

**ALABAMA OFFICE OF RADIATION CONTROL  
CERTIFICATION OF SMALL ENTITY STATUS  
FOR PURPOSES OF ANNUAL FEES**

INVOICE NUMBER

See instructions on reverse side. All items MUST be completed.  
Print legibly or type.

LICENSE NUMBER

NAME AND ADDRESS OF LICENSEE (as it appears on the invoice)

Business Telephone Number

Contact Name & Telephone Number

**NOTE: See Instruction No. 2 on the reverse - check one box only. (IF APPLICABLE)**

1. <b>SMALL BUSINESS:</b> A for-profit concern that is not engaged in manufacturing with average GROSS receipts of \$8.0 million or less over its last 5 completed fiscal years. For further information see 2a and 2b on the reverse.	A. \$555,000 TO \$8,000,000	\$3,900.00
	B. LESS THAN \$555,000	\$750.00
2. <b>MANUFACTURING INDUSTRY:</b> A manufacturing concern with an average number of 500 or fewer employees based upon employment during each pay period for the preceding 12 calendar months. For further information see 2a and 2b on the reverse. <b>(See 2.,f. for instructions)</b> NAICS Business Code: _____	A. 35 TO 500 EMPLOYEES	\$3,900.00
	B. FEWER THAN 35 EMPLOYEES	\$750.00
3. <b>SMALL ORGANIZATION:</b> A not-for-profit organization that is independently owned and operated and has annual GROSS receipts of \$8.0 million or less. For further information see 2a and 2b on the reverse.	A. \$555,000 TO \$8,000,000	\$3,900.00
	B. LESS THAN \$555,000	\$750.00
4. <b>SMALL GOVERNMENTAL JURISDICTION (INCLUDING PUBLICLY SUPPORTED EDUCATIONAL INSTITUTIONS):</b> A government of a city, county, town, township, village, school district, or special district with a population less than 50,000. For further information see 2e on the reverse.	A. 20,000 TO 49,999	\$3,900.00
	B. FEWER THAN 20,000	\$750.00
5. <b>SMALL EDUCATIONAL INSTITUTIONS THAT ARE NOT STATE OR PUBLICLY SUPPORTED:</b> Only applicable to such small educational institutions if they have 500 or less employees. For further information see 2b and 2c on the reverse.	A. 35 TO 500 EMPLOYEES	\$3,900.00
	B. FEWER THAN 35 EMPLOYEES	\$750.00

**CERTIFICATION**

*This certification MUST be signed by the owner of the entity named above or an official empowered to act on behalf of the entity.*

I certify that the above named licensee qualifies as a small entity under the size standards established by the NRC in 10 CFR 2.810. The licensee qualifies as a small entity under the specific size standard indicated above.

**WARNING:** Code of Alabama 1975, Section 13 A-10-109 provides that the making of a false statement to a government official is a Class C misdemeanor punishable by a fine and/or imprisonment of up to 3 months in jail.

**I CERTIFY UNDER PENALTY OF PERJURY THAT THE FOREGOING IS TRUE AND CORRECT.**

TYPED OR PRINTED NAME AND TITLE

SIGNATURE

DATE

EMAIL ADDRESS

**NOTE: ONLY LICENSEES WHO SEEK TO QUALIFY AS A SMALL ENTITY FOR PURPOSES OF ANNUAL FEES IMPOSED UNDER 10 CFR PART 171 SHOULD COMPLETE THIS FORM.**

INSTRUCTIONS FOR THE COMPLETION OF THIS FORM

1. File a separate form for each annual fee invoice.
2. Complete all items as follows: (NOTE: Incomplete forms will be returned as unacceptable)

The **license number and invoice number** must be entered exactly as they appear on the annual fee invoice.

The licensee's name and address must be entered as they appear on the invoice. Name and/or address changes for billing purposes must be annotated on the invoice. Correcting the name and/or address on this form **does not** amend the license.

Enter the company's business telephone number.

Enter the contact name and telephone number.

Check the appropriate size standard under which the licensee qualifies as a small entity. **Check one box only.** Note the following:

- a. A licensee that is a subsidiary of a large entity, including foreign entities, does not qualify as a small entity under the NRC's size standards. The calculation of a firm's size must include the employees or receipts of the parent company and all other affiliates. Affiliation with another concern is based on the power to control whether exercised or not. Such factors as common ownership, common management and identity of interest are indications of affiliation. The affiliated business concerns need not be in the line of the business listed on the license.
- b. Gross annual receipts, as used in the size standards, includes all revenue received or accrued by your company from all sources, regardless of the form of revenue, and not solely receipts from licensed activities. Receipts for a for-profit concern not engaged in manufacturing means average gross receipts over its last five completed fiscal years. By contrast, number of employees of a manufacturing concern is based on employment during each pay period for the preceding 12 calendar months.
- c. The size standards on small entity are generally based on the Small Business Administration's regulations (13 CFR Part 121). However, where there is a direct conflict between the Small Business Administration's regulations and NRC and Agency regulations, NRC and Agency regulations are applicable to licensees.
- d. The size standards apply to licensee, not the individual authorized users who may be listed on the license.
- e. As used in the form, "educational institution" means any entity that has education as its primary function; that offers programs accredited by a nationally recognized accrediting agency or association; that is legally authorized to provide a program or organized instruction or study; that provides educational program for which it awards academic degrees; and that makes its educational programs available to the public.
- f. **If you are a manufacturer, enter your North American Industry Classification System business code on the line after NAICS Business Code. In accordance with the North American Industry Classification System, each business is assigned a NAICS business code. Your code can be obtained at [www.census.gov](http://www.census.gov).**

The owner of the licensed entity, or an official empowered to act on the behalf of the licensed entity, must sign and date the certification.

Print or type the name, title and email address of the individual signing the certification.

3. If the invoice amount is less than the reduced small entity annual fee shown on this form, pay the amount on the invoice; there is no further reduction.
4. Submit the required reduced annual fee (as denoted on this form), and the original of this form to:

Mailing Address:

Alabama Department of Public Health  
ATTN: Office of Radiation Control  
P.O. Box 303017  
Montgomery, Alabama 36130-3017

Physical Address:

Alabama Department of Public Health  
Office of Radiation Control  
208 Legends Court, Suite C  
Prattville, Alabama 36066