ALABAMA



PROGRAM



# Newborn Screening Reference Manual for Medical Providers 2025

# TABLE OF CONTENTS

SECTION 1 - PROGRAM OVERVIEW	Children's Rehabilitation Services Newborn Hearing Assessment Clinics42
Alabama Newborn Screening Program4	Center for Disease Control and Prevention's EHDI Program
Health Insurance Portability and Accountability Act (HIPAA)5	Update43
Alabama Newborn Screening Panel of Disorders6	Alabama Newborn Hearing Provider Directory44
Medical Provider Responsibilities7	Newborn Hearing Screening Checklist45-47
Alabama Newborn Screening Medical Consultants8	Newborn Hearing Screening Frequently Asked Questions 48
Secure Remote Viewer Instructions9	Hearing Parent Information49
Secure Remote Viewer Registration Form10	
Newborn Screening Education Material11	SECTION 4 - PULSE OXIMETRY SCREENING
Newborn Screening Refusal Form12	Critical Congenital Heart Disease (CCHD)57
Alabama Birthing Hospitals13	Pulse Oximetry Screening Equipment52
Why Use An Accredited Cystic Fibrosis Center14	Pulse Oximetry Training53
	Knowledge Assessment57-58
SECTION 2 - SPECIMEN COLLECTION	Competency Checklist59
One Drop, One Circle, One Time16	Training Log60
Newborn Screening Blood Collection Guidelines17-24	Pulse Oximetry Screening6
Sick Infant Blood Collection Guidelines25-26	Pulse Oximetry Screening Algorithm62
Newborn Screening Collection Tips27	Pulse Oximetry Reporting Form63
Whatman® Neonatal Screening Reference Form28-29	
Whatman® Simple Spot Check Reference Form30	SECTION 5 - RESOURCES
Alabama Newborn Screening Kit Reorder Form31	Public Health Districts65
Newborn Screening Provider Updated Form32	Alabama County Health Departments66
Provider Lab Result Request Form33	Alabama Early Intervention System (AEIS)67-68
	Child Find Referral Form69-70
SECTION 3 - NEWBORN HEARING SCREENING	Children's Rehabilitation Service (CRS)71-72
Newborn Hearing Screening Overview35	Alabama Community-Based Sickle Cell Organizations73
Inpatient Newborn Hearing Screening Protocol36	
Joint Committee on Infant Hearing37	APPENDIX
Newborn Hearing Screening Hospital Algorithm38	Alabama Newborn Screening Timeline75
American Academy of Pediatrics Early Hearing Detection & Intervention (EHDI) Guidelines for Pediatric Providers39	Alabama Newborn Screening Confirmed Disorders76  Alabama Newborn Screening Public Health Law77
Re-screen Newborn Hearing Results Form40	Alabama State Board of Health Administrative Code78-83
Diagnostic Hearing Evaluation Form41	American College of Medical Genetics ACT Sheets84

# SECTION 1 - PROGRAM OVERVIEW

Alabama Newborn Screening Program	4
HIPAA	5
Alabama Newborn Screening Panel of Disorders	6
Medical Provider Responsibilities	7
Alabama Newborn Screening Medical Consultants	8
Secure Remote Viewer Instructions	9
Secure Remote Viewer Registration Form	10
Newborn Screening Education Material	11
Newborn Screening Refusal Form	12
Alabama Birthing Hospitals	13
Why Use An Accredited Cystic Fibrosis Center	14

### ALABAMA NEWBORN SCREENING PROGRAM

The goal of the Alabama Newborn Screening Program is to ensure state laws, rules and regulations mandating newborn screening are carried out in order to identify specific genetic disorders early and provide appropriate follow-up care.

The Alabama Newborn Screening (NBS) Program is a comprehensive and coordinated system that provides education, screening, follow-up, diagnosis, evaluation, and management of disorders typically not apparent at birth. Newborn screening is mandated by Statutory Authority Code of Alabama 1975, Section 22-20-3. The screening allows treatment to be initiated within the first few weeks of life, preventing many of the complications associated with genetic and endocrine disorders. Early diagnosis can reduce morbidity, premature death, and developmental disabilities, including intellectual impairment. The Alabama NBS panel includes 35 of 38 disorders recommended by the U.S. Department of Health and Human Services Secretary's Advisory Committee on Heritable Disorders in Newborns and Children. Each year, the Alabama NBS Program identifies approximately 150-200 infants with a metabolic, endocrine, hematological, or other congenital disorders that may not be apparent at birth.

The Alabama Newborn Early Hearing Detection and Intervention (EHDI) Program collaborates with the National Center for Hearing Assessment and Management (NCHAM) to ensure that all infants and toddlers with hearing loss are identified as early as possible and provided with timely and appropriate audiological, educational, and medical intervention. In addition, the program collaborates with Children's Rehabilitation Service (CRS) to ensure infants receive second tier follow-up screening and diagnostic confirmation of hearing loss by three months of age and the Alabama Early Intervention System (AEIS) to ensure infants with hearing loss are enrolled in early intervention services by six months of age.

The Bureau of Clinical Laboratories (BCL) performs blood analysis that aids in the diagnosis of 35 primary genetic disorders. In addition, screening is performed for over 15 secondary disorders, bringing the total to more than 45 disorders. All newborns identified with an abnormal result have access to a diagnostic evaluation through medical specialists throughout the state. These consultants work closely with the BCL, follow-up staff, and the primary care provider to coordinate prompt diagnostic testing and develop an appropriate treatment plan, when necessary. Treatment may include medications, dietary restrictions and/or supplements, and surgical intervention.

### HIPAA

Dear Alabama Newborn Screening Providers:

Subject: HIPAA and Newborn Screening Information

In light of HIPAA, concerns have been raised regarding sharing information with the Alabama Department of Public Health regarding newborn screenings. Exchange of information regarding newborn screening is permissible under HIPAA because HIPAA allows the disclosure of protected health information without patient authorization if the disclosure is required by law or if the disclosure is required for public health activities. Disclosures regarding newborn screening fall into both of these categories.

Specifically, the HIPAA regulations state that they do not pre-empt laws "for the conduct of public health surveillance, investigation, or intervention." 45 CFR 160.203(a)(2)(c). The regulations further provide that disclosures can be made without patient consent if the disclosure is required by law or if the disclosure is required for public health activities such as "preventing and controlling disease, injury, or disability" and "the conduct of public health surveillance, public health investigation, and public health interventions." 45 CFR 164.512(a) and (b).

State law requires that health care providers report all results of the newborns tested to the Alabama Department of Public Health. <u>Ala. Admin. Code r.</u> 420-10-1.04(2). Therefore, providers must continue reporting newborn screening results to the Alabama Department of Public Health pursuant to state law and in compliance with HIPAA.

The U.S. Department of Health and Human Services (HHS), who promulgated the HIPAA regulations, and the Centers for Disease Control (CDC) emphasized the public health exception to HIPAA in guidance issued on April 1, 2003. The guidance states that covered entities may disclose protected health information to public health entities, without patient authorization, for the conduct of public health surveillance, investigations, or interventions, as well as for the purpose of preventing or controlling diseases. Additionally, the HHS Office of Civil Rights guidance issued on July 6, 2001 states that covered entities may rely on the judgment of a public health entity when requesting a disclosure as to the minimum amount of information that is needed by Public Health.

In conclusion, state law gives the State Board of Health the authority to designate newborn screenings and the authority to promulgate "such rules and regulations as it considers necessary to provide for the care and treatment of those newborn infants." Ala. Code §22-20-3(b). Pursuant to this authority, the Board of Health has adopted the above-described regulations that required the reporting of all newborn screenings. Because HIPAA does not pre-empt laws for the conduct of public health surveillance, investigation, or intervention and HIPAA allows disclosures for public health activities, you may continue to release newborn screening information without patient authorization to Public Health for the conduct of public health activities. Furthermore, you may rely on Public Health's judgment as to the minimum amount of information necessary in the disclosure request.

If you have any concerns or questions regarding these matters, please do not hesitate to contact me at 334-206-5209 or pamela.kendrick@adph.state.al.us.

Sincerely, Pam Kendrick, Privacy Officer

### ALABAMA NBS PANEL OF DISORDERS

There are thirty-five primary disorders which are currently included in the Alabama Newborn Screening Panel and over forty-five total disorders including the secondary conditions. Please see the appendix for a brief description and timeline of each primary disorder.

- 1. 3-Hydroxy-3-methylglutaric aciduria (HMG)
- 2. 3-Methylcrotonyl-CoA carboxylase deficiency (3-MCC)
- 3. Argininosuccinic aciduria (ASA)
- 4. B-Ketothiolase deficiency (BKT)
- 5. Biotinidase deficiency (BIOT)
- 6. Carnitine uptake/ transport defect (CUD)
- 7. Citrullinemia type I (CIT)
- 8. Classic galactosemia (GALT)
- 9. Classic phenylketonuria (PKU)
- 10. Congenital adrenal hyperplasia (CAH)
- 11. Critical congenital heart disease (CCHD)
- 12. Cystic fibrosis (CF)
- 13. Glutaric acidemia type I (GA1)
- 14. Hearing loss (HEAR)
- 15. Hemoglobin S/Beta-thalassemia (Hb S/BTh)
- 16. Hemoglobin SC disease (HbS/C)
- 17. Hemoglobin SS disease (HbSS)
- 18. Homocystinuria (HCY)
- 19. Isovaleric acidemia (IVA)

- 20. Long-chain L-3 hydroxyacyl-CoA dehydrogenase deficiency (LCHAD)
- 21. Maple syrup urine disease (MSUD)
- 22. Medium-chain acyl-CoA dehydrogenase deficiency (MCAD)
- 23. Methylmalonic acidemia, cobalamin disorders (Cbl A, B)
- Methylmalonic acidemia, methylmalonyl-CoA mutase (MUT)
- 25. Holocarboxylase synthase deficiency (MCD)
- 26. Primary congenital hypothyroidism (CH)
- 27. Propionic acidemia (PROP)
- 28. Severe combined immunodeficiencies (SCID)
- 29. Spinal Muscular Atrophy (SMA)
- 30. Tyrosinemia type I (TYR I)
- 31. Very long-chain acyl-CoA dehydrogenase deficiency (VLCAD)
- 32 X-linked Adrenoleukodystrophy (X-ALD)
- 33. Mucopolysaccharidosis Type I (MPS-I, Hurler Syndrome)
- 34. Trifunctional Protein Deficiency
- 35. Pompe Disease

See <u>alabamapublichealth.gov/newbornscreening/disorder-descriptions.html</u> for a description of each condition.



### MEDICAL PROVIDER RESPONSIBILITIES



Medical providers are notified of newborn screening results by mail as long as they are identified on the specimen collection form.



Medical providers are notified by immediate phone call for potential positives and/or abnormal results that are outside of set cutoff values.



Providers are encouraged to use Alabama's Secure Remote Viewer (SRV) to access newborn screening results.

Ensure that all newborn patients in their care have received complete and valid newborn screening results and that any invalid or screen positive results have been appropriately addressed.

Contact families about out-of-range or invalid screening results in a knowledgeable and sensitive fashion by educating themselves on the medical aspects of conditions included in the screening panel.

Facilitate repeat or confirmatory testing and appropriate subspecialty care and report the results of confirmatory tests and diagnosis to the Alabama Newborn Screening Program.

Collect a repeat newborn screen as soon as possible if the first test is unsatisfactory, and collect a routine repeat screen at 2-6 weeks of age on all infants since TSH elevations could be delayed.

Ensure that the recommended hearing screening method is used for the rescreening of all infants who fail their initial hearing screen.

Obtain a signed statement for parent refusal of newborn screenings, when applicable.

Ensure that medical provider contact information stays current with the state lab so that collection forms and test reports can be provided to the correct provider in a timely manner.

It is recommended that pediatric providers offer and explain newborn screening to families of children under their care. Pediatric providers may face professional liability for failing to adequately inform parents of each newborn screening test (Mallory vs. Meier, et al.). Newborn hearing results are reported electronically by birthing hospitals and may not always link to a blood spot record and appear on the lab report. Please be sure to verify newborn hearing screening is completed (see section 3).

Reference: Clinical and Laboratory Standards Institute (CLSI). Newborn Screening Follow-up; Approved Guideline. CLSI document I/LA27-A.

### ALABAMA NBS MEDICAL CONSULTANTS

	NDOCRINE - CH/CAH	EN
(251) 405-5147	USA Medical Center, Endocrinology	1.
(205) 638-9107	Children's of Alabama, Endocrinology	2.
DISEASE, TRAIT CONDITIONS	EMOGLOBINOPATHIES - SICKLE CEL	
(251) 405-5147 (#3)	ND OTHER HEMOGLOBINOPATHIES  USA Sickle Cell Center	<b>AN</b> 1.
jy(205) 638-9285		ı. 2.
(256) 265-5833	St. Jude Clinic at Huntsville Hospital	5.
	YSTIC FIBROSIS	CY
(205) 638-9583	Children's of Alabama CF Care Center	1.
ATTY ACID DISORDERS, LYSOSOMAL	IETABOLIC: AMINO ACID DISORDERS TORAGE DISORDERS, ORGANIC ACID	
(205) 996-6983		1.
(CCHU)	RITICAL CONGENITAL HEART DISEAS	CD
34-3460 (direct), (800) UAB-MIST (paging)		1.
(334) 612-2111 (direct and paging)		2.
(251) 434-9177 (direct and paging)	Cardiology Associates of Mobile	3.
(251) 435-1200	Diagnostic & Medical Clinic (Mobile)	4.
CY (SCID)	EVERE COMBINED IMMUNODEFICIE	SE
(205) 638-9586	Children's of Alabama	1.
Transplantation Program	UAB – Lowder Pediatric Blood and Marr	2.
ransplantation by immunologist, if indicated	Infants will be referred for bone marrov	
(205) 638-2007	Spinal Muscular Atrophy (SMA)	3.

### SECURE REMOTE VIEWER INSTRUCTIONS

Secure Remote Viewer (SRV) is a web-based system that allows healthcare providers access to newborn screening results. The system allows users to search, view, and print results immediately from their computer.

#### **SRV REGISTRATION**

The Secure Remote Viewer (SRV) requires registration with the Bureau of Clinical Laboratories (BCL). Physicians may register with the system by completing the registration form and faxing it to (334) 206-3780. We will verify that you are currently in the Alabama BCL system to be eligible to gain access to SRV.

Each physician is required to provide their state license number, National Provider Identifier (NPI), and an email address. On the registration form you will also be asked to provide three options for the account's username. Once registration is complete, the registrant will receive their username and password via the email account provided. The email will not include the link to the SRV website for security purposes. You will need to log into the link below to access the SRV once you receive your username and password.

Authorized users will be able to find and view the most recent newborn screening results for each patient after providing the required minimum search criteria.

### The following is a listing of requirements in order to utilize the SRV application:

- Web Browser: compatible with Mozilla Firefox, Microsoft Edge, and Google Chrome
- Pop-up Blocker: must be turned off in thebrowser settings or a website exceptionadded in "Settings" to ensure authenticationand for the lab report (PDF) pop-ups toappear.
- PDF Viewer: must have to view lab report

#### **SRV INSTRUCTIONS**

- You will receive an email from donotreply\_srv@adph.state.al.us with your username and password(check SPAM or Junk Mail if you have not received it within 2 days of submitting your request).
- 2. To access SRV, go to: <a href="https://newbornwebportal.adph.state.al.us/">https://newbornwebportal.adph.state.al.us/</a>
- 3. Log in using the username and password provided.
- 4. You will be prompted to reset your password.
- 5. Once you access the website, you may search with a form number or choose the second tab to search with the patient's information.
- 6. An infant's test results can be found by entering the infant's last name, mother's first name, date of birth, and hospital of birth in addition to any one of the following: mother's last name, infant's first name, mother's social security number or form number (6-digit numbers on filter form followed by last 2 digits of birth year).
- 7. Once the search criteria have been entered, select the "Perform Search" button at the lower right.
- 8. If the minimum criteria have not been entered, "Invalid Search Criteria" will be displayed.
- 9. If the system is unable to find an infant, "No Records Found" will be displayed.
- 10. If there are results matching the search criteria, they will be displayed along with the specimen's status (pending or reported) in the lower portion of the page under "SRV Search Results."
- 11. Once the infant's results are located, the user will check the box in the first column on the left and then select "Download" in the lower right corner. The report will be downloaded to the browser's default download location on the computer. More than one box may be checked if the infant has multiple reports.

### SECURE REMOTE VIEWER REGISTRATION

Please complete this form if you would like access to the Secure Remote Viewer (SRV), which provides newborn screening results via the web. In order to gain access to SRV you must currently be registered to receive results via mail with the Bureau of Clinical Laboratories.

#### **PLEASE PRINT**

Name of Physician (first and last name)				
Name of Facility				
Facility Mailing Address				
Facility Area Code/Telephone Number				
Work E-Mail Address				
THIS IS REQUIRED: The registrant will receive an invitation via email				
Username (list three options and limit to ten characters each)				
osemanie (iist tillee options and limit to ten characters each)				
Physician's State License #	NPI#			
,				
Signature of Physician/Nurse Practitioner				

#### Please fax or mail to:

Alabama Department of Public Health Bureau of Clinical Laboratories P.O. Box 1000 Prattville, Alabama 36067 Fax: 334-206-3780

If you have any questions, please call 334-290-3097.

**Disclaimer:** You must agree that you are a healthcare professional providing care for those infants whose records you will view and agree to keep confidential all information made available to you before gaining access to the SRV system. Any unauthorized access, use, and/or disclosure of information may result in loss of access privileges and may be subject to penalties, fines, and criminal charges in accordance to the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law 104-91.

### NEWBORN SCREENING EDUCATION MATERIAL

Newborn screening material may be ordered directly online at the following site https://www.alabamapublichealth.gov/Extranet/Forms/Form.asp?ss=s&formID=5561 or by completing the information below and faxing to (334) 206-3791.

Newborn Screening materials are reserved for Alabama Newborn Screening Providers. There is a limit to the volume of materials that can be ordered at one time.

### PLEASE USE A SEPARATE ORDER FORM FOR EACH ITEM ORDERED

Hospital/Practice Name
, ,
Mailing Address
Tidiiiiig / Iddii 666
City/Zip Code
5.ty/ 2.p 5535
Telephone Number
Contact Person
Education Material Number: ADPH-FHS
Quantity Requested
FHS-533 comes in packets of 50 and FHS-537/538 comes in packets of 100, limit of 10 packets

#### **FHS-533**



Description: Booklet that includes bloodspot, hearing, and pulse ox screening information. Spanish version also available.

### FHS-537 (5x8 card)



Description: Single 5x8 card for expecting parents. Includes four statements parents need to know about newborn screening. English on one side and Spanish on other side.

FHS-538



Description: Pamphlet with hearing information for parents. Spanish version also available.

### NEWBORN SCREENING REFUSAL FORM

The American Academy of Pediatrics and the Alabama Department of Public Health strongly recommend Newborn Screening for all infants. Parents have a right to refuse newborn screening. Parents should be provided education regarding the risks of not screening their baby and should sign a refusal form for informed consent if refusing any part of the newborn screening.

hild's Name
late of Birth Name of Delivery Hospital:
arent/Legal Guardian
My child's medical provider,as advised me that my child (named above) should participate in the newborn screening program.
s the parent or legal guardian of my child (named above), I choose to decline participation in my state's newborn creening program, on the grounds that such tests conflict with my religious tenets and/or practices (as allowed by the code of Alabama 1975, 22-20-3).
☐ I choose not to have my child receive the newborn bloodspot screening from the Alabama Department of Public Health for life threatening diseases screened for by the Newborn Screening Program.
☐ I choose not to have my child screened for hearing loss.
☐ I choose not to have my child screened for critical congenital heart disease.
have been provided information about newborn screening in my state and the importance of early identification of he disorders. I had the opportunity to discuss these with my child's medical provider, who has answered my questions egarding the recommended screening. I understand the following:
<ul> <li>The purpose and need for newborn screening to include bloodspot screening, hearing screening, and pulse oximetry screening.</li> </ul>
<ul> <li>If my child does not participate in newborn screening, the consequences of a late diagnosis may include <u>delaye</u> <u>development</u>, <u>intellectual disability</u>, or <u>death</u>.</li> </ul>
<ul> <li>My child's medical provider, the Alabama Department of Public Health, and the American Academy of Pediatrics strongly recommend that all newborns be screened for certain disorders.</li> </ul>
<ul> <li>If my child has one of my state's screened conditions, failure to participate in newborn screening may endanger the health or life of my child.</li> </ul>
levertheless, I have decided at this time to decline participation in the newborn screening program for my child as indicated by checking the box above.
acknowledge that I have read this document or it has been read to me in its entirety, and I fully understand it.
arent/Legal Guardian Signature Date Date
Vitness Date Date

the recommended participation.

I had the opportunity to discuss my decision not to participate in my state's newborn screening program and still decline

### ALABAMA BIRTHING HOSPITALS

Birthing Hospital	Births in 2021
Huntsville Hospital	4,472
University of Alabama at Birmingham (UAB) Hospital	3,914
Baptist Medical Center East	3,693
Ascension St. Vincent's Birmingham	3,628
Brookwood Baptist Medical Center	3,048
Grandview Medical Center	2,844
University of South Alabama (USA) Children's & Women's Hospital	2,389
East Alabama Medical Center	2,191
Regional Medical Center Anniston	1,913
DCH Regional Medical Center	1,639
Springhill Medical Center	1,517
Flowers Hospital	1,490
Northport Medical Center	1,468
Southeast Health	1,458
Providence Hospital	1,414
Crestwood Medical Center	1,338
North Alabama Medical Center	1,334
Thomas Hospital	1,295
Madison Hospital	1,293
Jackson Hospital	1,210
Medical Center Enterprise	907
Mobile Infirmary	809
Walker Baptist Medical Center	809
Gadsden Regional Medical Center	804

Birthing Hospital	Births in 2021
Marshall Medical Center South	793
Helen Keller Memorial Hospital	751
Baptist Medical Center South	708
South Baldwin Regional Medical Center	637
Cullman Regional Medical Center	605
Marshall Medical Center North	574
Princeton Baptist Medical Center	547
DeKalb Regional Medical Center	537
Coosa Valley Medical Center	531
Athens-Limestone Hospital	414
Shelby Baptist Medical Center	399
Decatur Morgan Hospital	377
Vaughan Regional Medical Center	371
UAB Medical West	333
Highlands Medical Center	291
Russell Medical Center	286
Andalusia Health	271
North Baldwin Infirmary	236
Monroe County Hospital	230
D.W. McMillan Memorial Hospital	169
Grove Hill Memorial Hospital	125
Bibb Medical Center	64
Out of Hospital Births	474
All Other Hospitals	10

Data based on 2021 Centers for Health Statistics at alabamapublichealth.gov/healthstats/assets/avs2021.pdf

### WHY USE AN ACCREDITED CYSTIC FIBROSIS CENTER?

Accredited Cystic Fibrosis (CF) Care Centers are required to meet nationally accepted standards that have been developed by the Cystic Fibrosis Foundation (CFF) and the Clinical and Laboratory Standards Institute (CLSI).

National standards for diagnostic sweat testing are imperative to ensure the results are consistently accurate and reliable. CFF accredited centers offer a multidisciplinary approach to the management of cystic fibrosis and include the following clinic personnel:

- · Physicians
- · Registered nurses
- Respiratory therapists
- · Dieticians/nutritionists
- Social workers
- Geneticist or genetic counselors

Having these specialists available in a single location increases the convenience of treatment for CF. Families are able to make a single appointment at the CF Center, rather than separate appointments for each specialist.

Babies referred to an accredited CF Center in Alabama also get referred to Children's Rehabilitation Service (CRS) which offers medical, financial, and support services to families and children facing a variety of special health care needs. These clinics provide state-of-the-art care for infants and children with CF in Alabama. Every county in Alabama is served through a network of 14 community based CRS offices.

For your convenience, contact information for the CFF accredited center in Alabama is included below. Transportation assistance is available to families who qualify.

University of Alabama at Birmingham/Children's of Alabama Cystic Fibrosis Center

Dr. Hector Gutierrez, Pediatric Pulmonologist

1600 7th Avenue South, Lowder 620

Birmingham, AL 35233 • (205) 638-5494

# SECTION 2 - SPECIMEN COLLECTION

One Drop, One Circle, One Time	16
Newborn Screening Blood Collection Guidelines	17-24
Sick Infant Blood Collection Guidelines	25
Newborn Screening Collection Tips	27
Whatman®Neonatal Screening Reference Form	28-29
Whatman® Simple Spot Check Reference Form	30
Alabama Newborn Screening Kit Reorder Form	31
Newborn Screening Provider Update Form	32
Provider Lab Result Form	33



# ALABAMA DEPARTMENT OF PUBLIC HEALTH BUREAU OF CLINICAL LABORATORIES

### Newborn Screening Collection Guidelines

Revised January 1, 2025





Alabama Department of Public Health • Bureau of Clinical Laboratories • Newborn Screening Division 204 Legends Court, Prattville, AL 36066-7893, P.O. Box 1000, Prattville, AL 36067-9901

Phone: 334-290-3097 • FAX: 334-206-3708

Sharon P. Massingale, PhD, HCLD/CC(ABB), Public Health Laboratory Director
Aretha M. Williams, PhD, Assistant State Health Laboratory Director
Stacey Hall, Newborn Screening Laboratory Manager

Section 22-20-3 (as amended in 1987) of the Code of Alabama states that all infants must be administered a reliable test for PKU, Cystic Fibrosis, Hypothyroidism, CAH, Galactosemia, Abnormal Hemoglobins, Biotinidase Deficiency, Severe Combined Immunodeficiency, Amino Acid Disorders, Fatty Acid Disorders, Organic Acid Disorders, Lysosomal Storage Disorders, Spinal Muscular Atrophy, and X-ALD and that the testing be performed by the Public Health Laboratory.

Disorders, Spinal Muscular Atrophy, and X-ALD and that the testing be performed by the Public Health Laboratory.					
TIMING OF SCREENING:					
Combined Imr	FIRST TEST ("A" FORM) – This specimen is tested for Hypothyroidism, CAH, Cystic Fibrosis, Galactosemia, Severe Combined Immunodeficiency, Hemoglobinopathies, Biotinidase Deficiency, Amino Acid Disorders, Fatty Acid Disorders, Spinal Muscular Atrophy, Organic Acid Disorders, Lysosomal Storage Disorders, and X-ALD.				
Full Term Infants	A newborn screening test should be collected when the infant is 24-48 hours of age. If the infant is discharged prior to 24 hours of age, a specimen MUST be obtained before discharge, and the parent or guardian must be informed of the importance of obtaining a repeat test before one week of age.				
Home Births	The Newborn Screening Statute applies to all infants born in Alabama. The birthing attendant is responsible for collecting the newborn screening test. It is recommended that the test be collected at 24-48 hours of age.				
Extended Hospital Stay (low birth weight/ sick infants)	It is recommended that a specimen be collected upon admission to the NICU if the infant is expected to receive TPN or transfusions unless the infant is so unstable that it cannot be done safely. Refer to the Alabama Newborn Screening Sick Infant Blood Collection Guidelines on page 27.				
Transitioning Infants	Infants admitted to NICU for short term observation but who are not receiving TPN or transfusions should have a specimen collected according to the Full Term Infant Protocol.				
Dying Infants	If an infant is likely to die, it is appropriate to collect a newborn screening specimen. While dying infants may have abnormal results as a response to organ failure, the specimen may also provide a diagnosis of an early onset screening disorder.				
Older Infants	The American Academy of Pediatrics recommends that physicians know the screening status of all children in their care. While older infants may enter the practice without evidence of a newborn screen, the Alabama Department of Public Health's Newborn Screening Program has established standards and cutoffs for newborns and infants and therefore <b>cannot accept specimens on children older than 12 months of age.</b>				
	SPECIAL CONSIDERATIONS:				
Transfused Infants	A specimen should be collected prior to transfusion regardless of age or treatments unless the infant is so unstable it cannot be done safely. If the specimen is not collected prior to transfusion, collect a specimen greater than 72 hours post transfusion. Another specimen should be collected at 3-4 months post transfusion for Hemoglobinopathies, Biotinidase Deficiency, and Galactosemia. If a Galactosemia condition is suspected and the specimen was not collected prior to transfusion, place the infant on a galactose-free diet until a definitive diagnosis can be made.				
Transferred Infants	The transferring facility must collect a specimen prior to transfer regardless of age or treatments unless the baby is so unstable that it cannot be done safely. If the specimen cannot be obtained prior to transfer, the transferring facility must ensure that the next facility is aware of the need for collection of the newborn screening specimen.				
Parent Refusal	Parents may refuse newborn screening only for religious reasons. Parents who refuse under this condition should sign a statement that is placed in the infant's medical record. A newborn screening collection form should be filled out completely with a statement as to the refusal and mailed to the State Laboratory.				

SECOND TEST ("B" FORM) – This specimen is tested for Hypothyroidism, CAH, Cystic Fibrosis, Galactosemia, Biotinidase Deficiency, Amino Acid Disorders, Fatty Acid Disorders, and Organic Acid Disorders.

Note: This specimen is not routinely tested for Hemoglobinopathies. If no valid test has been done for this disorder, please see instructions below for collection of requested repeat specimens, "Requested Repeat."

- 1. A second newborn screening specimen should be collected at 2-6 weeks of age (4 weeks optimal) on all full term infants with a normal first test screen.
- 2. If the first test specimen was collected when the infant was greater than one week of age but less than two weeks of age, the second test specimen should be collected at 4-6 weeks of age.
- 3. If the first test specimen was collected after two weeks of age, a second ("B") specimen need NOT be collected.

#### Requested Repeat ("B" form)

- 1. A repeat specimen may be requested by the State Laboratory when the results are abnormal or questionable. The specimen should be collected in the time frame indicated by the report. The "Retest-Prior Abnormal" box must be marked on the collection form.
- 2. If the first test is unsatisfactory for testing, a repeat test should be collected as soon as possible. The "Retest-Prior Unsat" box must be marked on the collection form.

#### **COLLECTION OF FILTER PAPER BLOODSPOT SPECIMEN**

#### Materials needed for Blood Collection:

- 1. Gloves
- 2. 70% isopropyl alcohol pads
- 3. Dry sterile gauze pads
- 4. Sterile sticking device with a point not greater than 2.0 mm in depth (the most effective method is the use of scalpel bladed lancets)
- 5. Newborn Screening filter paper collection form (CL-89) with protective envelope

#### **Bleeding Procedure:**

- 1. The preferred puncture site is indicated by the shaded areas on the heel. The least hazardous sites for heel puncture are medial to a line drawn posterior from the middle of the big toe to the heel or lateral to a similar line drawn on the other side extending from between the 4th and 5th toe to the heel.
- 2. Warm the infant's foot if necessary using warm water, a towel, or a chemical pack. Heat sources should not exceed 42°C and should not be left in contact with the skin for a prolonged period.
- 3. Disinfect the skin with alcohol pads and allow to air dry. Vigorous rubbing during this step stimulates blood flow to the area.
- Puncture the skin in one continuous motion using a sterile sticking device with a tip <2.0 mm. THE USE OF LONGER TIPS MAY DAMAGE THE HEEL BONE.
- 5. Wipe away and discard the first drop of blood since it may be contaminated by alcohol or tissue fluid.
- 6. Allow the second drop of blood to form by the spontaneous free flow of blood.

### **Collecting the Blood Spots:**

- 1. Before collecting the blood, fold back the protective flap to expose the filter paper. Do not touch or handle the filter paper before or after applying the blood.
- 2. Lightly touch the filter paper against a large drop of blood and allow a sufficient quantity of blood to soak through to completely fill the circle. Apply blood to one side of the filter paper only, allowing full saturation of each circle. Either side of the filter paper may be chosen. Fill all circles. Do not layer successive small drops of blood to the same circle. Avoid touching or smearing the blood spots.
- 3. If blood flow is diminished, repeat the bleeding procedure with sterile equipment.
- 4. Once all the circles have been filled, press a sterile gauze pad to the puncture site and hold the infant's foot above the level of the heart until bleeding has stopped.
- 5. Dry the blood spots on a level, non-absorptive surface away from direct sunlight and at room temperature for at least 4 hours.
- 6. After blood spots are completely dry, replace the protective flap over the specimen and place form in the protective envelope (do not use plastic) and mail to the State Laboratory within 24 hours.

### **Guidelines and Possible Sources of Error:**

The following guidelines may help eliminate <u>unsatisfactory</u> specimens or erroneous test results.

- 1. Do not touch any part of the filter paper circles before, during, or after collection.
- 2. Collect the specimen on the proper Newborn Screening collection form.
- 3. Complete all demographic data. This information is vital for interpretation of newborn screening results and for identification and location of infants for follow-up of abnormal test results.
  - a) Always note any transfusion of red blood cells.
  - b) Mark TPN feeding if TPN is being administered at time of collection.
  - c) NPI # should be provided for the Ordering Physician (physician ordering the NBS screen).
- 4. Wipe away the first drop of blood to remove tissue fluids and alcohol. Do not "milk" the puncture site.
- 5. Do not expose the specimen to heat or humidity at any time. Do not dry on heater, in microwave, with a hair dryer, or in the sunlight. Do not place in plastic bags, leave in hot mailbox, or hot car; proteins and enzymes will be destroyed.
- 6. Ensure that the specimen is properly dried before replacing the protective flap and before placing in the protective envelope.
- 7. Dry specimens in a horizontal position. Hanging wet specimens will cause heavier red cells to migrate to the end of the circle causing an uneven saturation.
- 8. Do not superimpose blood drops on top of each other.
- 9. Apply blood to only one side of the filter paper.
- 10. Collecting blood samples after feeding promotes better blood flow.
- 11. Do not allow specimens to come in contact with water, feeding formulas, antiseptics, urine, etc.

### **TIMING & TRANSPORT (i)**

1. Specimens should be shipped or transported by mail, major courier services, or other express delivery services to the public health laboratory as soon as they are dry (minimum of four hours) and no later than 24 hours after collection. If mailed to the lab, please send to the following address:

Alabama Department of Public Health

Bureau of Clinical Laboratories

Mailing Address: P.O. Box 1000, Prattville, Alabama 36067-9901

Physical Address: 204 Legends Court, Prattville, Alabama 36066-7893

\*Daily courier transport is recommended whenever possible to control environmental conditions and minimize delays in shipment.

- 2. Appropriate documentation for all stages in specimen transit should be tracked and maintained, from collection to delivery.
- 3. Dried blood spots (DBS) are nonregulated and an exempt human specimen, posing no occupational exposure to blood or other potentially infectious material. Standard precautions should be followed in preparing these specimens for shipment.
- 4. It is <u>NOT</u> recommended that DBS specimens be packaged in airtight, leak-proof sealed containers (e.g., plastic or foil bags) because the lack of air exchange causes heat buildup and moisture accumulation that is detrimental to the stability of the DBS specimen.
- 5. Do **NOT** place in outside mailboxes or drop boxes because fluctuating temperature and humidity may damage specimens.
- 6. The inclusion of desiccant packs may aid in preventing moisture accumulation.
- 7. The use of preaddressed envelopes for mailing may help decrease the transport time, and thus decrease time from collection to diagnosis in affected newborns.
- 8. To mail DBS specimens, please use the basic triple-packaging system:
  - · Primary container filter paper that contains absorbed and dried blood
  - · Secondary container fold over flap envelope to secure the contents
  - · Third container outer envelope of sturdy, high quality paper

CLSI. Blood Collection on Filter Paper for Newborn Screening Programs; Approved Standard—Sixth Edition. CLSI document NBS01-A6. Wayne, PA: Clinical and Laboratory Standards Institute; 2013.

Always complete the specimen collection form using a black or blue ball point pen and print legibly to ensure that the patient is identified properly. These forms are examples and may not be current. These forms expire 8-31-2025.

$\tilde{\Sigma}$	ALABAMA NEWBORN SCREENING PROGRAM		ALABAMA DEPARTMENT OF PUBLIC HEALTH BUREAU OF CLINICAL LABORATORIES P.O. BOX 1000 PRATTVILLE, AL. 36067-9901		
2025-08-31	Infant's Last Name		Medical Record # Infant's Medicaid #	3 NSUR	
3202	Date of Birth Time of Birth (Military)	(Current WT. if > 1 mth.)		eks Gestation	<b>24</b>
		Birth Weight (gm.)	Twin: A B Triplet: A B	8	
CTION	Date of Collection   Time of Collection (Military)	10 Male 11 Female 22	Last Transfusion	ME 13	SPECIMEN SHOULD BE
ISTRU	Home Birth Infant's Age	White Black Asian Hispanic	First Test Routine Second Test Retest - Prior Unsat Retest - Prior Abnormal	(Requesters bears)	COMPLETELY DRY BEFORE COVERING
SEE BACK OF FORM FOR SPECIMEN COLLECTION INSTRUCTIONS	Mother's Last Name	18 Mother's First Name	Mother's Social Security Number	INSURANCE INFORMATION - Complete Form (Instructions eks Gestation 8 17 17 18 18 18 18 18 18 18 18 18 18 18 18 18	
OLLECT	Mailing Address	Mother's Phone Number	Mother's Medicaid Number	18 Form	
EN CC	City	18 County 18	State Zip	18 (Instru	BIOHAZARD
PECIM	Ordering Physician (Last) (Fir	st) 19 (MI) Notes		ctions	NO BLOOD ON FLAP
FOR S	Referral Physician	20		on Back)	FLAP MUST
RM		21			REMAIN INTACT
FF	SUBMITTER ADDRESS	22	-Laboratory use only-	DO NOT REMOVE	
Š		23	not write on or affix labels in this a	area R	
E BA				MOV	
SEE	FORMS MUST BE FILLED OUT COMP	AL Zip	RINT LEGIBLY IN 2500		
		ELTELT IN BEST ON BENON IIII			
_	ALABAMA NEWBORN SCREEN	JING PROGRAM	ALABAMA DEPARTMENT OF PUBLIC HEALTH BUREAU OF CLINICAL LABORATORIES	4 B	
08-31	ALABAMA NEWBORN SCREEN	fant's First Name	BUREAU OF CLINICAL LABORATORIES P.O. BOX 10:00 PRATTYLLE AL 36067-9901  Medical Record # Infant's Medicaid #		
2025-08-31	Infant's Last Name	fant's First Name	BUREAU OF CLINICAL LABORATORIES P.O. BOX 1000 PRATTYLLE AL 3608T-9901  Medical Record #  Infant's Medicaid #		<b>2</b> 4
S 🖫 2025-08-31	Infant's Last Name  Date of Birth  Time of Birth (Military)	(Current WT. # > 1 mth.)  Birth Weight(gms.6	BUREAU CF CLINICAL LABORATORIES PRATIVILE A _3661-9991  Medical Record #  Multiple Birth Order: check appropriate box Twin: _ A _ B _ Triplet: _ A _ B _ 7	3 eks Gestation	<b>-</b> 24
	Date of Birth  Date of Collection  Time of Collection (Military)	(Current WT. # > 1 mth.)  Birth Weight(gms.6	BUREAU CF CLINICAL LABORATORIES PO, 80X 1000 PRATTYLLE AL 36067-9991  Medical Record #  Infant's Medicaid #  Multiple Birth Order: check appropriate box  Wee	3 eks Gestation	SPECIMEN SHOULD BE
	Date of Birth  Date of Collection  Time of Collection (Military)  Infant's Age	(Current WT. # > 1 mth.)  Birth Weight (gms 6	Medical Record #  Multiple Birth Order: check appropriate box Twin: \[ A \] B Triplet: \[ A \] B  Last Transfusion	eks Gestation 8	SPECIMEN
	Infant's Last Name  Date of Birth  Date of Collection  Time of Birth (Military)  Time of Collection (Military)  Infant's Age  Mother's Last Name	1	Medical Record # 2 Infant's Medicaid #  Multiple Birth Order: check appropriate box Twin: A B Triplet: A B 7  Last Transfusion  First Test Routine Second Test	eks Gestation 8	SPECIMEN SHOULD BE COMPLETELY DRY
TION INSTRUCTIONS	Infant's Last Name  Date of Birth  Time of Birth (Military)  Date of Collection  Time of Collection (Military)  Infant's Age  Mother's Last Name  Mailing Address	fant's First Name  1 (Current WT. if > 1 mth.) (gris 6) (gris 7) (	Medical Record # 2 Infant's Medicaid #  Multiple Birth Order: check appropriate box Twin: A B Triplet: A B 7  Last Transfusion  First Test Retest - Prior Unsat Relest - Prior Abnormal	eks Gestation 8 17 17 18 18 18 18 18 18 18 18 18 18 18 18 18	SPECIMEN SHOULD BE COMPLETELY DRY
TION INSTRUCTIONS	Infant's Last Name  Date of Birth  Date of Collection  Time of Birth (Military)  Time of Collection (Military)  Infant's Age  Mother's Last Name  Mailing Address  City	fant's First Name  (Current WT. if > 1 mth.)  (Gyns)	Medical Record # 2 Infant's Medicaid #  Multiple Birth Order: check appropriate box Twin: A B Triplet: A B 7  Last Transfusion  First Test Routine Second Test Retest - Prior Unsat Retest - Prior Abnormal	eks Gestation 8 17 17 18 18 18 18	SPECIMEN SHOULD BE COMPLETELY DRY
TION INSTRUCTIONS	Infant's Last Name  Date of Birth  Date of Collection  Time of Birth (Military)  Time of Collection (Military)  Infant's Age  Mother's Last Name  Mailing Address  City  Ordering Physician (Last) (Fin	fant's First Name  (Current WT. if > 1 mth.)  (Gris 6)  (Gris 7)	Medical Record # 2 Infant's Medicaid #  Multiple Birth Order: check appropriate box Twin: A B Triplet: A B 7  Last Transfusion  First Test Routine Second Test Retest - Prior Unsat Retest - Prior Abnormal  Mother's Medicaid Number	eks Gestation 8 17 17 18 18 18 18	SPECIMEN SHOULD BE COMPLETELY DRY BEFORE COVERING
TION INSTRUCTIONS	Infant's Last Name  Date of Birth  Date of Collection  Time of Collection (Military)  Time of Collection (Military)  Infant's Age  Mother's Last Name  Mailing Address  City  Ordering Physician (Last) (Fin	fant's First Name  (Current WT. if > 1 mth.)  (Gris 6)  (Gris 7)	Medical Record # 2 Infant's Medicaid #  Multiple Birth Order: check appropriate box Twin: A B Triplet: A B 7  Last Transfusion  First Test Routine Second Test Retest - Prior Unsat Retest - Prior Abnormal  Mother's Medicaid Number	eks Gestation 8 17 17 18 18 18 18	SPECIMEN SHOULD BE COMPLETELY DRY BEFORE COVERING  NO BLOOD ON FLAP
TION INSTRUCTIONS	Infant's Last Name  Date of Birth  Date of Collection  Time of Birth (Military)  Time of Collection (Military)  Infant's Age  Mother's Last Name  Mailing Address  City  Ordering Physician (Last) (Fin	fant's First Name  (Current WT. if > 1 mth.)  (Gris 6)  (Gris 7)	Medical Record # 2 Infant's Medicaid #  Multiple Birth Order: check appropriate box Twin: A B Triplet: A B 7  Last Transfusion  First Test Routine Second Test Retest - Prior Unsat Retest - Prior Abnormal  Mother's Medicaid Number	eks Gestation 8 17 17 18 18 18 18	SPECIMEN SHOULD BE COMPLETELY DRY BEFORE COVERING  BIOHAZARD
TION INSTRUCTIONS	Infant's Last Name  Date of Birth  Date of Collection  Time of Birth (Military)  Time of Collection (Military)  Infant's Age  Mother's Last Name  Mailing Address  City  Ordering Physician (Last) (Fin	1	Medical Record # 2 Infant's Medicaid #  Multiple Birth Order: check appropriate box Twin: A B Triplet: A B 7  Last Transfusion  First Test Retest - Prior Unsat Retest - Prior Abnormal  Mother's Social Security Number  State 18  Zip	eks Gestation 8 17 17 18 18 18 18	SPECIMEN SHOULD BE COMPLETELY DRY BEFORE COVERING  NO BLOOD ON FLAP FLAP MUST
TION INSTRUCTIONS	Infant's Last Name  Date of Birth  Date of Collection  Time of Collection (Military)  Time of Collection (Military)  Infant's Age  Mother's Last Name  Mailing Address  City  Ordering Physician (Last) (Fin	fant's First Name  (Current WT. if > 1 mth.)  (Gris 6)  (Gris 7)	Medical Record # 2 Infant's Medicaid #  Multiple Birth Order: check appropriate box Twin: A B Triplet: A B 7  Last Transfusion  First Test Routine Second Test Retest - Prior Unsat Retest - Prior Abnormal  Mother's Medicaid Number	eks Gestation 8 17 17 18 18 18 18	SPECIMEN SHOULD BE COMPLETELY DRY BEFORE COVERING  NO BLOOD ON FLAP FLAP MUST
	Infant's Last Name  Date of Birth  Date of Collection  Time of Collection (Military)  Time of Collection (Military)  Infant's Age  Mother's Last Name  Mailing Address  City  Ordering Physician (Last) (Fin	1	Medical Record # 2 Infant's Medicaid #  Multiple Birth Order: check appropriate box Twin: A B Triplet: A B T  Last Transfusion  First Test Retest - Prior Unsat Retest - Prior Abnormal  Mother's Social Security Number  State 18 Zip	INSURANCE INFORMATION - Complete Form (Instructions on Back) - DO NO.	SPECIMEN SHOULD BE COMPLETELY DRY BEFORE COVERING  NO BLOOD ON FLAP FLAP MUST

			·	
1	Name field - enter the patient's last name and first name (if applicable).	16	Race field - mark the appropriate box for the infant's race.	
3	Medical Record field - enter the patient's medical record number. This number is for the submitting facility to identify the patient when the report is received.  Medicaid field - enter the infant's Medicaid number if applicable.	17	Type of Tests field - mark the "First Test" box if the specimen is the first one collected on this infant. Mark the "Routine Second Test" box if the specimen is the routine second test specimen collected on this infant. If a prior test on this infant was reported as unsatisfactory, mark the "Retest-Prior Unsat" box. If a prior test on this infant was abnormal and the State Laboratory requested a repeat	
4	Birth date field – enter the birth date in the format MM/DD/YY (required field).		sample, mark the "Retest-Prior Abnormal" box.  Mother's Information fields – enter the mother's	
5	Time of Birth field – enter in military format, failure to use military format may result in erroneous test results since many lab tests are based on the age of the infant at the time of collection.	18	information in the appropriate fields. <i>Mother's social</i> security number should be entered accurately. This will allow the submitting facility to access test results more readily and ensures that infants needing immediate follow-	
6	Birth Weight field – enter the infant's birth weight in grams.  If the infant is more than one month of age, enter the current weight. The laboratory sets standards and cutoffs for some tests using weight. Indicating the weight helps to ensure accurate test results and eliminate the need for	19	up can be located quickly.  Ordering Physician field – enter the full name of the physician who has ordered the NBS tests. This information is required to be provided and complete.	
	unnecessary repeat specimens.  Multiple Birth Order field – complete only if there is a	20	NPI field - enter the National Provider Identification 9 digit number for the ordering physician. This information is	
7	multiple birth. Enter the birth order as A, B, C, etc.		required to be provided and complete.  Referral Physician field – enter the full name of the physician	
8	<b>Gestational Age field</b> – enter the gestational age as number of completed weeks.	21	who will be caring for the infant. This physician will be contacted if the infant has a potential NBS disorder and his/	
9	<b>Date of Collection -</b> enter the date of collection in the format MM/DD/YY (required field).	21	her name will be listed as the physician on the NBS laboratory report. (This physician may be the same as the ordering physician – but should be entered in this field as instructed)	
10	<b>Time of Collection –</b> enter the time of collection in military format (required field)		Submitter field – enter the name and address of the facility	
11	Sex field – check appropriate box		submitting the specimen. Do not use abbreviations as there are facilities with similar names. An address label may be	
12	<b>TPN field -</b> If infant is receiving TPN feeding at time of collection, check the box	22	attached in this area as long as it does not obscure other fields or hang off of the edge. <i>This information is required</i>	
47	Last Transfusion field - Complete this box with the date and time of the infant's last transfusion of red blood cells. Date should be entered as MM/DD/YY and time in military format. The date and time of transfusion are important for	23	Lab use field - Do not write or place labels in this area. This space is used by the laboratory to attach a unique identification number to the specimen for use in the laboratory.	
13	the laboratory to determine whether the results are valid. Failure to indicate transfusions can result in an infant with a NBS disorder being missed due to the presence of donor cells in the specimen.		INSURANCE FORM - Insurance information MUST be entered completely and accurately. This sheet should not be removed from the NBS form.	
14	Home birth field - check the home birth box if the infant was born outside of the birthing facility with a birthing attendant present.		1	
15	Infant's Age field – enter the infant's age at the time of specimen collection.			

16	Race field - mark the appropriate box for the infant's race.
17	Type of Tests field - mark the "First Test" box if the specimen is the first one collected on this infant. Mark the "Routine Second Test" box if the specimen is the routine second test specimen collected on this infant. If a prior test on this infant was reported as unsatisfactory, mark the "Retest-Prior Unsat" box. If a prior test on this infant was abnormal and the State Laboratory requested a repeat sample, mark the "Retest-Prior Abnormal" box.
18	Mother's Information fields – enter the mother's information in the appropriate fields. Mother's social security number should be entered accurately. This will allow the submitting facility to access test results more readily and ensures that infants needing immediate follow-up can be located quickly.
19	Ordering Physician field - enter the full name of the physician who has ordered the NBS tests. <i>This information is required to be provided and complete.</i>
20	NPI field - enter the National Provider Identification 9 digit number for the ordering physician. This information is required to be provided and complete.
21	Referral Physician field – enter the full name of the physician who will be caring for the infant. This physician will be contacted if the infant has a potential NBS disorder and his/her name will be listed as the physician on the NBS laboratory report. (This physician may be the same as the ordering physician – but should be entered in this field as instructed)
22	<b>Submitter field</b> – enter the name and address of the facility submitting the specimen. Do not use abbreviations as there are facilities with similar names. An address label may be attached in this area as long as it does not obscure other fields or hang off of the edge. <b>This information is required to be complete and accurate.</b>
23	Lab use field - Do not write or place labels in this area. This space is used by the laboratory to attach a unique identification number to the specimen for use in the laboratory.
	INSURANCE FORM - Insurance information MUST be

### **EXAMPLES**

2025-08-31	ALABAMA NEWBORN SCREENIN	G PROGRAM	ALABAMA DEPARTMENT OF PUBLIC HEALT BUREAU OF CLINICAL LABORATORIES P.O. BOX 1000 PRATFULLE, AL. 35057-9901 Medical Record #	н Infant's Medicaid #	INS	
25-0	DOE	BABY	134521	500001234567	SUR.	
	Date of Birth   01   15   21   Time of Birth (Military)   0   3   2   0	(Current WT. if > 1 mth.)  Birth Weight 2250 (gms)	Multiple Birth Order: check appro Twin: ■ A □ B Triplet: □ ,	·	NCE IN	
CTIONS	Date of Collection   Time of Collection (Military)   01   16   21   0   3   0   0	Male Female TPN	01/ 16 / 21	ransfusion 01.150√/ ⊟	INSURANCE INFORMATION	SPECIMEN SHOULD BE
NSTRU	Home Birth Infant's Age 24 HRS	White Black Othe	Retest - Prior Unsat	Routine Second Test Retest-Prior Abnormal (Requested by State)		COMPLETELY DRY BEFORE COVERING
SPECIMEN COLLECTION INSTRUCTIONS	Mother's Last Name DOE	Mother's First Name  JANE	Mother's Social Sec	urity Number 9 9 9 9 9 9	Complete	
	Mailing Address 123 NEW BABY DR	Mother's Phone Number 3 3 3 3 2 9 5 3 3				
	HUNTSVILLE	County	State AL	35801	Form (Instructions	BIOHAZARD
PECI	Ordering Physician HOWSER DOO	GIE (MI) Notes				NO BLOOD ON FLAP
OR SF	1  4  1  1  3  4  4	1  1  1			on Ba	FLAP MUST
FORM FOR	Referral Physician PEDIATRIC PEDS				Back) - I	REMAIN INTACT
OF FO	SUBMITTER ADDRESS EASTMAN HOSPI	TAL	-Laboratory us	e only-	DO NOT	
BACK (	456 HOSPITAL	DR	not write on or affix la	abels in this area	TREMOVE	
SEE B	HUNTSVILLE	AL 35801	PRINT LEGIBLY SM	250001	OVE	
1	FORMS MUST BE FILLED OUT COMPLET	ELY IN BLUE OR BLACK INK - F	PRINT LEGIBLY LSN	4 COOOT		

31	ALABAMA NEW	BORN SCREENING	G PROGRAM		ALABAMA DEPA BUREAU OF CLI P.O. BOX 1000	RTMENT OF PUBLIC HEALT	Н			
25-08-	Infant's Last Name	Infant's	First Name BAMBI		Medical Red	5-2	Infant's Medicai	<sup>d</sup> #234567	INSUR.	
FORM FOR SPECIMEN COLLECTION INSTRUCTIONS 🖫 2025-08-31	Mother's Last Name	Time of Birth (Military)  0	Mother's Phone Number	(gms) TPN Other	Twin: 🗷 A  O[1]/1  First Te  Retest	6 /21  est	A B C ransfusion  O  Routine Second To Retest - Prior Abnourity Number  9 9 9 9	Weeks Gestation 38 WKS 11:50 est 0mal Proposed by Sutte) 9 9 9 9	INSURANCE INFORMATION - Complete Form	SPECIMEN SHOULD BE COMPLETELY DRY BEFORE COVERING
	Huntsville County Madi			son		State AL	Zip 35	801	Form (Instructions	BIOHAZARD
	Ordering Physician MC	Stuffins Doc	(MI)	Notes					ctions	NO BLOOD ON FLAP
	1 0 1 Referral Physician	0   1   0   1 s, Doc	0 1 0						on Back) -	FLAP MUST REMAIN INTACT
JF FOF	SUBMITTER ADDRESS Pediatric Peds				-La	aboratory us	e only-		DO NO	
BACK OF	1001 Peds Dr.		Dor	not write	on or affix la	abels in thi	s area	NOT REMOVE		
SEE B	Huntsv	ille	L 35801	K INK - PR	RINT LEGIE	BLY SM	475	5001	OVE	

### SICK INFANT BLOOD COLLECTION GUIDELINES

Sick Infant and Well Baby Newborn Screening Blood Collection Algorithm, February 22, 2016

The following newborn screening algorithm has been developed by a task force of professional medical providers and consultants and has been approved by the Alabama Newborn Screening Advisory Committee. These recommedations are in keeping with the recommendations of the Clinical Laboratory Standards Institute (CLSI) as well as the standards required by the Alabama Department of Publich Health Laboratory.

### **BIRTH OF PRETERM, LBW OR SICK NEWBORN**

Serial screening, with the collection of three specimens, is proposed as the most expedient and efficient paradigm for this population (CLSI Preterm, LBW, and Sick Newborns, page 19)



### Transfer/Arrival NICU NBS Specimen

Collect the "arrival NICU" NBS specimen on admission to the NICU (if not already collected) regardless of age\* before any other treatments are begun (transfusions, TPN or antibiotics). If transferred, the transfer hospital should collect a specimen on Form A before transported unless infant is unstable. The receiving hospital, on admission, should collect a specimen on a second test form (Form B) and mark the "First Test" box.

\*For most preterm and LBW newborns, admission to the NICU occurs immediately after birth, usually 1 to 2 hours of age, or up to 24 hours of age. If an infant is 24 hours of age or older on admission to the NICU, repeat screening should be done according to local program recommendations for normal infants unless there were abnormalities on the initial specimen (CLSI, page 20).



#### **Acute NICU NBS Specimen**

Collect the "acute NICU" NBS specimen at <u>48-72 hours</u> of life on infants initially tested at <24 hours of age at first screen.

- <u>If receiving blood</u> wait and collect 72 hours after the last transfusion.
- If on TPN collect acute screen plus an additional screen when TPN is discontinued.



#### **Final NICU NBS Specimen**

Collect the "final routine NICU" NBS specimen at 28 days of age or at discharge, whichever comes first, for any infant in the NICU > 2 weeks of age. All NICU infants discharged before 2 weeks of age should have the recommended routine NBS specimen collected by their pediatrician at 2-6 weeks of age.

#### **BIRTH OF FULL-TERM OR WELL NEWBORN**



#### **Initial NBS Specimen**

Collect an initial NBS specimen at **24-48 hours of age** (mail within 24 hours).

- Collect the first sample on a First Test Form (A Form) and any subsequent samples on a second test form (B Form).
- If the infant is discharged prior to 24 hours of age, a specimen must be obtained before discharge, and the parent or guardian must be informed of the importance of obtaining a second test before one week of age.



### **Routine Repeat NBS Specimen**

Collect a recommended routine second NBS specimen at

### 2-6 weeks of age.

- This specimen is not routinely tested for Hemoglobinopathies or Severe Combined Immunodeficiencies.
- · Collect on a Second Test Form (B Form)

**Note:** If results from the first or second newborn screens place infant at high suspicion for a condition, appropriate confirmatory or diagnostic tests should be done, being alert to the effects that treatments and the infant's condition may have on the screening test results.

If a well infant has had 2 newborn screens collected or a sick infant has had 4 screens collected (check Secure Remote Viewer to determine), please contact the NBS Lab at 334-290-3097, or the NBS Follow-up Program at 334-206-5556 for follow-up issues.

# Alabama Department of Public Health Bureau of Clinical Laboratories Newborn Screening Blood Collection Guidelines

These guidelines have been provided for newborn screening providers in order to inform and instruct on the proper techniques of collecting a high-quality specimen, for handling it after it has been collected, and for transporting it to the testing facility. These guidelines are in keeping with the recommendations of the Clinical Laboratory Standards Institute® (CLSI) as well as the standards required by the Alabama Department of Public Health, Bureau of Clinical Laboratories.

For further guidance please refer to the CLSI® Blood Collection on Filter Paper for Newborn Screening Programs; Approved Standard, which addresses the issues associated with specimen collection, the filter paper collection device, the application of blood to the filter paper, and uniform techniques for collecting the best possible specimen for use in newborn screening programs.

Sharen P. Massingale	1/24/2025
Sharon Massingale, Ph.D., HCLD/CC (ABB)	Date
Public Health Laboratory Director	
Bureau of Clinical Laboratories	
	1/24/2025
Aretha M. Williams, PhD	Date
Assistant Public Health Laboratory Director	
Bureau of Clinical Laboratories	
Stacey Hall	1/24/2025
Stacey Hall	
Newborn Screening Laboratory Manager	
Bureau of Clinical Laboratories	

### NBS SPECIMEN COLLECTION TIPS

Newborn screens can have a dramatic impact on the welfare of the infant and the family. It is important to understand the significance of screening both from a medical outcome and a legal liability standpoint.

- 1. Storage of the filter paper both pre-use and post-use is very important. If the paper is stored in a dry, hot environment such as an unventilated warehouse it will affect the performance of the paper. Always try to store filter paper at room temperature and room humidity. Post-use storage should be in keeping with NBS lab guidance (©ID Biological Systems Report).
- 2. The type of lancet used can have a definite effect on the specimen collected. The "switch blade" type lancet achieves better blood flow than the puncture type. This could make a difference in your blood collection (©ID Biological Systems Report).
- 3. Only allow **well-trained** individuals to collect newborn screening blood in order to reduce unsatisfactory specimens.
- 4. Track the performance of these collectors and re-train or substitute as necessary if unsatisfactory or invalid results occur.
- 5. **Perform a quality control inspection** of all specimens before mailing them to the State Laboratory. At a minimum check for the following:
  - Complete and correct demographic information. **Any corrections should be legible and initialed.**
  - · Record the name of the person that collected the sample.
  - Inspect the blood spots for specimen quality and quantity before mailing.
  - Allow specimens to dry first and then review a second time prior to mailing. A specimen may appear uniform when wet but when dry may reveal uneven saturation (dark spots).
  - · Confirm results are received on each specimen submitted.

If you believe you are having issues with specimen collection, please contact the NBS Nurse Educator at 334-358-2081 or the NBS State Health Laboratory at 334-290-3097. You may also refer to the Clinical and Laboratory Standards Institute® (CLSI) Screening Collection Manual (copies provided to all birthing centers).

**Remember:** Collection technique will not improve overnight. It takes practice to become proficient with newborn screening specimen collection.

### Whatman<sup>®</sup>

Part of GE Healthcare

# **Neonatal** Screening

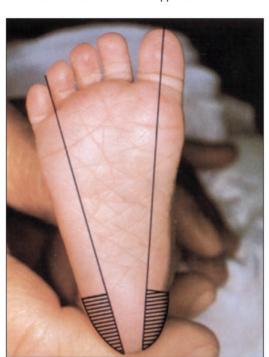
Blood Specimen Collection and Handling Procedure



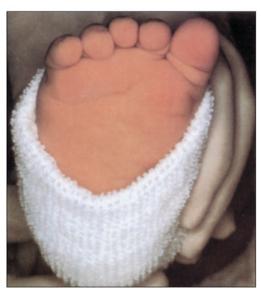


Necessary equipment: sterile lancet with tip approximately 2.0 mm, sterile alcohol prep, sterile gauze pads, soft cloth, blood collection

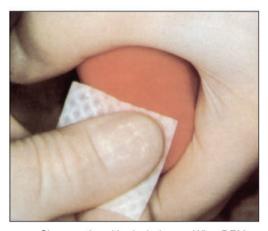
Complete ALL information. Do not contaminate filter paper circles by allowing the circles to come into contact with spillage or by touching before or after blood collection. Keep "SUBMITTER COPY" if applicable.



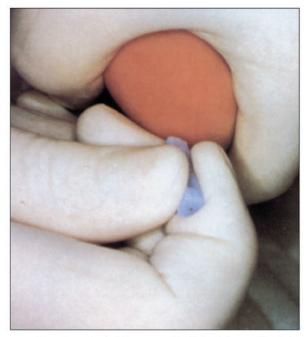
Hatched area ( [[[]]]]]]]]]] ) indicates safe areas for puncture site.



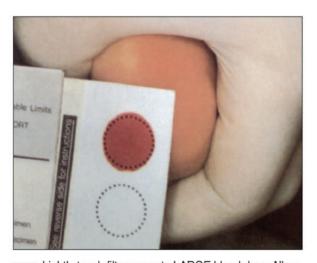
Warm site with soft cloth, moistened with warm water up to 41° C, for three to five



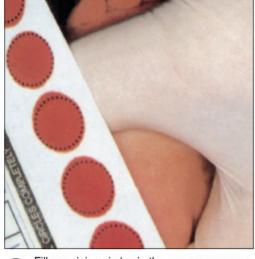
Cleanse site with alcohol prep. Wipe DRY with sterile gauze pad.



Puncture heel. Wipe away first blood drop with sterile gauze pad. Allow another LARGE blood drop to form.



Zightly touch filter paper to LARGE blood drop. Allow blood to soak through and completely fill circle with SINGLE application of LARGE blood drop. (To enhance blood flow, VERY GENTLE intermittent pressure may be applied to the area surrounding the puncture site). Apply blood to one side of filter paper only.



Fill remaining circles in the same manner as step 7, with successive blood drops. If blood flow is diminished, repeat steps 5 through 7. Care of skin puncture site should be consistent with your institution's procedures.



**9** Dry blood spots on a dry, clean, flat, non-absorbent surface for a minimum of four hours.



Mail completed form to testing laboratory within 24 hours of collection.

Information provided by The New York State Department of Health.

North America — Whatman Inc. • Tel: 1-800-WHATMAN • Tel: 1-973-245-8300 • Fax: 1-973-245-8329 • E-mail: info@whatman.com Europe — Whatman International Ltd • Tel: +44 (0) 1622 676670 • Fax: +44 (0) 1622 691425 • E-mail: information@whatman.com Japan — Whatman Japan KK • Tel: +81 (0) 3 5215 1240 • Fax: +81 (0) 3 5215 1245 • E-mail: japaninfo@whatman.com Asia Pacific — Whatman Asia Pacific Pte Ltd • Tel: +65 6534 0138 • Fax: +65 6534 2166 • E-mail: wap@whatman.com

51684 3/08

### SIMPLE SPOT CHECK



#### Valid specimen:

Allow a sufficient quantity of blood to soak through to completely fill the preprinted circle on the filter paper. Fill all required circles with blood. Do not layer successive drops of blood or apply blood more than once in the same collection circle. Avoid touching or smearing spots.

Invalid Specimen	Possible Causes
1. Specimen quantity insufficient for testing.	<ul> <li>Removing filter paper before blood has completely filled circle of before blood has soaked through to second side.</li> <li>Applying blood to filter paper with a capillary tube.</li> <li>Allowing filter paper to come into contact with gloved or ungloved hands or substances such as hand lotion or powder, either before or after blood specimen collection.</li> </ul>
2. Specimen appears scratched or abraded.	Applying blood with a capillary tube or other device.
3. Specimen not dry before mailing.	Mailing specimen before drying for a minimum of four hours.
4. Specimen appears supersaturated.	<ul> <li>Applying excess blood to filter paper, usually with a device.</li> <li>Applying blood to both sides of filter paper.</li> </ul>
5. Specimen appears diluted, discolored or contaminated.	<ul> <li>Squeezing or "milking" of area surrounding the puncture site.</li> <li>Allowing filter paper to come into contact with gloved or ungloved hands or substances such as alcohol, formula, antiseptic solutions, water, hand lotion or powder, etc., either before or after blood specimen collection.</li> <li>Exposing blood spots to direct heat.</li> </ul>
6. Specimen exhibits serum rings.	<ul> <li>Not wiping alcohol from puncture site before making skin puncture.</li> <li>Allowing filter paper to come into contact with alcohol, hand lotion, etc.</li> <li>Squeezing area surrounding puncture site excessively.</li> <li>Drying specimen improperly.</li> <li>Applying blood to filter paper with a capillary tube.</li> </ul>
7. Specimen appears clotted or layered.	<ul> <li>Touching the same circle on filter paper to blood drop several times.</li> <li>Filling circle on both sides of filter paper.</li> </ul>
8. No blood.	- Failure to obtain blood specimen.



Name of Hospital, Practice, or Physician:

#### DEPARTMENT OF PUBLIC HEALTH

SCOTT HARRIS, M.D., M.P.H.
STATE HEALTH OFFICER



#### **BUREAU OF CLINICAL LABORATORIES**

DONALD E. WILLIAMSON, M.D. STATE HEALTH LABORATORY Sharon P. Massingale, Ph.D., HCLD/CC(ABB) Laboratory Director

### Alabama Newborn Screening Program

#### **Reorder Form**

In order to assure that you have an adequate supply of newborn screening materials available, complete this form and mail or fax it to the State Health Laboratory at the address below when your stock has reached a **2-4 week** supply.

ALABAMA DEPARTMENT OF PUBLIC HEALTH
Bureau of Clinical Laboratories
Newborn Screening Division
204 Legends Court, Zip 36066-7893
P.O. Box 1000, Zip 36067-9901
Prattville, AL

FAX: (334) 206-3780

Street/Shipping Address ONLY (No P.O. Box):					
City, State, and Zip Code:	-				
Telephone Number:					
Name and Title:(Please Print)					
Please indicate the number of newborn infants that you screen per month:					
Number of " <b>A</b> " (first test) Newborn Screening Forms Requested:  *Note "A" forms are sent to hospitals and birthing centers only.					
Number of " <b>B</b> " (second test) Newborn Screening Forms Requested:	-				
NOTE: All orders will be shipped within 5 working days of receipt. Please plan your orders accordingly. We emergency shipments.					
NBS Lab Phone: (334) 290-3097					

PHAB

Advancing
public health
performance

Accredited Health Department

Revised 10/30/2024



### DEPARTMENT OF PUBLIC HEALTH

SCOTT HARRIS, M.D., M.P.H. STATE HEALTH OFFICER



#### BUREAU OF CLINICAL LABORATORIES

DONALD E. WILLIAMSON, M.D. STATE HEALTH LABORATORY
Sharon P. Massingale, Ph.D., HCLD/CC(ABB)
Laboratory Director

#### **Alabama Newborn Screening Program**

### **Provider Update Form**

In order to offer more efficient service in providing Newborn Screening forms and patient reports, we are updating our provider list. It would be of great assistance to us if you would fill out the following information and return it to:

ALABAMA DEPARTMENT OF PUBLIC HEALTH

Bureau of Clinical Laboratories Newborn Screening Division 204 Legends Court, Zip 36066-7893 P.O. Box 1000, Zip 36067-9901 Prattville, AL

Phone: Laboratory (334) 290-3097 Newborn Screening IT (334) 290-6702 Fax:(334) 206-3780

Name of Hospital or Practice:	
Street/Shipping Address: (Physical address):	
City, State, and Zip Code:	
Provide P.O. Box/ P.O. Zip if applicable:	
Telephone Number:	
Contact Name / Office Manager:	
Names of ALL physicians or nurse practitioners	s who currently submit NBS specimens:
Name:	NPI#
Email address:	
	NPI#
Email address:	
	NPI#
Email address:	
• Name:	NPI#
Email address:	

MAILING ADDRESS: POST OFFICE BOX 1000 | PRATTVILLE, AL 36067-9901
PHYSICAL ADDRESS: 204 LEGENDS COURT | PRATTVILLE, AL 36066-7893
EMAIL ADDRESS: clab@adph.state.al.us



Revised 10/30/2024



### DEPARTMENT OF PUBLIC HEALTH



SCOTT HARRIS, M.D., M.P.H.
STATE HEALTH OFFICER

### **BUREAU OF CLINICAL LABORATORIES**

DONALD E. WILLIAMSON, M.D. STATE HEALTH LABORATORY Sharon P. Massingale, Ph.D., HCLD/CC(ABB) Laboratory Director

### **Alabama Newborn Screening Program**

#### **Provider Lab Result Request Form**

To offer efficient service in providing Newborn Screening patient reports to requesting providers, the Alabama Department of Public Health Bureau of Clinical Laboratories Newborn Screening Division requires the completion of the following information:

Name of Requesting Facility:					
Facility Mailing Address:					
	Facility Fax Number:				
Infant's Last Name:	Infant's First Name:				
	Infant's Gender:				
Hospital of Birth:					
Mother's Last Name:	Mother's First Name:				
Mother's Address (at the time of the infant's birth): _					
_					
_					
Fax Request(s) to BCL Newborn Screening Divis	sion (334)206-3780.				
For assistance, please call the Newborn Screening Division at (334)290-3097.					
Newborn Screening patient results will be mailed to the requesting facility's address above.					

MAILING ADDRESS: POST OFFICE BOX 1000 | PRATTVILLE, AL 36067-9901
PHYSICAL ADDRESS: 204 LEGENDS COURT | PRATTVILLE, AL 36066-7893
EMAIL ADDRESS: clab@adph.state.al.us



Revised 10/30/2024

# SECTION 3 - NEWBORN HEARING SCREENING

Newborn Hearing Screening Program Overview	35
Inpatient Newborn Hearing Screening Protocol	36
Joint Committee on Infant Hearing	37
Newborn Hearing Screening Hospital Algorithm	38
American Academy of Pediatrics Early Hearing Detection & Intervention (EHDI) Guidelines for Pediatric Providers	39
Re-screen Newborn Hearing Results Form	40
Diagnostic Hearing Evaluation Form	41
Children's Rehabilitation Services Newborn Hearing Assessment Clinic	s42
CDC's EHDI Program Update	43
Alabama Newborn Hearing Provider Directory	44
Newborn Hearing Screening Checklist	45-47
Newborn Hearing Screening Frequently Asked Questions	48
Hearing Parent Information	49



### NEWBORN HEARING SCREENING PROGRAM OVERVIEW

The Alabama Universal Newborn Hearing Screening Program, also known as "Alabama's Listening!" or the Early Hearing Detection and Intervention (EHDI) Program, is administered through the Alabama Department of Public Health and was established in February 2001 to address the hearing health care needs of Alabama's babies. The health care needs of infants with hearing loss include timely screening, diagnosis, and referral to Early Intervention (EI) services. The program follows the Joint Committee on Infant Hearing 1-3-6 guidelines: screening before <a href="mailto:one">one</a> month of age, diagnostic evaluation by an audiology professional before <a href="mailto:three">three</a> months of age, and referral to EI services before <a href="mailto:six">six</a> months of age.

The Alabama EHDI Program collaborates with many partners to include state birthing hospitals, pediatric health care providers, Alabama Department of Rehabilitation Services, the National Center for Hearing Assessment and Management (NCHAM), Early Head Start Programs, the Centers for Disease Control and Prevention (CDC), and the Health Resources and Services Administration (HRSA). The goal of the Alabama EHDI Program is to ensure all infants with hearing loss are identified as early as possible and provided with timely and appropriate audiological, educational, and medical intervention in order to improve a child's speech and language development, as well as thinking, learning, and social skills.

### INPATIENT NEWBORN HEARING SCREENING PROTOCOL

Each birthing hospital is responsible for creating and implementing policies and procedures that are in line with state and national recommendations. These policies and procedures should follow state law and include, but not be limited to the following: 1) screening procedure, 2) reporting procedure, 3) guidelines for training personnel, 4) performance maintenance, 5) quality improvement indicators, and 6) data management.

The Alabama State Law, Section 22-20-3, provides legal authority for institutions caring for infants 28 days or less of age to administer a reliable test for newborn screening to include the newborn hearing screening. The law allows for parents to refuse testing on the grounds that such tests conflict with their religious tenets and practices. A written refusal should be obtained if a parent objects to newborn screening (page 14). In addition, the Alabama State Board of Health Administrative Code, Chapter 420-10-1, Care and Treatment of Infants Identified Through the Newborn Screening Program, mandates reporting of any hearing tests performed on the newborns to the Alabama Department of Public Health and use of forms and guidelines as determined by the State Health Officer.

### **Inpatient Screening Protocol Recommendations:**

- · Identify staff responsible for screening, reporting, and training personnel.
- Document all job descriptions, qualifications, and roles, as well as orientation, minimum length of training, and competency validation.
- It is recommended that the discharge planner be responsible for notifying parents of the newborn's hearing results, and responsible for scheduling outpatient hearing screening as necessary.
- Identify the name, model, and type of testing equipment being used for screening purposes. Care, use, trouble-shooting, maintenance and servicing of the testing equipment should be included.
- A copy of the policy and procedure manual for newborn hearing screening should be located in close proximity to the screening site.
- · Birthing hospitals should also perform in-house quality assurance/improvement on a quarterly basis.
- Hospitals should use a general consent to perform hearing screening. It is advised that each facility consult
  with their legal representation to ensure that the consent is appropriate to cover this service.
- · Identify the optimal testing environment as well as the desired condition or state of the newborn during testing.
- · Identify risk indicators associated with hearing loss if known.

#### **Resources:**

- · An Audiology Provider Directory is available at www.ehdipals.org
- · Training may be provided by:
  - o State Newborn Hearing Screening Coordinator at 334-358-2082
  - o Video training is also available on the website: <u>www.alabamapublichealth.gov/newbornscreening/newborn-hearing-screening.html</u>
  - o Interactive Web Based Newborn Hearing Screening Training Curriculum strongly recommended for all hospital staff performing the newborn hearing screening: <a href="www.infanthearing.org/nhstc/index.html">www.infanthearing.org/nhstc/index.html</a>

## JOINT COMMITTEE ON INFANT HEARING

The Joint Committee on Infant Hearing (JCIH) 2007 Position Statement serves as the national standard for Early Hearing Detection and Intervention Programs. JCIH endorses early detection and intervention for infants with hearing loss to ensure opportunities to maximize linguistic competence and literacy development so that infants and children do not fall behind their peers in communication, cognition, reading, and social-emotional development. According to JCIH, such delays may result in lower educational and employment levels in adulthood.

Included is a link to the 2007 JCIH Position Statement along with an outline of important points: www.jcih.org/posstatemts.htm

- Separate protocols are recommended for NICU and well-baby nurseries.
- NICU babies greater than five days are to have Automated Brainstem Response (ABR) included as part of their screen so that neural hearing loss will not be missed.
- For infants who do not pass automated ABR testing in the NICU, <u>referral should be made</u> directly to an audiologist for rescreening.
- All infants who do not pass the initial hearing screening and the subsequent rescreening should have appropriate audiological and medical evaluations to confirm the presence of hearing loss no later than 3 months of age.
- Screening results should be conveyed immediately to families so they understand the outcome and importance of follow-up when indicated.
- A complete evaluation of both ears is recommended for each rescreening, even if only one ear did not pass the initial screen.
- For readmissions of infants in the first month of life, if there are conditions present which are associated with potential hearing loss (e.g. hyperbilirubinemia requiring exchange transfusion or culture + sepsis), a repeat hearing screen is recommended prior to discharge.
- Audiologists with skills and expertise in evaluating infants with hearing loss should provide diagnostic evaluation before three months of age.

## NEWBORN HEARING SCREENING HOSPITAL ALGORITHM

Based on the Joint Committee on Infant Hearing (JCIH) Guidelines

Initial newborn hearing screening is performed 24-48 hours of age or before the baby leaves the hospital

### Before you start the initial newborn hearing screening, is the baby's...

- Information entered exactly as entered on the blood spot form? (Refer to the instructions for entering demographic information into the hearing device)
- ☐ Testing method appropriate and all supplies gathered for testing both ears?

It is recommended to perform **only** two inpatient hearing screens, one initial and one rescreen if needed.

#### **Otoacoustic Emissions (OAE)**

- · Measures hair cells of the outer ear
- Does not detect neural hearing loss
- · Should only be used for well babies

#### **Automated Auditory Brainstem Response (AABR)**

- · Measures inner ear and brain response to sound
- · Detects neural hearing loss
- May be used for all infants, must be used for all NICU.



## DID NOT PASS IN ONE OR BOTH EARS

Re-screen both ears with OAE and/or AABR, even if only one ear did not pass.



#### **PASS BOTH EARS**

No further testing required



## DID NOT PASS IN ONE OR BOTH EARS

Re-screen both ears with AABR only, even if only one ear did not pass. A referral should be made directly to an audiologist for rescreening on infants who do not pass AABR.



#### DID NOT PASS RE-SCREEN IN ONE OR BOTH EARS

Schedule follow-up testing with a hearing professional within 2-3 weeks after discharge.



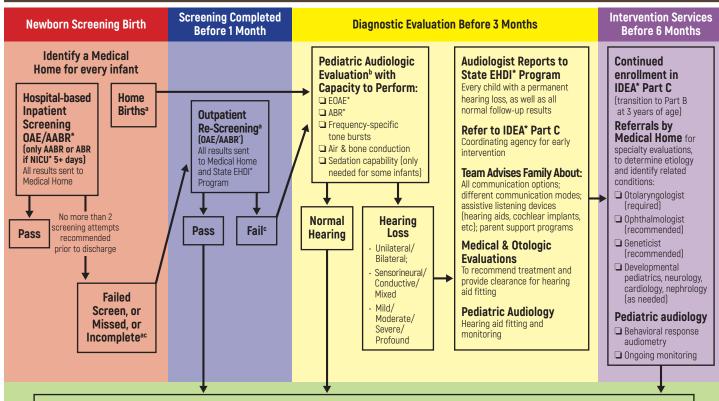
## PASS WITH RISK FACTORS

Further testing recommended between 24-36 months of age.

NICU admission > 5 days is considered a risk factor.

Hearing Results should be sent electronically to the Alabama Newborn Screening Program each day. See the instructions for *Reporting* Hearing Results Electronically.

## AMERICAN ACADEMY OF PEDIATRICS EARLY HEARING DETECTION & INTERVENTION (EHDI) GUIDELINES FOR PEDIATRIC PROVIDERS



Ongoing Care of All Infants<sup>d</sup>; Coordinated by the Medical Home Provider

- · Provide parents with information about hearing, speech, and language milestones
- Identify and aggressively treat middle ear disease
- · Provide vision screening (and referral when indicated) as recommended in the AAP "Bright Futures Guidelines, 3rd Ed."
- · Provide ongoing developmental screening (and referral when indicated) per the AAP "Bright Futures Guidelines, 3rd Ed."
- · Refer promptly for audiology evaluation when there is any parental concern‡ regarding hearing, speech, or language development
- · Refer for audiology evaluation (at least once before age 30 months) infants who have any risk indicators for later-onset hearing loss:
  - · Family history of permanent childhood hearing loss‡
  - Neonatal intensive care unit stay of more than 5 days duration, or any of the following (regardless of length of stay):
     ECMO‡, mechanically-assisted ventilation, ototoxic medications or loop diuretics, exchange transfusion for hyperbiliruinemia
  - $\cdot$  In utero infections such as cytomegalovirus‡, herpes, rubella, syphilis, and toxoplasmosis
  - · Postnatal infections associated with hearing loss‡, including bacterial and viral meningitis
  - · Craniofacial anomalies, particularly those that involve the pinna, ear canal, ear tags, ear pits, and temporal bone anomalies
  - · Findings suggestive of a syndrome associated with hearing loss (Waardenburg, Alport, Jervell and Lange-Nielsen, Pendred)
  - · Syndromes associated with progressive or delayed-onset hearing loss‡ (neurofibromatosis, osteopetrosis, Usher Syndrome)
  - Neurodegenerative disorders‡ (such as Hunter Syndrome) or sensory motor neuropathies (such as Friedreich's ataxia and Charcot Marie Tooth disease)
  - · Head trauma, especially basal skull/temporal bone fracture that requires hospitalization
  - · Chemotherapy‡

‡Denotes risk indicators of greater concern. Earlier and/or more frequent referral should be considered.

February 2010 - American Academy of Pediatrics Task Force for Improving Newborn Hearing Screening, Diagnosis and Intervention (www.medicalhomeinfo.org)

\*OAE = Otoacoustic Emissions, AABR = Automated Auditory Brainstem Response, ABR = Auditory Brainstem Response, EHDI = Early Hearing Detection and Intervention, IDEA = Individuals with Disabilities Education Act, NICU = Newborn Intensive Care Unit, AAP = American Academy of Pediatrics Notes:

- (a) In screening programs that do not provide Outpatient Screening, infants will be referred directly from Inpatient Screening to Pediatric Audiologic Evaluation. Likewise, infants at higher risk for hearing loss (or loss to follow-up) also may be referred directly to Pediatric Audiology.
- (b) Part C of IDEA\* may provide diagnostic audiologic evaluation services as part of Child Find activities.
- (c) Even infants who fail screening in only one ear should be referred for further testing of both ears
- (d) Includes infants whose parents refused initial or follow-up hearing screening.

.

•

#### **Re-screen Newborn Hearing Results Form**

ALABAMA NEWBORN HEARING PROGRAM PHONE 334.206.2944 FAX 334.206.3791



Hearing re-screen should be completed before one month of age

NEWBORN'S NAME				DATE OF BIRTH			
HOSPITAL OF E	BIRTH			HOSPITAL ID NUMBER			
MOTHER'S OR	GUARDIAN'S NAME (as	noted per hospital records)		HOME PHONE NUMBER			
HOME ADDRES	SS						
PRIMARY CARE PHYSICIAN				PHYSICIAN PHO	ONE NUME	BER	
ADDRESS							
BIRTH BEFORE 1	HEARING SCREEN PERFORMED AT BIRTH FACILITY OR HOME BIRTH  REPEAT SCREENING RESULTS	Inpatient Screen Date:  Right Ear:	Not Tes  Not Tes	eted eted E DPOAE	SC AAB	nts who fail initial OAE screen ay have an OAE or AABR re- reen. Infants who fail initial R screen <u>must</u> have an AABR re-screen.	
MONTH	Inpatient  Outpatient	Both ears should be tested even if only one ear did not pass the initial screen.  Right Ear: Pass Refer Not Tested  Left Ear: Pass Refer Not Tested  Method: AABR OAE TEOAE DPOAE  *Date referred for diagnostic evaluation:			LOSS:  NICU admission Received ototoxic medications Transfused Other  If any risk factors present, refer for an audiology assessment by 24 to 30 months of age.		
TEST SITE NA	ME		PHONI	E		FAX	
ADDRESS			1			<u> </u>	
COMMENTS/F	OLLOW-UP PLAN :						
The complete	ed form should be r	eturned as soon as the hearing r	o scroo	a/initial diagn	ostic au	diological evaluation is	

The completed form should be returned as soon as the hearing re-screen/initial diagnostic audiological evaluation is completed. Fax to the Newborn Hearing Screening Program at 334-206-3791.

\*If refer, infant should have diagnostic testing by three months of age per the Joint Committee on Infant Hearing.

NBS.Hearing Re-Screen Reporting Form.2018

#### **Diagnostic Hearing Evaluation Form**

ALABAMA NEWBORN HEARING PROGRAM PHONE 334.206.2944 FAX 334.206.3791



Diagnostic testing should be completed before three months of age

NEWBORN'S	NAME					DATE OF BIRTH				
HOSPITAL OF	BIRTH	l				НС	HOSPITAL ID NUMBER			
MOTHER'S O	R GUA	RDIAN'	S NAME (as	noted per hospital records)		НО	ME PHONE	NUMBER		
ADDRESS										
TEST SITE										
Audiology P	Provide	er Nan	ne		Phone		Fax			
Address										
Before	Pedia	atric D	iagnostic	DIAGNOSTIC TEST DATE				Dlease selec	t all that apply.	
	Audi	ology		METHOD: ABR AAB	r 🗌 oae 🔲 t	EOAE DP	OAE		ould be tested	
3 Months	Evalu	uation		Normal Hearing						
				Hearing Loss Confirm	ied ( Please Con	nplete Section	n Below)	at each visit	•	
Before		Ilment ventic	t in Early	Date of Referral to EI		En	rollment D	ato		
6 Months	iiitei	ventic	,,,	Medical Referral: Oto	larvngologist				iatrician	
				Additional Audiology Ser						
		1	Т		1	1	. 1	1		
	_		dB HL	SEVERITY/TYPE	Sensorineural	Conductive	Mixed	Unspecified	Auditory Neuropathy	
	SSC		16 to 25	Slight					Neuropathy	
	)	٩R	26 to 40	Mild						
	Ε̈́Α	T E	41 to 55	Moderate						
	UNILATERAL LOSS	RIGHT EAR	56 to 70	Moderately Severe						
		R	71 to 90	Severe						
	$\supset$		91+	Profound						
				Unknown Severity						
	ν		dB HL	SEVERITY/TYPE	Sensorineural	Conductive	* Mixed	Unspecified	Auditory Neuropathy	
	LOS		16 to 25	Slight					•	
	ERAL LOSS	EAR	26 to 40	Mild						
	ĒR		41 to 55	Moderate						
	BILATI	LEFT	56 to 70	Moderately Severe						
	<u>В</u> _	_	71 to 90	Severe						
			91+	Profound						
				Unknown Severity						
				dle ear, ear infection, poor eu						
				nation of the outer ear, ear c		•		ch-Language Hear	ing Association.	
COMMEN	ΓS/FO	LLOW	UP (pleas	se add other descriptors	associated wit	h hearing lo	oss):			

The completed form should be returned as soon as the hearing re-screen/initial diagnostic audiological evaluation is completed. Fax to the Newborn Hearing Screening Program at 334-206-3791.

NBS.Hearing Diagnostic Reporting Form.2018

## CRS NEWBORN HEARING ASSESSMENT CLINICS

#### CHILDREN'S REHABILITATION SERVICE HEARING SERVICES

Communication is a critical skill that connects people. Through its Hearing Services, CRS gives children and youth a chance for success at home, at school, and in the community.

#### **Newborn Hearing Screening**

for follow-up to hearing screening done at the birthing center
Early detection of hearing loss is vital because it can help assure that
your baby acquires the skills that are essential to success throughout life.
Testing is the only way to know if an infant has a hearing loss. If your
child is referred to CRS for a follow-up newborn hearing screening, a CRS
hearing specialist (called an audiologist) will test your child, explain the
results, and tell you if more tests are necessary.

#### **Hearing Aid Clinic**

- Hearing aid evaluation and prescription
- · Hearing aid and ear mold ordering
- · Hearing aid orientation and fitting
- · Hearing aid follow-up checks
- · Hearing aid maintenance and repairs
- · Ear mold replacement
- · Explanation of educational options
- · Consultation or technical assistance for local schools
- · Referral for speech-language therapy
- · Education and support for the child and family

#### **Hearing Assessment Clinic**

- · Evaluation by a pediatric audiologist
- · Referral to Hearing Clinic and/or Hearing Aid Clinic as necessary

#### **Hearing Clinic**

- · Evaluation by a pediatric audiologist
- Examination by an ear, nose, and throat (ENT) physician
- Referral for specialized testing or services (i.e., CT scans, MRI, lab work, hearing impaired education, etc.)

If you think your child might benefit from CRS Hearing Services, contact the CRS office in your area.

#### **CRS OFFICE LOCATIONS**

#### STATE OFFICE

602 S. Lawrence St., Montgomery, 36104 334-293-7500, 1-800-846-3697

#### **ANDALUSIA**

1082 Village Square Drive, Suite 2, 36420 334-222-5558,1-800-723-8064

#### ANNISTON

1910 Coleman Road, 36207 256-240-8801, 1-800-289-9533

#### **BIRMINGHAM**

Homewood CRS 234 Goodwin Crest Drive, 35209 Community Office: 205-290-4550,

1-888-430-7423

Birmingham TCH (The Children's Hospital) 1600 Seventh Ave. South, 35233 205-939-5900, 1-800-285-9318

#### **DOTHAN**

795 Ross Clark Circle NE, 36303 334-699-6600,1-800-677-9123

#### **GADSDEN**

1100 George Wallace Drive, 35903 256-547-8653, 1-800-289-1353

#### HUNTSVILLE

3000 Johnson Road, 35805 256-650-1701, 1-800-283-9352

#### **JACKSON**

1506 College Ave., 36545 251-246-4025, 1-800-283-8140

#### **MOBILE**

1610 Center St., Suite A, 36604 251-432-4560, 1-800-879-8163

#### **MONTGOMERY**

602 S. Lawrence St., 36104 334-293-7500, 1-800-568-9034

#### **MUSCLE SHOALS**

1450 E. Avalon Ave., 35661 256-381-1212, 1-800-285-9924

#### **OPELIKA**

516 W. Thomason Circle, 36801 334-749-8339, 1-800-568-8428

#### **SELMA**

2906 Citizens Parkway, 36701 334-872-8422, 1-800-967-6876

#### **TUSCALOOSA**

1110 Dr. Edward Hilliard Drive, 35401 205-759-1279. 1-800-723-0490

# EHDI Program Update CDC's Progress in Detecting Infant Hearing Loss

CDC's Early Hearing Detection and Intervention (EHDI) has made clear progress in supporting the early identification of deaf and hard of hearing (DHH) infants.

The earlier children with hearing loss are identified and start getting intervention, the more likely they will reach their full potential.





Before one month of age: Hearing Screening



Before three months of age: Hearing evaluation



Before six months of age: Early Intervention

**Hearing screening** is the first hearing service to determine if a baby has hearing loss.

**Hearing evaluation** is a comprehensive test to determine the severity of hearing loss.



#### **Identifying hearing loss early is important**

- Hearing loss is one of the most common birth defects.
- Each year 12,000 infants are born deaf or hard of hearing (DHH).
- When left undetected, a hearing loss can delay a child's speech and language development, as well as his or her thinking, learning, and social skills.
- Newborn hearing screening and intervention programs can save nearly \$200 million in additional education costs annually<sup>1</sup>.

#### How CDC is helping to make progress

- CDC is responsible for collecting and analyzing EHDI data from across the United States.
- The CDC EHDI program provides technical assistance to all states and territories to help support the early identification of DHH infants.
- CDC funds the development and use of systems and data tools that help states and territories ensure DHH children receive essential services:
  - Hearing screening
  - Hearing evaluation
  - Early intervention
- Nearly all newborns are screened for hearing loss, usually before leaving the hospital.

National Center on Birth Defects and Developmental Disabilities

Division of Human Development and Disability



## ALABAMA NEWBORN HEARING PROVIDER DIRECTORY



The Early Hearing Detection & Intervention – Pediatric Audiology Links to Services (EHDI-PALS) is a webbased link to information, resources, and services for children with hearing loss. It includes a directory of facilities that offer pediatric audiology services to young children who are younger than five years of age.

For an updated list of Alabama audiology providers please visit the EHDIPALS site at the following link: www.ehdipals.org.

Are you a provider interested in listing your facility in the EHDI-PALS directory? If so, enter your information at: www.ehdipals.org/EP\_AudiologicalServiceProviders.aspx

### 1-3-6 NEWBORN HEARING SCREENING CHECKLIST

Pauent Name:	Pat	ient DO	В:	Date of visit:
1 INITIAL SCREENING (by no later than 1	month of a	ge)		
Has the child had a newborn hearing screening?	Yes	No⇔	Schedule initial s	screening
Did you obtain the test results from the screening hospital or state EHDI program?	Yes	No⇔	Contact the hos	pital or state EHDI program
Are the results recorded in the patient's chart?	Yes	No⇔	Record test resu	lts in patient chart
Did the child pass the newborn hearing screening?	Yes	No⇔		ening appointment
Have the results been reported to the state EHDI program?	Yes	No⇔	program within	ave been reported to state EHDI 48 hours of receiving them
Have results been discussed with family?	Yes	No⇔	ongoing surve For a child wi	no passed, stress the importance of eillance and risk factors* no did not pass, discuss the need for d assist in arranging a rescreening
Has a rescreening occurred (if the initial screen resulted in "did not pass" or if otherwise necessary)?	Yes	No⇔	Schedule rescre	ening appointment
RESCREENING (by no later than 1 month of				
Where will the rescreening be performed?	☐ Hospital:			
<ul> <li>✓ If hospital/outpatient center, when is the rescreening appointment?</li> <li>✓ If conducted in office:         <ul> <li>Determine what screening equipment was</li> </ul> </li> </ul>	Office Other (specify):			
used at the hospital.  • Follow the AAP office rescreening guidelines.	Location: _ Date:			
Did the child pass the rescreening?	Yes	No⇔	Send child to aud diagnostic evalua	iologist with pediatric expertise for ation.
Are the results recorded in the patient chart?	Yes	No⇔	Record results in p	
Have the results been discussed with the family?	Yes	No⇔	ongoing surveill  For a child who	passed, stress the importance of ance and risk factors* did not pass, discuss the need for assist in arranging an audiologic
Have the results been reported?	Yes	No⇔	Confirm results ha program within 48	ve been reported to state EHDI 3 hours of receipt
3 DIAGNOSTIC EVALUATION (by no late	er than 3 m	onths of	f age)	
If the child did not pass the rescreening, was he/she	Yes			No ⇒ Refer to audiologist with
referred to an audiologist with expertise in pediatrics?	Provider:			expertise in pediatrics
podiatios.	Date of Vis	it:		
Were the results of the diagnostic test normal?	Yes	No ⇒	Discuss early intervehensive plan	ention (EI) and need for
Have the results been discussed with the family?	Yes	No⇔	For a child who ongoing surveilla	passed, stress the importance of ince and risk factors* did not pass, discuss El and need for plan
Have the results been reported?	Yes	No⇔		ve been reported back to state EHDI
6 EARLY INTERVENTION (by no later that	an 6 months	of age		
If the child was diagnosed with a hearing loss, was he/she referred for early intervention and multidisciplinary evaluation?	Yes Date of visi	t:	8	rovide referral for EI, ophthalmology, and otolaryngology and offer referral or genetics
ONGOING SURVEILLANCE AND SCRE	ENING			
Continue to perform ongoing surveillance and screen		onset he	aring loss, particular	y children with risk factors.

\*JCIH Risk Factors



#### GLOSSARY OF TERMS FOR NEWBORN HEARING SCREENING

The American Academy of Pediatrics (AAP) Early Hearing Detection and Intervention (EHDI) Loss to Follow-up/Documentation (LTF/D) Workgroup has compiled a glossary of terms important to newborn hearing screening and resources related to LTF/D.

TERM	DEFINITION
Newborn hearing screening (NBHS)	Hearing screening performed shortly after birth, typically performed in hospitals prior to discharge involving the use of OAEs or AABR.
Otoacoustic emissions (OAEs)	This test measures a response produced by the cochlea (outer hair cells) when a sound is presented to the ear. To conduct the test, a tiny probe is placed just inside the baby's ear canal and a soft click is presented, a tiny microphone measures the response produced by the baby's ear. The test is quick (about 5 to 10 minutes) and painless and may be performed while the baby is sleeping or lying still. Thus, OAEs reflect the status of the peripheral auditory system extending to the cochlear outer hair cells.
Automated auditory brainstem response (AABR)	This screening test measures how the hearing nerve responds to sound. Clicks are presented to the ear through a probe or soft earphones, and the neural response is measured through 3 electrodes placed on the baby's head. AABR measurements reflect the status of the peripheral auditory system, the eighth nerve, and the brainstem auditory pathway.
Outpatient rescreening	Hospital screening protocols vary and often include an outpatient screening stage. The specific technology used to conduct the outpatient screening should be based on the knowledge of how the inpatient screening was conducted. For example, when a baby fails an inpatient AABR screening, the outpatient screening must be conducted using AABR; if an OAE screening is used, auditory neuropathy will be missed. The outpatient screening may be completed at the birth hospital or by another provider, such as an audiologist, or physician.
Lost to follow-up	For infant who did not pass newborn hearing screening, "lost to follow-up" refers to a failure to receive the next step of treatment, be it rescreening or comprehensive audiologic evaluation.
Lost to documentation	Failure to report the results from hearing screening, rescreening, diagnostic services, and/or treatment services that are needed for comprehensive surveillance and monitoring by EHDI and the medical home
Lost to treatment	Failure for a child with an identified hearing loss to receive needed therapeutic services and failure for families to receive needed information to support decisions regarding treatment options.
Medical home	A model for providing high-quality primary care that addresses and integrates health promotion, acute care, and chronic condition management in a planned, coordinated, and family-centered manner.
Late-onset hearing loss	A hearing loss that is not present at birth and the newborn hearing screening, which would result in a "pass."
Auditory neuropathy	Children with auditory neuropathy have evidence of normal cochlear function but show impairment in the function of the auditory nerve. Functional hearing can often be quite impaired, and diagnosis and treatment can be confusing and complicated.
Risk factors	Risk factors are indicators used (1) for the identification of infants who should receive audiologic evaluation but who live in geographic locations (eg, developing nations, remote areas) where universal hearing screening is not yet available; (2) to help identify infants who pass the neonatal screening but are at risk of developing delayed-onset hearing loss and, therefore, should receive ongoing medical, speech and language, and audiologic surveillance; and (3) to identify infants who may have passed neonatal screening but have mild forms of permanent hearing loss.



The Joint Commission on Infant Hearing (JCIH) lists 11 risk indicators associated with permanent congenital, delayed-onset, or progressive hearing loss in childhood (risk indicators that are marked with a "\*" are of greater concern for delayed-onset hearing loss.)

- Caregiver concern\* regarding hearing, speech, language, or developmental delay.
- 2. Family history\* of permanent childhood hearing loss.
- 3. Neonatal intensive care of more than 5 days or any of the following regardless of length of stay: ECMO,\* assisted ventilation, exposure to ototoxic medications (gentamicin and tobramycin) or loop diuretics (furosemide/Lasix), and hyperbilirubinemia that requires exchange transfusion.
- 4. In utero infections, such as cytomegalovirus,\* herpes, rubella, syphilis, and toxoplasmosis.
- 5. Craniofacial anomalies, including those that involve the pinna, ear canal, ear tags, ear pits, and temporal bone anomalies.
- 6. Physical findings, such as white forelock, that are associated with a syndrome known to include a sensorineural or permanent conductive hearing loss.
- Syndromes associated with hearing loss or progressive or late-onset hearing loss, such as neurofibromatosis, osteopetrosis, and Usher syndrome; other frequently identified syndromes include Waardenburg, Alport, Pendred, and Jervell and Lange-Nielson syndrome.
- 8. Neurodegenerative disorders,\* such as Hunter syndrome, or sensory motor neuropathies, such as Friedreich ataxia and Charcot-Marie-Tooth syndrome.
- Culture-positive postnatal infections associated with sensorineural hearing loss,\* including confirmed bacterial and viral (especially herpes viruses and varicella) meningitis.
- 10. Head trauma, especially basal skull/temporal bone fracture\* that requires hospitalization.
- 11. Chemotherapy\*

#### JCIH 11 risk indicators



## NEWBORN HEARING SCREENING FAQ

#### What is hearing loss?

There are two main types of hearing loss:

- Conductive hearing loss occurs when sound cannot enter into the inner ear. This may be caused by wax buildup, fluid
  in the ear, or structural abnormalities. It can usually be corrected with medical or surgical intervention. This is also a
  reportable diagnosis of hearing loss.
- 2. Sensorineural hearing loss occurs when there is damage to the inner ear. This may be caused by disease, birth injury, toxic drugs, or genetic syndromes.

In addition, there are various degrees of hearing loss. They include:

- slight hearing loss
- · mild hearing loss
- · moderate hearing loss
- · moderately severe hearing loss
- severe hearing loss
- profound hearing loss

It is important to note that milder hearing losses or hearing losses that affect only one ear may not be apparent.

#### Why should a baby's hearing be screened?

The first two years of a baby's life are critical for learning speech and language. Thus, it is important to diagnose hearing problems early because a hearing loss could affect a baby's speech and language development. In addition, early detection makes talking, learning, and adjusting to hearing devices easier.

#### How is the hearing screen performed?

There are two types of screening methods that may be used. Both tests are very safe, take only minutes to perform, and are non-invasive. Most babies sleep through the hearing screening.

- 1. <u>Automated Auditory Brainstem Response (AABR)</u> determines the infant's ability to hear soft sounds normally by inserting miniature earphones and attaching electrodes to measure brain-wave responses to the sound. **This screening method** is recommended by the Joint Committee on Infant Hearing (JCIH) for high risk newborns admitted to the NICU greater than five days and should be completed as a second test method if an infant is initially tested with AABR.
- 2. <u>Otoacoustic emissions (OAE)</u> measures inner ear function by inserting a miniature microphone in the ear canal via a soft probe tip and measuring tones from the ear by sending responses to a special computer.

#### What if a baby does not pass the hearing screen?

If a baby does not pass the initial hearing screening at birth, then no more than one other attempt to re-screen should be completed on the day of discharge. If the baby does not pass on discharge, an appointment should be made with an audiologist for a re-screen (second tier screen) and notify the primary care physician of appointment so he or she can send a referral.

## PARENT INFORMATION

# Newborn Hearing Screening: What do the results mean?



Baby is born



Hearing screening is performed at 24-48 hours of age or before the baby leaves the hospital



Ask for your baby's hearing results before leaving the hospital:



PASS
no further testing
required (always
monitor for speech and
language milestones)



further testing recommended between 9-12 months of age

PASS WITH



The hospital should re-screen
your baby one more time or make
you an appointment for a hearing
re-screen (does not mean your
baby has hearing loss)

DID NOT PASS

Did Not Pass:

- Schedule follow-up testing with an audiologist (hearing professional) within 2-3 weeks after you go home from the hospital.
- Ask your baby's doctor to help you find an audiologist or you can visit www.ehdi-pals.org
- Remember that just because a baby may respond to noise does not mean he or she can hear properly





WANT TO KNOW MORE? Call us: 1-866-928-6755

# SECTION 4 PULSE OXIMETRY SCREENING

Critical Congenital Heart Disease (CCHD)	51
Pulse Oximetry Screening Equipment	52
Pulse Oximetry Training	53-56
Knowledge Assessment	57-58
Competency Checklist	59
Training Log	60
Pulse Oximetry Screening	61
Pulse Oximetry Screening Algorithm	62
Pulse Oximetry Reporting Form	63

## CRITICAL CONGENITAL HEART DISEASE (CCHD)

#### INTRODUCTION

In September 2011, U.S. Department of Health and Human Services (HHS) Secretary Kathleen Sebelius approved adding Critical Congenital Heart Disease (CCHD) to the Recommended Uniform Screening Panel (RUSP). This recommendation was endorsed by the Alabama Chapter of the American Academy of Pediatrics. Donald E. Williamson, M.D., Alabama's State Health Officer, supported implementation of screening for CCHD in Alabama's birthing facilities. The Newborn Screening Program convened a CCHD Work Group that met on November 30, 2011, and again on December 13, 2011, to create a protocol for pulse oximetry screening on well infants in Alabama's fifty-three birthing facilities with a goal to implement by April 2012.

According to the Centers for Disease Control and Prevention (CDC), congenital heart defects account for 24% of infant deaths due to birth defects. In the United States, about 4,800 (or 11.6 per 10,000) babies born every year have CCHDs. In Alabama, approximately seventy infants are expected to be diagnosed with a CCHD each year. Babies with a CCHD are at significant risk for death or disability if their CCHD is not diagnosed and treated soon after birth. Pulse oximetry, which is a test to determine the amount of oxygen in the blood, is the recommended screening method to detect CCHDs in newborns.

#### There are seven defects classified as CCHD:

- · Hypoplastic left heart syndrome
- · Pulmonary atresia (with intact septum)
- Tetralogy of Fallot
- · Total anomalous pulmonary venous return
- · Transposition of the great arteries
- · Tricuspid atresia
- Truncus arteriosus

This manual serves as a guide to assist each birthing facility to establish its own policy and procedures to implement a Critical Congenital Heart Disease Screening Program (CHDSP). These policies and procedures should establish clear, complete, and concise evidence-based policy and address the components listed below:

- Equipment
- Training
- Screening
- Education

It is recommended that each facility designate a program coordinator to facilitate the planning and implementation of the screening program, including the establishment of an interdisciplinary team. Members of this team should participate in the planning process and should represent hospital executives, physicians, nurses, and ancillary staff.

#### **SECTION 1 - EQUIPMENT**

Each birthing facility will be responsible for selecting and securing pulse oximeter equipment for screening newborns for CCHD, if appropriate equipment is not already available. Such equipment must be compliant with national standards and adhere to the following:

- Must be motion-tolerant and report functional oxygen saturation.
- · Must be validated in low-perfusion conditions.
- · Must have been cleared by the FDA for use in newborns.
- · Must have 2% root, mean-square accuracy.
- · Must be calibrated regularly based on manufacturer guidelines.



#### **SECTION 2 - TRAINING**

Training should be performed by qualified personnel who have participated in the planning process (e.g., unit nurse manager or assistant nurse manager, nurse educator, the program coordinator, or a registered nurse). This training should be hands-on and competency based. The training of personnel should include:

- · Overview of screening protocol
- Education on the use, care, maintenance, and trouble-shooting of screening equipment
- · A review of general nursery policies and procedures
- Education on the differences between adult and pediatric oximeter probes
- · An explanation on the importance of adequate circulation
- · The effects of hypothermia and phototherapy on pulse oximetry screening
- · Facility resources for pediatric echocardiogram and referral sources when not available in house

#### IN-SERVICE EDUCATION PROGRAM COMPONENTS

The following is an overview of educational tools and components that may be used to educate staff who will be directly involved in screening implementation. Educational tools discussed are included.

#### 1. PowerPoint Presentation:

- a. Provides attendees with education on background, significance, and need for screening.
- b. Provides attendees with education on Congenital Heart Disease Screening Program (CHDSP) screening methods and guidelines.

#### 2. Education for Providers:

a. Provides attendees with educational tool, "Congenital Heart Disease Screening Program:
 Education for Providers," which includes an overview of pulse oximetry, congenital heart disease, and pulse
 oximetry screening for critical congenital heart disease.

#### 3. Pulse Oximetry Demonstration:

- a. Provide attendees with a demonstration of correct and safe use of pulse oximetry equipment in obtaining an accurate infant reading by in-service facilitator or representative from pulse oximeter manufacturer.
- b. Provide attendees with an opportunity to practice performing pulse ox screening on a doll.
- c. Provide attendees with the opportunity to ask questions regarding correct and safe methods for performing pulse ox screening.
- d. Provide attendees with the "Performing Pulse Oximetry (Pulse Ox) with the Infant Patient: Education for Providers" and "Pulse Ox Placement" educational tools.

#### 4. Knowledge Assessment Quiz:

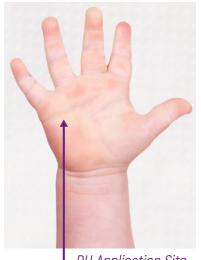
- a. Allow time for attendees to complete the "Knowledge Assessment Quiz."
- b. Review the correct answer for each question.
- c. Allow time for remediation of questions answered incorrectly.
- d. Allow time for attendees to re-take quiz, if necessary.

#### 5. Competency Checklist:

- a. Allow adequate time for completion of competency checklist.
- b. Provide each attendee with a copy of the complete competency checklist to forward to his or her manager.

#### PULSE OX PROBE PLACEMENT EDUCATION

1. Select application site on the outside, fleshy area of the infant's hand or foot.





RH Application Site

Foot Application Site

- 2. Place the photodetector portion of the probe on the fleshy portion of the outside of the infant's hand or foot.
- 3. Place the light emitter portion of the probe on the top of the hand or foot. Place the photodetector directly opposite of light emitter, on the bottom of the hand or foot.
- 4. Remember: The photodetector and emitter must be directly opposite each other in order to obtain an accurate reading.
- 5. Secure the probe to the infant's hand or foot using the adhesive or foam tape recommended by the vendor. It is not recommended to use tape to secure probe placement.
- 6. Some vendors use visual images such as a star or bar to specify which side of the probe should be placed on top of the hand or foot. You may choose to use a helpful statement such as, "Raise the bar" to help you to remember proper probe placement.





#### PERFORMING PULSE OXIMETRY (PULSE OX) WITH THE INFANT PATIENT: EDUCATION FOR PROVIDERS

#### Pulse Ox - Dos

- 1. If you are using disposable pulse ox probes, use a new, clean probe for each infant. If you are using reusable pulse ox probes, clean the probe with recommended disinfectant solution between each infant. Dirty probes can decrease the accuracy of your reading and can transmit infection. A disposable wrap should be used to secure the probe to the site.
- 2. The best sites for performing pulse ox on infants are around the palm and the foot. An infant pulse ox probe (not an adult pulse ox clip) should always be used for infants.
- 3. When placing the sensor on the infant's skin, there should not be gaps between the sensor and the infant's skin. The sides of the probe should be directly opposite of each other.
- 4. Nail polish dyes and substances with dark pigmentation (such as dried blood) can affect the pulse ox reading.

  Assure that the skin is clean and dry before placing the probe on the infant. Skin color and jaundice do not affect the pulse ox reading.
- 5. Movement, shivering and crying can affect the accuracy of the pulse ox reading. Ensure that the infant is calm and warm during the reading. Swaddle the infant and encourage family involvement to promote comfort while obtaining the reading. If possible conduct screening while the infant is awake.
- 6. Pulse oximeters have different confidence indicators to ensure that the pulse ox reading is accurate. Determine the confidence indicators for the pulse oximetry equipment that you are using.
- 7. If an infant requires pulse ox monitoring for an extended amount of time, assess the site where the probe is placed at least every two hours. Monitor for signs of irritation and burning of the skin.

#### Pulse Ox - Don'ts

- 1. Never use an adult pulse ox clip when obtaining a pulse ox reading for an infant. Using an adult clip on an infant will give you an inaccurate reading.
- 2. Blood flow is needed to obtain an accurate pulse ox reading. Never attempt to obtain a pulse ox reading on the same extremity that you have an automatic blood pressure cuff.
- 3. Bright or infrared light, including bilirubin lamps and surgical lights, can affect the accuracy of the reading. Ensure that the infant is not placed in bright or infrared light while pulse ox is being performed. You may cover the pulse ox probe with a blanket to ensure that extraneous light does not affect the accuracy of your reading.
- 4. Do not use tape to apply the pulse ox probe to the infant's skin.

#### Pulse Ox - Caution!

- 1. The pulse is needed to determine the oximetry reading. Pulse ox is not accurate if the patient is coding or is having a cardiac arrhythmia. Remember: No pulse, no oximetry!
- 2. Pulse ox readings are not instantaneous. The oximetry reading that is displayed on the monitor is an average of readings over the past few seconds.

#### **KNOWLEDGE ASSESSMENT**

- 1. The following can affect the accuracy of the pulse oximetry (pulse ox) reading:
  - a. Movement
  - b. Cold extremities or shivering
  - c. Crying
  - d. Bilirubin lamps and surgical lights
  - e. All of the above
- 2. One clean, disposable pulse ox probe can be used on up to five patients.
  - a. True
  - b. False
- 3. All of the following can affect the accuracy of the pulse ox reading except:
  - a. Placing the pulse ox probe on the same extremity that you are taking the blood pressure
  - b. Performing the pulse ox test while the infant is crying
  - c. Using a clip on the finger of an infant
  - d. Infant skin color or jaundice
- 4. Pulse ox screening will detect all forms of CHD
  - a. True
  - b. False
- 5. The screening guidelines state that pulse ox should be performed on:
  - a. The right hand
  - b. One foot
  - c. Both a and b
  - d. Neither a or b

- 6. Pulse ox screening should be performed when the infant is what age?:
  - a. Less than 8 hours
  - b. Between 8 hours and 18 hours
  - c. Greater than 24 hours
  - d. Less than 24 hours
- 7. An infant's pulse ox readings should be reported to the physician or nurse practitioner caring for the infant if:
  - a. Pulse ox readings are greater than 94% for both right hand and one foot and there is a difference of 4 or more between the two on three measures each separated by one hour
  - b. Pulse ox readings are less than 95% for both right hand and one foot or there is a difference of 4 between the two on three measures each separated by one hour
  - c. Pulse ox reading is less than 90% for either or both the right hand and one foot
  - d. All of the above
- 8. Pulse ox screening results can be shared with individuals that are not directly involved in the patient's care:
  - a. True
  - b. False

#### **KNOWLEDGE ASSESSMENT ANSWERS**

- 1. The following can affect the accuracy of the pulse oximetry (pulse ox) reading:
  - a. Movement
  - b. Cold extremities or shivering
  - c. Crying
  - d. Bilirubin lamps and surgical lights
  - e. All of the above
- 2. One clean, disposable pulse ox probe can be used on up to five patients.
  - a. True
  - b. False
- 3. All of the following can affect the accuracy of the pulse ox reading except:
  - a. Placing the pulse ox probe on the same extremity that you are taking the blood pressure
  - b. Performing the pulse ox test while the infant is crying
  - c. Using a clip on the finger of an infant
  - d. Infant skin color or jaundice
- 4. Pulse ox screening will detect all forms of CHD
  - a. True
  - b. False
- 5. The screening guidelines state that pulse ox should be performed on:
  - a. The right hand
  - b. One foot
  - c. Both a and b
  - d. Neither a or b

- 6. Pulse ox screening should be performed when the infant is what age?:
  - a. Less than 8 hours
  - b. Between 8 hours and 18 hours
  - c. Greater than 24 hours
  - d. Less than 24 hours
- 7. An infant's pulse ox readings should be reported to the physician or nurse practitioner caring for the infant if:
  - a. Pulse ox readings are greater than 94% for both right hand and one foot and there is a difference of 4 or more between the two on three measures each separated by one hour
  - b. Pulse ox readings are less than 95% for both right hand and one foot or there is a difference of 4 between the two on three measures each separated by one hour
  - c. Pulse ox reading is less than 90% for either or both the right hand and one foot
  - d. All of the above
- 8. Pulse ox screening results can be shared with individuals that are not directly involved in the patient's care:
  - a. True
  - b. False

#### **COMPETENCY CHECKLIST**

- Competency Title: Congenital Heart Disease Screening Process
- · Competency Criteria includes the following:
  - 1. Completion of the in-service education.
  - 2. Accomplishment of 90 percent or more on the knowledge assessment quiz with remediation as necessary.
  - 3. Appropriate application of pulse oximetry.
  - 4. Accurate reading and documentation of the pulse oximetry readings.

•	Competency S	Statement: 1	Proficiently	y pertorm t	the required	activities	detined	in research	ı protoco	١l.

Validation Criteria:	` ,	C. Written Test (T) D. Return Demonstration (RD)			
Directions for completing evaluation form: Evaluator, please circle the appropriate method of validation, initial e line and place signature in the appropriate place at the end of the document.					
Name:		Job Title:			

Competency	Date	Method of Validation	Supervisor Initials	Comments
Explains screening eligibility guidelines for pulse oximetry screening		D VF T		
Identifies safe and correct methods for performing pulse oximetry		D VF T RD		
Describes methods to ensure that pulse oximetry reading is accurate		D VF T RD		
Explains screening methods and guidelines for pulse oximetry screening		D VF T		
Discuss HIPAA confidentiality standards		D VF T		

Name:	Date:
Supervisor Name (Printed):	
Supervisor Signature:	

Completion of

Manager

**TRAINING LOG** (For the records of unit managers or nursing educators)

Employee Name and Title	Date	Competend	Initials	
		Yes	No	
*Each employee responsible for performing pulse of checklist prior to participation.	oximetry scre	eening methods	should complet	e the competency
Unit:				
Supervisor Name (Printed):				
Manager Signature:				

## PULSE OX SCREENING SCREENING

#### **SECTION 3 - SCREENING**

#### **Supplies for screening**

- · Pulse Oximeters
  - 1. At least one motion-tolerant pulse oximeter to be used for screening
  - 2. One motion-tolerant pulse oximeter for back-up
- · Infant Disposable or Reusable Pulse Ox Sensors
  - 1. If using disposable sensors, one disposable sensor for every infant screened
  - 2. If using reusable sensors, one reusable sensor for each pulse oximeter. Also consider additional reusable sensors for back-up
    - a. Disinfecting agent recommended by pulse oximetry equipment manufacturer
  - 3. One disposable wrap per infant screened to secure sensor to hand or foot
- · Rolling Cart for Supplies
- · Data Collection Forms
  - 1. One for every infant screened
- · Dedicated individual to perform screening
- · Red Heart-Shaped Stickers
  - 1. One red heart-shaped sticker for every infant who has been screened (optional)
- · Blankets for warming the infant and blocking extraneous light
- · A parent for comforting infant during screening (optional)

# CRITICAL CONGENITAL HEART DISEASE SCREEN (CCHD)





#### **CCHD Screen at Birth Off Supplemental Oxygen**

Obtain pulse oximetry reading on right hand and either foot (in parallel or direct sequence) at 24 hours or later (infant should be on room air, warm and quiet, with screening sites clean and dry)

#### **Immediate Fail** Fail **Pass** Pulse Ox less than 95% in both the Pulse ox reading Pulse ox reading of 95% or higher in less than right hand and foot and a saturation right hand or foot AND Saturation 90% in right difference of 4% or greater Difference of 3% or less between hand or foot the right hand and foot readings PASS Repeat screen **Provide Routine Newborn Care** in 1 hour FAIL - Do NOT repeat Pulse Ox **Failed Screen** AND proceed with FAIL - Do NOT repeat Perform comprehensive evaluation for immediate clinical Pulse Ox AND proceed assessment. with immediate and pulmonary pathology. clinical assessment.

#### **Immediate Fail** Pulse ox less than 90

- Notify physician and proceed with immediate clinical assessment.
- If no etiology is found, immediate echocardiogram interpreted by a pediatric cardiologist is indicated. This may require transfer to a NICU with pediatric cardiology services.
- Report failed pulse ox screen reporting form to the Alabama Department of Public Health. You may fax the form to (334) 206-3791 or electronically submit via the web portal as required by state law.

- causes of hypoxemia including infectious
- · If no other etiology is found, consultation with pediatric cardiology or neonatology is indicated to arrange for a diagnostic echocardiogram to be interpreted by a pediatric cardiologist. This may require telemedicine, transfer to a NICU with pediatric cardiology services, or discussion with cardiology services to schedule a timely outpatient echocardiogram. Physician to physician communication recommended.
- Report failed pulse ox screen reporting form to the Alabama Department of Public Health. You may fax the form to (334) 206-3791 or electronically submit via the web portal as required by state law.

<sup>·</sup> This screening algorithm should not take the place of clinical judgment or customary clinical practice · A negative screen does not rule out heart disease.

<sup>·</sup> Optimal results are obtained using a motion-tolerant pulse oximeter that reports functional oxygen saturation, has been validated in low  $perfusion \ conditions, has been \ cleared \ by \ the \ FDA for use in newborns, has \ a \ 2\% \ root \ mean-square \ accuracy, and is \ calibrated \ regularly.$ 

For more information see: Kemper, AR, Mahle, WT, Martin, GR et al; Strategies for Implementing Screening for Congenital Heart Disease Pediatrics, 2011, available at: http://pediatrics.aappublications.org/content/early/2011/10/06/peds, 2011-1317

# FAILED PULSE OX SCREEN REPORTING FORM





PLACE LABEL O	R WRITE-IN INFORMATION						
Medical Record #	#						
Patient Name: La	atient Name: LastFirstFirst						
Mother's Name:	lother's Name: Date of Birth/						
Hospital:	lospital:Medical Provider:						
Age at Initial Scre	Alabama Newbor Fax <u>failed</u> screer	ns to <b>334-206-</b>					
	Initial Screening		Second Screening wing initial screen if fail initial screen)				
Time		Time					
Pulse Ox Saturation of Right Hand		Pulse Ox Saturation of Right Hand					
Pulse Ox Saturation of Foot		Pulse Ox Saturation of Foot					
Difference between right hand and foot		Difference between right hand and foot					
	□ FAIL*	]	DO NOT repeat and proceed with immediate assessment				
Fail = Pulse ox le  Fail may require  Other etiology id  Transferred:	= Pulse ox less than 90% in the right has than 95% in both the right hand an transfer to a NICU with pediatric card lentified:  Pulmonary Infection	nd foot and a saturati diology services Unknown Uot	ther:				
Screener's First I	Initial/Last Name:		Date:/				

Revised 2/10/2025

## SECTION 5 - RESOURCES

Public Health Districts	65
Alabama County Health Departments	66
Alabama Early Intervention System (AEIS)	67-68
Child Find Referral Form	69-70
Children's Rehabilitation Service (CRS)	71-72
Alabama Community-Based Sickle Cell Organizations	73

## PUBLIC HEALTH DISTRICTS

#### **EAST CENTRAL DISTRICT**

Richard Burleson, District Administrator 3060 Mobile Highway Montgomery, AL 36108 (334) 293-6400 Connie King, Assistant District Administrator 1850 Crawford Rd. Phenix City, AL 36867 (334) 297-0251

#### **JEFFERSON COUNTY**

Mark E. Wilson, M.D., County Health Officer David Hicks, D.O., M.P.H., Deputy Health Officer 1400 Sixth Ave. S. Birmingham, AL 35233 (205) 933-9110

#### **MOBILE COUNTY**

Bernard H. Eichold, II, M.D. County Health Officer Susan Stiegler, Assistant Health Officer 251 N. Bayou St. Mobile, AL 36603 (251) 690-8827

#### **NORTHEASTERN DISTRICT**

Karen Landers, M.D., District Medical Officer Mary Gomillion, District Administrator Mark Johnson, Assistant District Administrator 709 E. Broad St. Gadsden, AL 35903 [256] 547-6311

#### NORTHERN DISTRICT

Karen Landers, M.D., District Medical Officer 1000 S. Jackson Hwy. Sheffield, AL 35660 (256) 383-1231 Judy Smith, District Administrator Michael Glenn, Assistant District Administrator 3821 Highway 31 South Decatur, AL 35603 (256) 340-2113

#### SOUTHEASTERN DISTRICT

Corey Kirkland, District Administrator 1781 E. Cottonwood Rd. Dothan, AL 36301 [334] 792-9070

#### SOUTHWESTERN DISTRICT

Chad Kent, District Administrator Suzanne Terrell, Assistant District Administrator 1115 Azalea Place Brewton, AL 36426 (251) 947-1645 303 Industrial Drive Linden, AL 36748 (334) 295-1000

#### WEST CENTRAL DISTRICT

Stacey Adams, District Administrator 2350 Hargrove Rd., E. Tuscaloosa, AL 35405 [205] 554-4500



## ALABAMA COUNTY HEALTH DEPARTMENTS

East Central District		
Autauga	334-361-3743	
Bullock	334-738-3030	
Chambers	334-756-0758	
Elmore	334-567-1171	
Lee	334-745-5765	
Lowndes	334-548-2564	
Macon	334-727-1800	
Montgomery	334-293-6400	
Russell	334-297-0251	
Tallapoosa	256-329-0531	

Jefferson		
Central Health	205-933-9110	
Eastern Health	205-591-5180	
Morris Health	205-933-4242	
Western Health	205-715-6121	

Mobile County		
Keeler Clinic	251-690-8158	
Semmes Clinic	251-445-0582	
Citronelle Clinic	251-866-9126	
Eight Mile Clinic	251-456-1399	
Dauphin Island	251-445-3450	
Newburn Clinic	251-405-4524	
North Mobile	251-829-9884	
Southwest Mobile	251-666-7413	

Northeastern District		
Blount	205-274-2120	
Calhoun	256-237-7523	

Cherokee	256-927-3132
Clay	256-396-6421
Cleburne	256-463-2296
DeKalb	256-845-1931
Etowah	256-547-6311
Randolph	334-863-8981
St. Clair	205-338-3357
Shelby	205-664-2470
Talladega	256-362-2593

Northern District		
Colbert	256-383-1231	
Cullman	256-734-1030	
Franklin	256-332-2700	
Jackson	256-259-4161	
Lauderdale	256-764-7453	
Lawrence	256-974-1141	
Limestone	256-232-3200	
Madison	256-539-3711	
Marion	205-921-3118	
Marshall	256-582-3174	
Morgan	256-353-7021	
Winston	205-489-2101	

Southeastern District		
Barbour	334-687-4808	
Butler	334-382-3154	
Coffee	334-347-9574	
Covington	334-222-1175	
Crenshaw	334-335-2471	

Dale	334-774-5146
Geneva	334-684-2256
Henry	334-585-2660
Houston	334-678-2800
Pike	334-566-2860

Southwestern District		
Baldwin	251-947-1910	
Choctaw	205-459-4026	
Clarke	251-275-3772	
Conecuh	251-578-1952	
Dallas	334-874-2550	
Escambia	251-867-5765	
Marengo	334-295-4205	
Monroe	251-575-3109	
Washington	251-847-2245	
Wilcox	334-682-4515	

West Central District		
Bibb	205-926-9702	
Chilton	205-755-1287	
Fayette	205-932-5260	
Greene	205-372-9361	
Hale	334-624-3018	
Lamar	205-695-9195	
Perry	334-683-6153	
Pickens	205-367-8157	
Sumter	205-652-7972	
Tuscaloosa	205-562-6900	
Walker	205-221-9775	

# ALABAMA'S EARLY INTERVENTION SYSTEM FOR INFANTS AND TODDLERS WITH DISABILITIES

Child Find is the process used in Alabama for *identifying* all children who may be eligible for services and *referring* them to Alabama's Early Intervention System. It is an important step that provides families with the guidance and support they need to make it on their own behalf.

There are three steps in the Child Find process:

- **1. Identification -** Children who may be in need of special help are identified by parents or by individuals within the community. These individuals, agencies, or organizations may include:
- parents
- well-baby clinics
- hospital follow-up clinics
- physicians
- pediatricians' offices
- · community health services
- · developmental disabilities programs
- prenatal/postnatal facilities
- child day care centers
- home child day care programs
- Head Start programs
- · local educational agencies
- outpatient clinics
- · public health facilities
- Medicaid programs
- hospitals
- · social service agencies
- · other healthcare providers

Children are identified when parents or other family members express concern about their child's development. Children are also identified when a service provider suspects that there is delay in a child's development and discusses this concern with the parents. Any infant or toddler age birth to 3 years with a delay of 25 percent or more in any of the major areas of development - cognitive, physical, communication, social, emotional, or adaptive development - who lives in Alabama is eligible to receive supports and appropriate services through the state's early intervention system. Children may be identified if a child has a diagnosed physical or mental condition that may contribute to a developmental delay.

Once potentially eligible infants and toddlers have been identified as having a suspected or diagnosed delay, the service provider or family may make a referral to Child Find. Families need to be made aware when a service provider is making the referral.

2. Making a Referral - making a referral to Alabama's Early Intervention System is as simple as making a phone call to Early Intervention Child Find at 1-800-543-3098 (voice/TDD). Fax-back referral forms are also available for use by doctor's offices, social workers, hospitals, etc.

If parents ask a service provider to make the referring phone call, the referral must be made no more than *two working days* after the child has been identified. Information needed to make a referral includes the child's name, sex, ethnic origin, birth date, and Social Security number, if available. Additional information needed to process the referral includes the name of the parents or guardian; the language spoken by the family; the areas of development that are of concern to the parents and professional; the name of the child's primary care physician; and acceptance/refusal of the referral to AEIS, from the family.

**3. Processing of a Referral -** When a call is received by Child Find, the child's name and other identifying information will be entered into the data base for follow-up by Alabama's Early Intervention System. The referral will be passed on to the local contact (known as the District Early Intervention Coordinator or DEIC) within the child's community. The coordinator will contact the child's family within a two week period to discuss Alabama's Early Intervention System and explain the evaluation process. The child and family's progress through Alabama's Early Intervention System will be monitored under the lead agency, the Alabama Department of Rehabilitation Services.

# ALABAMA'S EARLY INTERVENTION SYSTEM FOR INFANTS AND TODDLERS WITH DISABILITIES

Any individual who works with young children and their families is in a unique position to help identify, at an early stage, those infants and toddlers who may need intervention. It is important that any child under the age of 3 that may have a delay in development be referred to Alabama's Early Intervention System as quickly as possible. The evaluation and assessment process for the state's early intervention system for infants and toddlers with disabilities, and their families, is free to the family. Families are also not required to pay for appropriate services for their eligible child.

#### If you work with young children and families, you can help them in the following ways:

- Display information about Alabama's Early Intervention System in offices, libraries, faith-based facilities and clinics. Free
  materials are available by simply calling Early Intervention Child Find at 1-800-543-3098 and making a request for free
  AEIS materials.
- Help families monitor their children's development by helping them to understand developmental milestones.
- Act on any concerns that you have or that are expressed by parents by discussing the early intervention Child Find
  process with the family and helping them to make the contact if they are interested.
- Monitor the progress of an infant or toddler that may have a delay if a family is not ready to make a referral or a
  decision and talk to the parents at a later date if necessary.
- Nurture families who have infants and young children and understand the stress they are enduring. Provide information, guidance and support that parents may need to make informed choices during the early stages of accessing services for an eligible child.

To learn more about Alabama's Early Intervention System, contact the Early Intervention Office, located within the Alabama Department of Rehabilitation Services, at 1-800-441-7607 or visit the web site at www.rehab.state.al.us.

#### Alabama's Early Intervention System Child Find Referral Form

To make a referral by phone: 1-800-543-3098

Mail to: ADRS/EI, 602 S. Lawrence St., Montgomery, AL 36104 or Fax to: Child Find Fax # (334) 293-7393

or send via email to: REHAB--Childfind@rehab.alabama.gov

For more info, please visit: http://rehab.alabama.gov/individuals-and-families/early-intervention

\*Please print clearly and complete all blanks - no stamps or labels\*

INFANT/TODDLER INFORMATIO		(0:4)	3.6
	2. Date o		
4. Last Name:	First Name:	MI/Nai	me:
5. Is your child of Hispanic or Lat	rino origin? Y 🗌 N 📗 6. Chil	d's Primary Race:	
* If Primary Race is Two or More	Races: Hispanic/Latino	American Indian/Alaska Native	☐Asian
(Mark appropriate boxes)	Black/African American	Hawaiian/Pacific Islander	White
7. Home Language:	8. Medicaid: Y 🗌 N 🗌	Medicaid #	
9. Private Insurance: Y 🔲 N 🛭	] 10. CHIP/All Kids Y   N		
CHILD RELATION INFORMATION	•		
11. First Name:	Last Name:		MI:
12. Relation Type:	13. Is this Primary relation? Y [	N ☐ 14. Is address same as	child'? Y \Boxedow N \Boxedow
15. Mailing Address:			
City/State/Zip:		16. County:	
17. Physical Address (if different	from above):		
19. Primary contact #: ( ) _	20. Alte	ernate contact #: ( )	
Alternate contact #: (	) Work	Phone #: ( )	Ext #:
Primary Contact Email address	s:		
REFERRAL SOURCE INFORMATIO			
-			
23. County:	24. Phone:	25. Fax: _	
26. Reason for referral:			
27. How family became aware of (	Child Find:	_ Additional Information:	
Refer to Service Coordinator/Case	eload ID # (leave blank if unknown):		
Date Mailed/Faxed to Chi	ld Find: Send	er's Name/Phone #:	
PHYSICIAN/CRNP USE ONLY 28. I certify that the child named	d above has a confirmed diagnosis of		
29. Printed Name of Physician/CRI	NP:	30. Ph	one #:
31, Signature of Physician/CRNP:		Тс	oday's date:
STATE OFFICE USE ONLY			
	SS# or T#:		
Referral taken by: Date taken: _	Received by:  phone er	nail	ficial referral/entry date:
ATTACHMENT:		☐ Signed release of information	
Davised 01/2010			

#### Alabama's Early Intervention System (AEIS) - Child Find Referral Info Sheet

IMPORTANT NOTE: Question #'s 2 through 7 and 11 through 27 are required information

#### INCOMPLETE REFERRALS WILL NOT BE ACCEPTED (FILL IN ALL REQUIRED BLANKS)

- Please provide the SS# if available, however, if the number is unavailable we can assign a pseudo number in order to process the referral.
- 5. Please answer either yes or no. We cannot process the referral without this information.
- 6. Enter the primary race that the family identifies. If the child is of multiple races, check all boxes that apply. <u>American Indian or Alaska Native</u> – A person having origins in any of the original peoples of North and South America (including Central America) and who maintains tribal affiliation or community attachment. (Does not include persons of Hispanic/Latino ethnicity)

<u>Asian</u> – A person having origins in any of the original peoples of the Far East, Southeast Asia, or Indian subcontinent. This includes for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam. (Does not include persons of Hispanic/Latino ethnicity) <u>Black or African American</u> – A person having origins in any of the Black racial groups of Africa. (Does not include persons of Hispanic/Latino ethnicity)

<u>Hispanic or Latino</u> - A person Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.

Native Hawaiian or Other Pacific Islander - A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands. (Does not include persons of Hispanic/Latino ethnicity) White - A person having origins in any of the original peoples of Europe, the Middle East, or North Africa. (Does not include persons of Hispanic/Latino ethnicity)

<u>Two or More Races</u> – A person having origins in two or more of the six race categories listed immediately above. (Does not include persons of Hispanic/Latino ethnicity)

- 7. If the family is multi-lingual and English is one of the languages spoken, please enter English. If English is not spoken in the home, please enter the language spoken so that an interpreter can be obtained, if needed.
- 8. Not required, but please enter if available.
- 11. Enter the first and last name of the primary caregiver of which the child lives with.
- 12. How is this person that the child lives with related to the child? (mother, father, aunt, foster parent, etc.)
- 13. Is the person named the child's primary caregiver?
- **14.** Does the child live with the person named?
- 15. Enter the address where correspondence for this child should be sent.
- 17. Where does the family live (if different from mailing address)? This determines which program will serve the child.
- 19. Provide all available contact information for the family.
- 21. The name of the person making this referral.
- **22.** The organization affiliated with the person making the referral or description of who that person is (for example, Children's Hospital, ABC Therapy Company, DPS, grandfather).
- 23. -25. Demographic and contact information for the referral source.
- 27. Who told the family about Early Intervention? Please choose one of the following:

  Agency, APC Parenting Kit, Audiologist, Certified Registered Nurse Practitioner, Child Care, Developmental Follow Up Clinic, Doctor, Early Head Start, EI Program, EI Recipient's family, Head Start, Healthy Child Care Alabama, High Risk Clinic, Hospital, Hurricane Katrina Evauee, Interpreter, Media, Military, Nurse-Family Partnership, Other, PA Materials, Parent Assistance Line (PAL), Parent (Previously Received EI Services), Receiving Service in Other State, Relative/Friend, School System, Self, Social Media (Facebook, Twitter, Etc.), Social Worker, SSA, Therapist, Web Site
  - In additional information, please enter any other information that may be useful in helping us serve this child. Please enter when this referral was sent to Child Find and who sent it along with their phone number so that we can call if there are any questions.
- 28. This section can only be completed by a physician or nurse practitioner who is making the referral. In order to expedite eligibility determination, a physician/nurse practitioner can provide documentation of any diagnoses the child may have. We must have the physician/nurse practitioner's name and signature along with the diagnosis.

••••••••••

## CHILDREN'S REHABILITATION SERVICE

Any child or adolescent younger than 21 years of age who is a resident of Alabama and has a special health care need is eligible for CRS. CRS provides specialty medical services to include medical clinics, evaluation clinics, medication, equipment, therapies, hospitalizations, and surgeries as well as support for families.

Calhoun County - Anniston CRS 1910 Coleman Road, Anniston, AL 36207 Phone: 256-240-8801 or 1-800-289-9533 Counties: Calhoun, Cherokee, Clay, Cleburne, St. Clair, Talladega	Jefferson County - Homewood CRS 234 Goodwin Crest Drive, Birmingham, AL 35209 Phone: 205-290-4550 or 1-888-430-7423 Counties: Cullman, Jefferson, Shelby, Walker	
Clarke County - Jackson CRS 1506 College Avenue, Jackson, AL 36545 Phone: 251-246-4025 or 1-800-283-8140 Counties: Choctaw, Clarke, Monroe, Washington	Lee County - Opelika CRS 516 W. Thomason Circle, Opelika, AL 36801 Phone: 334-745-7579 or 1-800-568-8428 Counties: Chambers, Lee, Macon, Randolph, Russell, Tallapoosa	
Colbert County - Muscle Shoals CRS 714 State Street, Muscle Shoals, AL 35661 Phone: 256-381-4047 or 1-800-285-9924 Counties: Colbert, Franklin, Lauderdale, Lawrence, Marion, Winston	Madison County - Huntsville CRS 3000 Johnson Road, Huntsville, AL 35805 Phone: 256-650-1701 or 1-800-283-8140 Counties: Jackson, Limestone, Madison, Marshall, Morgan	
Covington County - Andalusia CRS 1082 Village Square Drive, Suite 2, Andalusia, AL 36420 Phone: 334-222-5558 or 1-800-723-8064 Counties: Butler, Conecuh, Covington, Crenshaw	Montgomery County - Montgomery CRS 602 South Lawrence Street, Montgomery, AL 36104 Phone: 334-293-7500 or 1-800-568-9034 Counties: Autauga, Bullock, Chilton, Coosa, Elmore, Lowndes, Montgomery, Pike	
Dallas County - Selma CRS 720 Alabama Avenue, Selma, AL 36701 Phone: 334-877-2900 or 1-800-967-6876 Counties: Dallas, Marengo, Perry, Wilcox	Mobile County - Mobile CRS  1610 Center Street, Suite A, Mobile, AL 36604 Phone: 251-432-4560 or 1-800-879-8163 Counties: Baldwin, Escambia, Mobile	
Etowah County - Gadsden CRS 1100 George Wallace Drive, Gadsden, AL 35903 Phone: 256-547-8653 or 1-800-289-1353 Counties: Blount, DeKalb, Etowah	Talladega County - Talladega CRS office closed - clients referred to Anniston	
Houston County - Dothan CRS 795 Ross Clark Circle NE, Suite 3, Dothan, AL 36303 Phone: 334-699-6600 or 1-800-677-9123 Counties: Barbour, Coffee, Dale, Geneva, Henry, Houston	Tuscaloosa County – Tuscaloosa CRS 1400 James I. Harrison, Jr. Parkway East, Suite 100 Tuscaloosa, AL 35405 Phone: 205-562-1802 or 1-800-723-0490 Counties: Bibb, Fayette, Greene, Hale, Lamar, Pickens, Sumter, Tuscaloosa	

## CHILDREN'S REHABILITATION SERVICE MAP



### ALABAMA COMMUNITY BASED SICKLE CELL ORGANIZATIONS

The Alabama NBS Program refers all infants identified with sickle cell trait and sickle cell disease to one of the local Community-Based Sickle Cell Organizations. Genetic counseling is offered to these families.

Organization	Address & Phone	Counties
Sickle Cell Disease Association of America Central Alabama Chapter Service Area I	3813 Avenue I Ensley Birmingham, AL 35218 205-780-2355 Fax: 205-780-2368 www.sicklecellbham.org	Blount, Calhoun, Cherokee, Clay, Cleburne, Cullman, Etowah, Jefferson, Randolph, Shelby, St. Clair, Talladega, Walker
Sickle Cell Disease Association of America West Alabama Chapter Service Area II	3011 5th Street Northport, AL 35476 205-758-1761 Fax: 205-758-1781	Bibb, Fayette, Green, Hale, Lamar, Marion, Pickens, Sumter, Tuscaloosa, Winston
Sickle Cell Foundation of Greater Montgomery, Inc. Service Area IV	3180 US Highway 80 West P.O. Box 9278 Montgomery, AL 36087 334-286-9122 Fax: 334-286-4804 www.riverregionsicklecell.com	Autauga, Butler, Chambers, Chilton, Coffee, Coosa, Crenshaw, Dallas, Elmore, Lowndes, Montgomery, Tallapoosa, Wilcox
Southeast Alabama Sickle Cell Association Service Area V	P.O. Box 1079 Tuskegee, AL 36087 334-727-6120 <u>www.seasca.com</u>	Barbour, Bullock, Dale, Geneva, Henry, Houston, Lee, Macon, Marengo, Perry, Pike, Russell
Sickle Cell Disease Association of America Mobile Chapter, Inc. Service Area VI	P.O. Box 40696 1453 Springhill Avenue Mobile, AL 36604 251-432-0301 <u>www.scdaamobile.org</u>	Baldwin, Choctaw, Clarke, Conecuh, Covington, Escambia, Mobile, Monroe, Washington
North Alabama Sickle Cell Foundation, Inc. Service Area VII	P.O. Box 813 Huntsville, AL 35804 256-536-2723 1-800-636-2723 Fax: 256-536-2714 www.sicklecellna.org	Colbert, DeKalb, Franklin, Jackson, Lauderdale, Lawrence, Limestone, Madison, Marshall, Morgan

### **APPENDIX**

Alabama Newborn Screening Timeline	75
Alabama Newborn Screening Confirmed Disorders	76
Alabama Newborn Screening Public Health Law	77
Alabama State Board of Health Administrative Code	78-83
American College of Medical Genetics ACT Sheets	84

### ALABAMA NEWBORN SCREENING TIMELINE

1964	PKU	04/2007 Fatty Acid Disorders:  Very long chain acyl-CoA			
1978	Congenital Hypothyroidism		dehydrogenase deficiency (VLCAD) Long chain 3-hydroxyacyl-CoA dehydrogenase deficiency (LCHAD) Trifunctional Protein Deficiency (TFP)		
1987	Hemoglobinopathies				
1992	Galactosemia		Organic Acid Disorders:		
1994	Congenital Adrenal Hyperplasia		3-Methylcrotonyl-CoA carboxylase (3-MCC Beta ketothiolase (BKT)		
1997	Voice Response System (VRS)		Carnitine palymitoyItranferase II (CPT II		
04/2004	BIOTHINASE HETICIENCY		Voice Response System replaced with Secure Remote Viewer		
10/2004	Amino Acid Disorders: Citrullinemia (CIT)	01/2008	Universal Newborn Hearing Screening*		
Tyrosinemia (TYR) Argininosuccinate acide  Organic Acid Disorders Propionic Acidemia (PR Methylmalonic Acidemi (Vitamin B12 Disorders) Methylmalonic Acidemi (methylmalonyl-CoA methylmalonyl-CoA methylmalonyl-CoA)  Fatty Acid Disorders: Medium chain acyl-CoA deficiency (MCAD) Carnitine Uptake Defector	Homocystinuria (HCY) Maple Syrup Urine Disease (MSUD)	04/2008	Cystic Fibrosis (CF) (IRT/DNA)		
	Tyrosinemia (TYR) Argininosuccinate aciduria (ASA)	2009	Cord Blood collection and testing discontinued		
	Organic Acid Disorders: Propionic Acidemia (PROP) Methylmalonic Acidemia	06/2013	Critical Congenital Heart Disease (CCHD)		
	(Vitamin B12 Disorders) (CBL, A,B) Methylmalonic Acidemia	10/2018	Severe Combined Immunodeficiency (SCID)		
	(methylmalonyl-CoA mutase) (MUT)  Fatty Acid Disorders:	2/2022	Spinal Muscular Atrophy (SMA)		
	Medium chain acyl-CoA dehydrogenase deficiency (MCAD)	3/2023	X-linked Adrenoleukodystrophy (X-ALD)		
	Carnitine Uptake Defect (CUD)	7/2024	Lysosomal Storage Disorders:		
	Organic Acid Disorders: Glutaric Acidemia (GA-1)		Mucopolysaccharidosis Type I (MPS I) Pompe		
	Isovaleric Acidemia (IVA) Multiple carboxylase (MCD	*started voluntarily in 2001/mandated 2008			

3-Hydroxy 3-methylglutaric Aciduria (HMG)

### ALABAMA NBS CONFIRMED DISORDERS

Genetic Disorders	2018	2019	2020	2021	2022	2023*
Biotinidase Deficiency	2	2	1	1	2	1
Classical Galactosemia	1	2	2	1	1	1
Cystic Fibrosis	10	14	17	16	15	13
Hearing Loss	113	84	119	105	90	64
Critical Congenital Heart Disease	1	1	1	0	0	1
Severe Combined Immunodeficiencies (SCID)		0	0	0	0	0
X-Linked Adrenoleukodystrophy (X-ALD)						0
Spinal Muscular Atrophy					2	2
Endocrine Disorders						
Congenital Hypothyroidism	38	49	49	63	62	62
Congenital Adrenal Hyperplasia	6	6	5	0	4	1
Hemoglobinapathy						
Sickle Cell Disease	58	59	50	60	60	46
Sickle Cell Trait	1798	1992	1996	1885	1792	1375
Amino Acid Disorders						
Phenylketonuria	4	3	2	4	7	2
Homocystinuria	0	0	0	0	0	0
Maple Syrup Urine Disease (MSUD)	0	0	0	0	0	0
Citrullinemia	0	0	0	0	0	0
Tyrosinemia	0	0	0	0	0	0
Arginosuccinic Aciduria (ASA)	0	0	0	0	2	
Fatty Acid Disorders						
Carnitine Uptake Defect	1	0	1	1	0	2
Medium chain Acyl-CoA Dehydrogenase Deficiency (MCAD)	5	2	1	6	6	3
Long chain Acyl-CoA Dehydrogenase Deficiency (LCAD)	0	0	0	0	0	0
Very long chain Acyl-CoA Dehydrogenase Deficiency (VLCAD)	0	3	1	0	0	1
Trifunctional Protein Deficiency	0	0	0	0	0	1
Organic Acid Disorders						
Glutaric Acidemia	0	0	0	0	0	1
Isovaleric Acidemia	0	0	0	0	1	1
Propionic Acidemia	0	0	1	1	1	0
Methylmalonic Acidemia (MMA)	1	0	2	0	0	0
3-Methylcrotonyl-CoA Carboxylase Deficiency (3-MCC)	1	1	1	1	1	2

\*data for 2023 approximate pending final diagnosis

### PUBLIC HEALTH LAWS OF ALABAMA

#### **Section 22-20-3**

Neonatal testing for certain diseases; rules and regulations for treatment thereof.

- (a) It shall be the duty of the administrative officer or other persons in charge of each institution caring for infants 28 days or less of age, or the physician attending a newborn child or the person attending a newborn child that was not attended by a physician to cause to have administered to every such infant or child in his care a reliable test for hypothyroidism and a reliable test for phenylketonuria (PKU), such as the Guthrie test, or any other test considered equally reliable by the State Board of Health and a reliable test for sickle cell anemia, sickle cell trait, and/or abnormal hemoglobin and such other tests relating to mental retardation or other heritable diseases and conditions as are designated by the Board of Health. Provided, however, that the Board of Health shall designate only conditions that are detectable by mass screening of newborn infants. Initial mass screening tests and the recording of results shall be performed by the Public Health Laboratory at such times and in such manner as may be prescribed by the State Board of Health; confirmatory tests shall be undertaken by such laboratory facilities as are designated by the attending physician or parent; provided, that no such initial screening or confirmatory tests shall be given to any child whose parents object thereto on the grounds that such tests conflict with their religious tenets and practices. In the event a test is not given to a child on account of such objections by the parents, then no physician, nurse, laboratory technician, person administering tests, hospital, institution or other health care provider shall be liable for failure to administer the test.
- (b) The State Board of Health shall promulgate such rules and regulations as it considers necessary to provide for the care and treatment of those newborn infants whose tests are determined positive, including but not limited to, advising dietary treatment for such infants. The State Board of Health shall promulgate any other rules and regulations necessary to effectuate the provisions of this section including the collection of a reasonable fee for the newborn child screening program.

(Acts 1965, No. 885, p. 1664; Acts 1979, No. 79-437, p. 703; Acts 1987, No. 87-672, p. 1202; Acts 1991, 1st Ex. Sess., No. 91-793, p. 188, §1.)

# ALABAMA STATE BOARD OF HEALTH ALABAMA DEPARTMENT OF PUBLIC HEALTH BUREAU OF FAMILY HEALTH SERVICES ADMINISTRATIVE CODE

### CHAPTER 420-10-1 CARE AND TREATMENT OF INFANTS IDENTIFIED THROUGH THE NEWBORN SCREENING PROGRAM

#### TABLE OF CONTENTS

420-10-101	Purpose
420-10-102	Definitions
420-10-103	Designation of Additional Heritable Diseases
420-10-104	Reporting and Notification
420-10-105	Counseling and Management
420-10-106	Fees

#### 420-10-1-.01 Purpose.

The purpose of these rules is to provide administrative details and procedures for the care and treatment of newborns identified with phenylketonuria, hypothyroidism, galactosemia, congenital adrenal hyperplasia, hearing loss, hemoglobinopathy, biotinidase deficiency, cystic fibrosis, aminoacidopathies, fatty acid oxidation disorders, organic acidurias and acidemias, critical congenital heart disease, severe combined immunodeficiency, spinal muscular atrophy, x-linked adrenoleukodystrophy, lysosomal storage disorders, and other heritable diseases.

Authors: P. Scott Harris, M.D., Thomas M. Miller, M.D., Lucinda G. Ashley, R.N.-B.C., Rachael N. Montgomery, B.S.N., R.N. Statutory Authority: Code of Ala. 1975, §§ 22-2-2, 22-20-3. History: Filed December 21, 1987. Amended: Filed September 18, 2002; effective October 23, 2002. Repealed and New Rule: Filed December 17, 2003; effective January 21, 2004. Amended: Filed December 17, 2007; effective January 21, 2008. Amended: Filed May 17, 2013; effective June 21, 2013. Amended: Filed January 19, 2017; effective March 5, 2017. Amended: December 15, 2021; effective February 13, 2022.

#### 420-10-1-.02 Definitions.

- (a) Phenylketonuria A congenital disease due to a deficit in the metabolism of the amino acid phenylalanine.
- (b) Hypothyroidism A deficiency of thyroid gland activity with underproduction of thyroxin or the condition

resulting from it.

- (c) Hemoglobinopathy Any hemoglobin phenotype which is other than AA.
- (d) **Physician of Record** The physician who requests the test.
- (e) Galactosemia An inherited error in the metabolism of galactose.
- (f) Congenital Adrenal Hyperplasia an inherited error in steroid biosynthesis.
- (g) **Hearing Loss** the total or partial inability to hear sound in one or both ears.
- (h) Biotinidase Deficiency inherited deficiency caused by the lack of an enzyme involved in biotin synthesis.
- (i) Amino Acid Disorders inherited disorders in amino acid metabolism.
- (j) Fatty Acid Oxidation Disorders inherited disorders in fatty acid metabolism.
- (k) Organic Acid Disorders inherited disorders in organic acid metabolism.
- (1) **Cystic Fibrosis** inherited disorder caused by a defective protein (cystic fibrosis transmembrane regulator) involved in the salt balance of the body.
- (m) Critical Congenital Heart Disease (CCHD) a subset of congenital heart defects characterized by a diminished availability of oxygen to the body tissues that causes severe and life-threatening symptoms and requires intervention within the first days or first year of life.
- (n) Severe Combined Immunodeficiency (SCID) and Related Tcell Lymphocyte Deficiencies - a group of rare inherited immune disorders in which T lymphocytes are either absent or compromised.
- (o) Licensed midwife a practitioner who holds a certified professional midwife credential and is licensed by the Alabama State Board of Midwifery to practice midwifery.
- (p) Spinal Muscular Atrophy (SMA) a rare genetic disorder caused by spinal motor neuron gene change.
- (q) X-Linked Adrenoleukodystrophy (X-ALD) a genetic\_ disease that affects the nervous system and the adrenal glands.
- (r) Lysosomal Storage Disorders inherited metabolic diseases that are characterized by an abnormal build-up of various toxic materials in the body's cells as a result of enzyme deficiencies.
- Authors: P. Scott Harris, M.D., Thomas M. Miller, M.D., William J. Callan, Ph.D., Sharon P. Massingale, Ph.D., Aretha M.

Williams, Ph.D., Lucinda G. Ashley, R.N.-B.C., Rachael N. Montgomery, B.S.N., R.N.

Statutory Authority: Code of Ala. 1975, §§ 22-2-2, 22-20-3.

History: Filed December 21, 1987; Amended: Filed September 21, 1992; effective October 26, 1992. Amended: Filed September 18, 2002; effective October 23, 2002. Repealed and New Rule: Filed December 17, 2003; effective January 21, 2004. Amended: December 17, 2007; effective January 21, 2008. Amended: Filed May 17, 2013; effective June 21, 2013. Amended: Filed January 19, 2017; effective March 5, 2017. Amended: Filed July 19, 2018; effective September 2, 2018. Amended: December 15, 2021; effective February 13, 2022.

#### 420-10-1-.03 Designation of Additional Heritable Diseases.

The State Board of Health hereby designates the following as a heritable disease subject to testing, reporting, and notification requirements herein below specified. Phenylketonuria, hypothyroidism, galactosemia, congenital adrenal hyperplasia, hearing loss, hemoglobinopathy, biotinidase deficiency, cystic fibrosis, aminoacidopathies, fatty acid oxidation disorders and organic acidurias and acidemias, CCHD, SCID, SMA, X-ALD, lysosomal storage disorders, and other heritable disorders.

Authors: P. Scott Harris, M.D., Thomas M. Miller, M.D., William J. Callan, Ph.D., Sharon P. Massingale, Ph.D., Aretha M. Williams, Ph.D., Lucinda G. Ashley, R.N.-B.C., Rachael N. Montgomery, B.S.N., R.N.

Statutory Authority: Code of Ala. 1975, §§ 22-2-2, 22-20-3. History: Filed December 21, 1987; Amended: Filed September 21, 1992; effective October 26, 1992. Repealed and New Rule: Filed December 17, 2003; effective January 21, 2004.

Amended: December 17, 2007; effective January 21, 2008.

Amended: Filed May 17, 2013; effective June 21, 2013.

Amended: Filed January 19, 2017; effective March 5, 2017.

Amended: December 15, 2021; effective February 13, 2022.

### 420-10-1-.04 Reporting and Notification.

(1) The Alabama Department of Public Health shall report all results of phenylketonuria, hypothyroidism, galactosemia, congenital adrenal hyperplasia, hearing loss, hemoglobinopathy, biotinidase deficiency, cystic fibrosis, aminoacidopathies, fatty acid oxidation disorders, organic acidurias and acidemias, CCHD, SCID, SMA, X-ALD, lysosomal storage disorders, and other

heritable disease testing to the submitting health care provider. Test results on transferred infants may be made available to both the transferring and receiving facilities.

- (2) The submitting health care provider shall report all results, including positives, suspected positive results, and unsatisfactory specimens, to the physician of record (the physician indicated on the collection form) of the newborns tested and shall use such forms and follow such guidelines as shall be determined by the State Health Officer. The health care provider shall report the results of any hearing tests performed on the newborns to the Alabama Department of Public Health and shall use such forms and follow such guidelines as shall be determined by the State Health Officer.
- (3) The Alabama Department of Public Health may release results of newborn screening tests, including hearing screening results, to any physician registered with the Secure Remote Viewer under the terms and conditions of the system without a signed release from the parent or guardian.
- (4) The submitting health care provider shall screen all newborns in well baby nurseries for CCHD using pulse oximetry and shall use such forms and follow such guidelines as shall be determined by the State Health Officer.
- (5) The submitting health care provider shall report the results of any failed pulse oximetry screening results to the Alabama Department of Public Health and shall use such forms and follow such guidelines as shall be determined by the State Health Officer.
- (6) A licensed midwife must refer all newborns in his or her care to a licensed physician within 24 hours of age to perform Newborn Screening Tests which include: 1) bloodspot specimen tests; 2) newborn hearing screening tests; and 3) pulse oximetry screening tests. The licensed midwife must instruct the client regarding the requirements of the administration of these newborn health screening tests by the Alabama Department of Public Health.

Authors: P. Scott Harris, M.D., Thomas M. Miller, M.D., William J. Callan, Ph.D., Sharon P. Massingale, Ph.D., Aretha M. Williams, Ph.D., Lucinda G. Ashley, R.N.-B.C., Rachael N. Montgomery, B.S.N., R.N.

Statutory Authority: Code of Ala. 1975, §§ 22-2-2,22-20-3.

History: Filed December 21, 1987. Amended: Filed September 21, 1995; effective October 26, 1992. Amended: Filed October 24, 1995; effective November 29, 1995. Amended: Filed September 18,

2002; effective October 23, 2002. Repealed and New Rule: Filed December 17, 2003; effective January 21, 2004. Amended: December 17, 2007; effective January 21, 2008. Amended: Filed May 17, 2013; effective June 21, 2013. Amended: Filed January 19, 2017; effective March 5, 2017. Amended: Filed July 19, 2018; effective September 2, 2018. Amended: December 15, 2021; effective February 13, 2022.

#### 420-10-1-.05 Counseling and Management.

- (a) The Alabama Department of Public Health shall make contact with the physician of record and the parent/guardian of newborns who test positive for phenylketonuria, hypothyroidism, galactosemia, congenital adrenal hyperplasia, hearing loss, hemoglobinopathy, biotinidase deficiency, cystic fibrosis, aminoacidopathies, fatty acid oxidation disorders, organic acidurias and acidemias, CCHD, SCID, SMA, X-ALD, lysosomal storage disorders, and other heritable disorders to notify them of positive test results and ascertain whether or not these newborns are under the care of a private physician. Additionally, the Alabama Department of Public Health shall make contact with the physician of record and the parent/guardian to advise them of the services available through the Alabama Department of Public Health. Newborns who are under the care of a private physician may additionally utilize these same services. The Alabama Department of Public Health may make contact with the family to make their services available or may assist the family in obtaining the services of a private physician. Services include health assessments, treatment, and referrals to tertiary care centers.
- (b) The Alabama Department of Public Health shall make contact with the submitting health care provider of newborns with failed pulse oximetry results to verify that appropriate screening, referral, and intervention services have been provided and if needed, may assist in obtaining the services. Services include health assessments, treatment, and referrals to tertiary care centers.

Authors: P. Scott Harris, M.D., Thomas M. Miller, M.D., William J. Callan, Ph.D., Sharon P. Massingale, Ph.D., Aretha M. Williams, Ph.D., Lucinda G. Ashley, R.N.-B.C., Rachael N. Montgomery, B.S.N., R.N. Statutory Authority: Code of Ala. 1975, §§ 22-20-3. History: Filed December 21, 1987. Amended: Filed September 21, 1992; effective October 26, 1992. Amended: Filed September 18, 2002; effective October 23, 2002. Repealed and New Rule: Filed

December 17, 2003; effective January 21, 2004. Amended: December 17, 2007; effective January 21, 2008. Amended: Filed May 17, 2013; effective June 21, 2013. Amended: Filed January 19, 2017; effective March 5, 2017. Amended: December 15, 2021; effective February 13, 2022.

#### 420-10-1-.06 Fees.

The Board shall assess and collect newborn screening fees from hospitals and birthing centers or third party payors. The newborn screening fee shall be set by the State Committee of Public Health based on the schedule of laboratory fees established by the Centers for Medicare and Medicaid Services (CMS) for use by Medicare and Medicaid. The Board shall bill the Medicaid Agency for Medicaid eligibles.

- (1) Hospitals classified as "rural" by CMS or which have less than 105 beds and are located at least twenty (20) miles from the nearest acute care facility with obstetrical capabilities may have newborn screening fees waived for non-Medicaid eligible patients where there is no third party payor for such fees. The State Health Officer shall annually submit a list of hospitals to the Board which are eligible for waiver of fees.
- (2) Additional reasonable and necessary fees may be charged to other payors by the hospital or physician in connection with this rule. The State Health Officer may waive fees deemed uncollectible because of a patient's inability to pay.
- (3) There shall be only one (1) fee per birth collected from a hospital by the Board.

Authors: Lloyd Hofer, M.D., William J. Callan, Ph.D. Statutory Authority: Code of Ala. 1975, §§ 22-20-3. History: Filed February 19, 1992. Amended: Filed September 21, 1992; effective October 26, 1992. Repealed and New Rule: Filed December 17, 2003; effective January 21, 2004.

### **ACT SHEETS**



Alabama Newborn Screening Laboratory
Bureau of Clinical Laboratories
204 Legends Court
P.O. Box 1000
Prattville, AL 36067-9901
Phone: (334) 290-3097
www.alabamapublichealth.gov/bcl

Alabama Newborn Screening Follow-up Program
204 Legends Court
Prattville, AL 36066-7893
P.O. Box 1000
Prattville, AL 36067-9901
Phone: 1-866-928-6755
www.alabamapublichealth.gov/newbornscreening

ALABAMA





