Under the CHIPRA: <a href="https://www.medicaid.gov/medicaid/quality-of-care/downloads/cahpsfactsheet.pdf">https://www.medicaid.gov/medicaid/quality-of-care/downloads/cahpsfactsheet.pdf</a>

If a state would like to provide CAHPS data on both Medicaid and CHIP enrollees, the agency must sample Title XIX (Medicaid) and Title XXI (CHIP) programs separately and submit separate results to CMS to fulfill the CHIPRA Requirement.

Did you Collect this Survey in Order to Meet the CHIPRA CAHPS Requirement?⊠ Yes ☐ No
If Yes, How Did you Report this Survey (select all that apply):  Submitted raw data to AHRQ (CAHPS Database)  Submitted a summary report to CMS using the CARTS attachment facility (NOTE: do not submit raw CAHPS data to CMS)  Other. Explain:
If No, Explain Why: Select all that apply (Must select at least one):
Service not covered   Population not covered   Entire population not covered   Explain the partial population not covered:   Data not available   Explain why data not available   Budget constraints   Staff constraints   Data inconsistencies/accuracy   Please explain:   Data source not easily accessible   Select all that apply:   Requires medical record review   Requires data linkage which does not currently exist   Other:   Information not collected.   Select all that apply:   Not collected by provider (hospital/health plan)   Other:   Other:   Small sample size (less than 30)   Enter specific sample size:   Other. Explain:   Definition of Population Included in the Survey Sample:
Definition of population included in the survey sample:

<ul><li>☐ Survey sample in</li><li>☑ Survey sample in</li></ul>	CHIP (Title XXI) population of cludes CHIP Medicaid Expandicudes Separate CHIP population CHIP	nsion population. lation.				
the denominator is a subset of the definition selected above, please further define the denominator, and indicate the umber of children excluded:						
Which Version of the CAH  ☐ CAHPS® 5.0.  ☑CAHPS® 5.0H. ☐ Other. Explain:	PS® Survey was Used?					
No supplemental item set     CAHPS Item Set for Chil     Other CAHPS Item Set	dren with Chronic Conditions tt. Explain: Alabama included ems from the following supp	d the CAHPS item set for				
⊠NCQA HEDIS CAHPS  ☐ AHRQ CAHPS admini	Which Administrative Protocol was Used to Administer the Survey?  ☑NCQA HEDIS CAHPS 5.0H administrative protocol  ☑ AHRQ CAHPS administrative protocol  ☑ Other administrative protocol. Explain:					
Enter any Narrative text r	elated to Section IIIH below.	[7500]				
Section III I: Heal	th Service Initiative	es (HSI) Under the	CHIP State Plan			
percent of actual or estim (HSI) (after first funding c	(a)(1)(D)(ii) of the Social Sec ated Federal expenditures to osts associated with adminis 7.10, to improve the health o	develop state-designed H stration of the CHIP state p	lealth Services Initiatives			
1) Does your state opera	ate HSI(s) to provide direct s	ervices or implement public	c health initiatives using			
Title XXI funds?						
Yes, please answer questions below.						
No, please skip to Section IV.  2) In the table below, please provide a brief description of each HSI program operated in the state in the first column. In the second column, please list the populations served by each HSI program. In the third column, provide estimates of the number of children served by each HSI program. In the fourth column, provide the percentage of the population served by the HSI who are children below your state's CHIP FPL eligibility threshold.						
HSI Program	Population Served by HSI Program	Number of Children Served by HSI	Percent of Low- income Children			

HSI 1 <sup>1</sup>

3) Please define a metric for each of your state's HSI programs that is used to measure the program's impact on improving the health of low-income children. In the table below, please list the HSI program title in the first column, and include a metric used to measure that program's impact in the second column. In the third column, please provide the outcomes for metrics reported in the second column. Reporting on outcomes is optional as states work to develop metrics and collect outcome data. States that are already reporting to CMS on such measures related to their HSI program(s) do not need to replicate that reporting here and may skip to Section IV.

HSI Program	Metric	Outcome

<sup>&</sup>lt;sup>1</sup> The percent of children served by the HSI program who are below the CHIP FPL threshold in your state should be reported in this column.

HSI Program	Metric	Outcome

Enter any Narrative text related to Section III I below. [7500]

# **Section IV. Program financing for State Plan**

1. Please complete the following table to provide budget information. Describe in narrative any details of your planned use of funds below, including the assumptions on which this budget was based (per member/per month rate, estimated enrollment and source of non-federal funds). (Note: This reporting period equals federal fiscal year 2018. If you have a combination program you need only submit one budget; programs do not need to be reported separately.)

#### COST OF APPROVED CHIP PLAN

Benefit Costs	2018	2019	2020
Insurance payments			
Managed Care			
Fee for Service	219518985	242938978	279327696
Total Benefit Costs	219518985	242938978	279327696
(Offsetting beneficiary cost sharing payments)	-5837986	-6000000	-6000000
Net Benefit Costs	\$213680999	\$ 236938978	\$ 273327696

Administration Costs	2018	2019	2020
Personnel	4165655	5348138	5615545
General Administration	1560317	2485677	2268255
Contractors/Brokers (e.g., enrollment contractors)			
Claims Processing			
Outreach/Marketing costs	153360	174000	200000
Other (e.g., indirect costs)	662996	872571	915200
Health Services Initiatives			
Total Administration Costs	6542328	8880386	8999000
10% Administrative Cap (net benefit costs ÷ 9)	23742333	26326553	30369744

	2018	2019	2020
Federal Title XXI Share	220223327	245819364	259401768
State Share	0	0	22924928
TOTAL COSTS OF APPROVED CHIP PLAN	220223327	245819364	282326696

2.	What were the sources of non-federal funding used for state match during the reporting period?			
		State appropriations County/local funds Employer contributions Foundation grants Private donations Tobacco settlement Other (specify) [500]		

- 3. Did you experience a short fall in CHIP funds this year? If so, what is your analysis for why there were not enough federal CHIP funds for your program? [1500]
- 4. In the tables below, enter 1) number of eligibles used to determine per member per month costs for the current year and estimates for the next two years; and, 2) per member per month (PMPM) cost rounded to a whole number. If you have CHIP enrollees in a fee for service program, per member per month cost will be the average cost per month to provide services to these enrollees.

## A. Managed Care

Year	Number of Eligibles	PMPM (\$)
2018		\$
2019		\$
2020		\$

### A. Fee For Service

Year	Number of Eligibles	PMPM (\$)
2018	83821	\$213
2019	92594	\$228
2020	102286	\$237

Enter any Narrative text related to Section IV below. [7500]

# **Section V: Program Challenges and Accomplishments**

1. For the reporting period, please provide an overview of your state's political and fiscal environment as it relates to health care for low income, uninsured children and families, and how this environment impacted CHIP. [7500]

For FY 2018, Alabama CHIP received 100% federal funding. Therefore, the fiscal environment of the state did not have a noticeable impact on the program.

- 2. During the reporting period, what has been the greatest challenge your program has experienced? [7500]
  - Most of the first quarter was spent preparing for the possibility of CHIP federal funding ending. The uncertainty of federal funding at the beginning of the fiscal year impacted the program negatively resulting in staff shortages and a hiring freeze. Challenges to fill vacancies that resulted persisted throughout most of the reporting period.
  - Continuing to build the joint CHIP-Medicaid eligibility and enrollment system. This system interacts with the Federally Facilitated Marketplace and the Federal Data Hub. To ensure "no wrong door" application processing, eligibility determinations for both ALL Kids and Medicaid continued to be performed by staff in both agencies.
  - Meeting compliance standards for Provider Enrollment (risk-based screening) as mandated by the ACA.
  - Conducting mental health and medical parity analyses for the CHIP State Plan Amendment related to the Mental Health Parity and Addiction Equity Act.

Alabama Medicaid completed a SPA to continue Express-Lane Eligibility for Children which has been very valuable.

- 3. During the reporting period, what accomplishments have been achieved in your program? [7500]
  - Continuing to add greater functionality to the joint CHIP-Medicaid eligibility and enrollment system and improve reporting of renewal statistics.
  - During the reporting period, AL began implementing the Redetermination and Renewal Verification (RRV) System. This system results in automatic redeterminations and promises to be a very efficient method for renewal and an effective method for increasing retention.
  - Continued to experience increased enrollment in both the ALL Kids (Alabama's separate CHIP) and in the Medicaid CHIP (MCHIP) populations.

- Through changes in the electronic eligibility and enrollment system (CARES), CHIP was able to begin testing the functionality of an automated premium payment system for enrollees. This automation allows enrollees to pay their premiums online or over the phone using a credit or debit card.
- Alabama's CHIP conducted a thorough assessment of its benefit system allowing for the verification of medical and mental health parity in accordance with the Affordable Care Act.
- Due to state legislation, CHIP also prepared to add Applied Behavior Analysis (ABA) therapy as a benefit for children with Autism Spectrum Disorder.
- Alabama Medicaid completed a SPA to continue Express-Lane Eligibility (ELE) for Children which has been very valuable and the state continues to renew title XIX Medicaid children through this ELE data match.
- 4. What changes have you made or are planning to make in your CHIP program during the next fiscal year? Please comment on why the changes are planned. **[7500]**

Alabama's CHIP will continue to enhance and refine the joint CHIP/Medicaid Eligibility and Enrollment System and improve functionality of the system and to improve services to the citizens of our state. Alabama has begun to explore options, such the possible development of an HSI, directed at decreasing infant mortality. '

Alabama Medicaid is pursuing an 1115 waiver for a new initiative to transform the Medicaid delivery system through a flexible and more cost-efficient effort which builds off the Agency's current case management program structure. The Alabama Coordinated Health Network (ACHN), is an innovative plan to transform health care provided to Medicaid recipients in Alabama. The proposed program is designed to create a single care coordination delivery system that effectively links patients, providers and community resources in each of seven newly-defined regions. Delivery of medical services is not part of this program.

No other changes are planned at this time.

Enter any Narrative text related to Section V below. [7500]